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## Editorial

In 2005, Professor Ian Robertson had said that old age begins at 80. It was a major departure from the past belief that aging starts at 50. If 50 to 80-year-olds are to be part of active life, this phase would be longer than a person's youth. Therefore, Robertson suggests that we need to invent a new approach to living for this extended period.

Robertson began studying the effects of aging on the human brain in 1984. He concluded that a 60-year-old woman in Britain can expect to live up to 80 years.

It is noteworthy that in 1820, the life expectancy in the world was only 26 years, which increased to 31 years in 1900, 49 years in 1950, and 66 years in 1999. By 2021, it had reached 70 years, with a consistent upward trend. This means that each newborn now has greater chances of survival than ever before.

Across all age groups—from infants, children, and young adults to the elderly—the likelihood of death has decreased. It was made possible by improvements in the medical system, public health facilities, and standards of living. Notably, factors such as nutrition, clean water, sanitation, mother-child health services, and the advent of antibiotics, vaccines and other medical technologies have played pivotal roles in extending life expectancy. The measures taken by governments for nutrition, health, and poverty alleviation are equally noteworthy in this regard.

The boundaries of life expectancy continue to extend, varying across different countries. According to the World Health Organization, in 2021, Japan had the highest life expectancy at 84 years, while European countries ranged between 81 and 83 years. In Asia, life expectancy was 78 years in China and 67 years in India; it is continuously increasing.

In countries where life expectancy has increased, governments face a greater burden due to the elderly population leaving the labour force and requiring social security benefits like pensions and medical facilities. Most European countries have seen a rise in total expenditures on old-age pensions. Today, many European nations experience fiscal imbalances due to this very reason.

While the proportion of young people is decreasing and government tax revenue is declining, the growing elderly population increases demands on public finances for pensions, healthcare, and other necessary assistance. This mounting debt forces governments to cut spending on essential services.

—*Editors*

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# Oscillation of Solutions of Differential Equations with Advanced Arguments Regarding Neutral Term

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## **Abstract**

In this work, new criteria for the oscillatory behavior of even-order delay differential equations with neutral term are established by comparison technique, Riccati transformation and integral averaging method. The presented results essentially extend and simplify known conditions in the literature. To prove the validity of our results, we give some examples.

**Keywords:** oscillation; even order; neutral coefficients; differential equation

## **Introduction**

Neutral/delay differential equations are used in a variety of problems in economics, biology, medicine, engineering and physics, including lossless transmission lines, vibration of bridges, as well as vibrational motion in flight, and as the Euler equation in some variational problems, see [1,2,3].

Nowadays, there is an ongoing interest in obtaining several sufficient conditions for the oscillatory properties of the solutions of different kinds of differential equations, especially their the oscillation and asymptotic, see Agarwal et al. [4] and Saker [5].

Baculikova [6], Dzrina and Jadlovska [7], and Bohner et al. [8] developed approaches and techniques for studying oscillation criteria in order to improve the oscillation criteria of second-order differential equations with delay/advanced terms. Xing et al. [9] and Moaaz et al. [10] also extended this evolution to differential equations of the neutral type. Therefore, there are many studies on the oscillatory and asymptotic behavior of different orders of some differential equations, see [11,12,13,14,15,16,17].

Xing et al. [9] discussed the oscillation and asymptotic properties for equation

$$\left(\gamma(t)\left(z^{(r-1)}(t)\right)^\alpha\right)' + a(t)\varphi(x(w(t))) = 0,$$

where  $z(t) = x(t) + h(t)x(\beta(t))$  and  $0 \leq h(t) \leq h_0 < \infty$ . They used comparison technique. In [26], Zhang et al. studied the equation

$$\left(\gamma(t)\left(z^{(r-1)}(t)\right)^\alpha\right)' + a(t)x^\beta(\beta(t)) = 0,$$

under condition  $\int_{t_0}^{\infty} \gamma^{-1/\alpha}(s) ds < \infty$  and they used comparison and Riccati techniques.

In case  $\gamma(t) = 1$  and  $\alpha = 1$ , the authors in studied the oscillatory properties for equation

$$z^{(r)}(t) + a(t)x(w(t)) = 0, \quad (1)$$

where  $r$  is an even and under the condition  $0 \leq h(t) < 1$ .

In authors investigated the oscillatory solutions of (1) where  $h(t) \in [0, h_0]$  and  $h_0 < 1$ .

Agarwal et al. studied the oscillation conditions of the equation

$$\left[ \left| z^{(r-1)}(t) \right|^{\alpha-1} z^{(r-1)}(t) \right]' + a(t) |x(\beta(t))|^{\alpha-1} x(\beta(t)) = 0,$$

where  $\alpha > 1$ . The authors used comparison method to find this conditions.

Elabbasy et al. were interested in discussing the oscillatory properties of the equation

$$[\gamma(t)(z^{(r-1)}(t))^{p-2} z^{(r-1)}(t)]' + a(t)\varphi(x(\beta(t))) = 0, p > 1,$$

under the assumption that

$$\int_{t_0}^{\infty} \gamma^{1/(p-1)}(s) ds = \infty$$

and  $r$  is an even positive integer.

Based on the above results of previous scholars, in this work, we are concerned with the following differential equations with neutral term of the form

$$(\gamma(t)z^{(r-1)}(t))' + \sum_{i=1}^j a_i(t)\varphi(x(w_i(t))) = 0, \quad (2)$$

where  $j \geq 1$  and

$$z(t) = |x(t)|^{p-2} x(t) + h(t)x(\beta(t)). \quad (3)$$

Throughout this work, we suppose the following hypotheses:

$$\begin{cases} \gamma, h \in C([t_0, \infty), [0, \infty)), a_i \in C([t_0, \infty), \mathbb{R}^+), \gamma(t) > 0, \gamma'(t) \geq 0, 0 \leq h(t) < 1; \\ \beta \in C([t_0, \infty), (0, \infty)), \beta(t) \leq t, \lim_{t \rightarrow \infty} \beta(t) = \infty; \\ \varphi \in C(\mathbb{R}, \mathbb{R}), \varphi(x) \geq |x|^{p-2}x \text{ for } x \neq 0; \\ w_i \in C([t_0, \infty), \mathbb{R}), w_i(t) \leq t, w_i'(t) > 0, \lim_{t \rightarrow \infty} w_i(t) = \infty, i = 1, 2, \dots, j; \\ r \text{ and } p \text{ are positive integers, } r \text{ is even, } r \geq 2, p > 1. \end{cases}$$

**Definition 1.**

The function  $x \in C_{r-1}[t_x, \infty), t \geq t_x \geq t_0$  is called a solution of (2), if  $\gamma(t)z^{(r-1)}(t) \in C_1[t_x, \infty)$ , and  $x(t)$  satisfies (2) on  $[t_x, \infty)$ .

**Definition 2.**

A solution of (2) is said to be non-oscillatory if it is positive or negative, ultimately; otherwise, it is said to be oscillatory.

The motivation for this article is to continue the previous works.

The authors used the comparison technique that differs from the one we used in this article. Our approach is based on using integral averaging method and the Riccati technique to reduce the main equation into a first-order inequality to obtain more effective oscillation conditions for Equation (2). Therefore, in order to highlight the novelty of the results that we obtained in this work, we presented a comparison between the previous results and our main results, represented in the Example 2.

Motivated by these reasons mentioned above, in this paper, we extend the results using integral averaging method and Riccati transformation under

$$\int_{t_0}^{\infty} 1/\gamma(s) ds = \infty. \quad (4)$$

These results contribute to adding some important conditions that were previously studied in the subject of oscillation of differential equations with neutral term. To prove our main results, we give some examples.

**Oscillation Results**

Now, we mention some important lemmas.

**Lemma 1**

Let  $z(t)$  be an  $r$  times differentiable function on  $[t_0, \infty)$  of constant sign and  $z^{(r)}(t) \neq 0$  on  $[t_0, \infty)$  on  $[5\emptyset a \ddot{U} 0, \dots)$  which satisfies  $z(t)z^{(r)}(t) \leq 0$ . Then:

(I) there exists  $t_1 \geq t_0$  such that the functions  $z^{(i)}(t), i=1, 2, \dots, r-1$  are of constant sign on  $[t_0, \infty)$ ;

there exists a number  $l \in \{1, 3, 5, \dots, r-1\}$  when  $r$  is even,  $l \in \{0, 2, 4, \dots, r-1\}$  when  $r$  is odd, such that, for  $t \geq t_1$

(II)

$$z(t)z^{(l)}(t) > 0,$$

for all  $i = 0, 1, \dots, l$  and

$$(-1)^{r+i+1} z(t) z^{(i)}(t) > 0,$$

for all  $i = l+1, \dots, r$ .

### Lemma 2

If  $z \in C_r([t_0, \infty), (0, \infty))$  and  $z^{(r-1)}(t) z^{(r)}(t) \leq 0$  for  $t \geq t_0$  then for every  $\varepsilon \in (0, 1)$  there exists a constant  $\ell > 0$  such that

$$z(\varepsilon t) \geq \ell t^{r-1} |z^{(r-1)}(t)|,$$

for all large  $t$ .

### Lemma 3

Let  $z \in C_r([t_0, \infty), (0, \infty))$  and  $z^{(r-1)}(t) z^{(r)}(t) \leq 0$ . If  $\lim_{t \rightarrow \infty} z(t) = 0$  then for every  $\mu \in (0, 1)$  there exists a  $\ell > 0$  such that

$$z(t) \geq \mu (n-1)! t^{r-1} |z^{(r-1)}(t)| \text{ for } t \geq t_\mu.$$

### Lemma 4.

Assume that  $x(t)$  is a positive solution of Equation (2). Then

$$z(t) > 0, z'(t) > 0, z^{(r-1)}(t) \geq 0 \text{ and } z^{(r)}(t) \leq 0, \text{ and (5)}$$

for  $t \geq t_1 \geq t_0$ .

Proof.

Suppose that  $x(t)$  is a positive solution of Equation (2). Then, we can assume that  $x(t) > 0$ ,  $x(\beta(t)) > 0$  and  $x(w(t)) > 0$  for  $t \geq t_1$ . Hence, we deduce  $z(t) > 0$  and

$$(\gamma z^{(r-1)})'(t) = -\sum_{i=1}^r a_i(t) \varphi(x(w_i(t))) \leq 0. \quad (6)$$

Which means that  $\gamma(t) z^{(r-1)}(t)$  is decreasing and  $z^{(r-1)}(t)$  is eventually of one sign.

We see that  $z^{(r-1)}(t) > 0$ . Otherwise, if there exists a  $t_2 \geq t_1$  such that  $z^{(r-1)}(t) < 0$  for  $t \geq t_2$ , and

$$(\gamma z^{(r-1)})(t) \leq (\gamma z^{(r-1)})(t_2) = -L, L > 0. \quad (7)$$

Integrating (7) from  $t_2$  we find

$$z^{(r-2)}(t) - z^{(r-2)}(t_2) \leq -L \int_{t_2}^t \gamma(s) ds.$$

So, we get

$$z^{(r-2)}(t) \leq z^{(r-2)}(t_2) - L \int_{t_2}^t \gamma(s) ds.$$

Letting  $t \rightarrow \infty$ , we have  $\lim_{t \rightarrow \infty} z^{(r-2)}(t) = -\infty$ , which contradicts the fact that  $z(t)$  is a positive solution by Lemma 1. Hence, we

obtain  $z(r-1)(t) \geq 0$  for  $t \geq t_1$ .

From Equation (2), we obtain

$$(\gamma^{t(r-1)})(t) + (\gamma^{t(r)})(t) - \sum_{i=1}^j a_i(t) \varphi(x(w_i(t))) \leq 0. \tag{8}$$

From Equations (4) and (8), we find

$$(\gamma^{z(r)})(t) = -(\gamma^{z(r-1)})(t) - \sum_{i=1}^j a_i(t) \varphi(x(w_i(t))) \leq 0,$$

this implies that  $z(r)(t) \leq 0, t \geq t_1$ . By using Lemma 1, we find that (5) holds. The proof is complete.

Theorem 1.

If the equation

$$x'(t) + M(t)x(w_i(t)) = 0 \tag{9}$$

is oscillatory, where

$$M(t) := \mu w_{r-1} i(t) (r-1)! \gamma(w_i(t)) M(t)$$

and

$$M(t) := \sum_{i=1}^j a_i(t) (1-h(w_i(t))),$$

then (2) is oscillatory.

Proof.

Suppose that (2) has a nonoscillatory solution. Without loss of generality, we can assume that  $x(t) > 0$ . Using Lemma 4, we find that (5) holds. From (3), we see

$$z(t) = |x(t)|^p - 2x(t) + h(t)x(\beta(t)),$$

we see that

$$x_{p-1}(t) \geq z(t) - h(t)x(\beta(t)) \geq z(t) - h(t)z(\beta(t)) \geq z(t) - h(t)z(t)(1-h(t)) \geq z(t)$$

and so

$$x_{p-1}(w_i(t)) \geq z(w_i(t))(1-h(w_i(t))). \tag{10}$$

From (10), we see

$$\varphi(x(w_i(t))) \geq z(w_i(t))(1-h(w_i(t))). \tag{11}$$

Combining (2) and (11), we find

$$(\gamma^{z(r-1)})(t) \leq -\sum_{i=1}^j a_i(t) z(w_i(t))(1-h(w_i(t))) - z(w(t)) \sum_{i=1}^j a_i(t) (1-h(w_i(t))) - M(t)z(w_i(t)). \tag{12}$$

By Lemma 3, we get

$$z(t) \geq \mu(r-1)! t^{r-1} z(r-1)(t),$$

for all  $t \geq t_2 \geq \max\{t_1, t\mu\}$ . Thus, by using (12), we see

$$(\gamma^{z(r-1)})(t) + \mu w_{r-1} i(t) M(t) (r-1)! \gamma(w_i(t)) (\gamma^{z(r-1)})(w_i(t)) \leq 0.$$

Therefore, we get  $x(t) = y(t)z(r-1)(t)$  is a positive solution of the

inequality

$$x'(t) + M(t)x(w_i(t)) \leq 0.$$

From [23] (Corollary 1), we find Equation (9) also has a positive solution, a contradiction. Theorem 1 is proved.

By using Theorem 2.1.1, we get the following corollary.

Corollary 1.

If

$$\liminf_{t \rightarrow \infty} \int_{t w_i(t)}^{w_{r-1}(s)} \gamma(w_i(s)) M(s) ds > (r-1)! \mu \epsilon,$$

for some constant  $\mu \in (0, 1)$ , then (2) is oscillatory.

Theorem 2.

-If  $\varpi \in C_1([t_0, \infty), \mathbb{R}_+)$  and  $\ell > 0, \ell > 0$  such that

$$\int_{\infty t_0}^{\varpi(u)} M(u) - 14\epsilon(\varpi'(u)\varpi(u))^2 A(u) du = \infty, \tag{13}$$

for  $\epsilon \in (0, 1)$ , then (2) is oscillatory, where

$$A(t) := \gamma(t)\varpi(t)\ell w_{r-2i}(t)w_i(t)$$

Proof.

Assume on the contrary that (2) has a nonoscillatory, say positive solution  $x$ . From Lemma 2 with  $x = z'$ , there exists a  $\ell > 0, \ell > 0$  and  $w_i(t) \leq t$  such that

$$z'(\epsilon w_i(t)) \geq \ell w_{r-2i}(t) z^{(r-1)}(w_i(t)) \ell w_{r-2i}(t) z^{(r-1)}(t). \tag{14}$$

Defining

$$B(t) := \varpi(t)\gamma(t)z^{(r-1)}(t)z(\epsilon w_i(t)) > 0,$$

we have

$$B'(t) = \varpi'(t)\varpi(t)B(t) + \varpi(t)(\gamma(t)z^{(r-1)}(t))'z(\epsilon w_i(t)) - \epsilon\varpi(t)\gamma(t)z^{(r-1)}(t)z'(\epsilon w_i(t))w_i(t)(z(\epsilon w_i(t)))^2.$$

From (12), we obtain

$$B'(t) \leq \varpi'(t)\varpi(t)B(t) - \varpi(t)M(t) - \epsilon z'(w_i(t))w_i(t)z(\epsilon w_i(t))B(t).$$

By using (14), we have

$$B'(t) \leq \varpi'(t)\varpi(t)B(t) - \varpi(t)M(t) - \epsilon \ell w_{r-2i}(t)z^{(r-1)}(t)w_i(t)z(\epsilon w_i(t))$$

$$B(t)\varpi'(t)\varpi(t)B(t) - \varpi(t)M(t) - \epsilon \ell w_{r-2i}(t)w_i(t)\gamma(t)\varpi(t)$$

$$\varpi(t)\gamma(t)z^{(r-1)}(t)z(\epsilon w_i(t))B(t)\varpi'(t)\varpi(t)B(t) - \varpi(t)M(t) - \epsilon A(t)B^2(t). \tag{15}$$

Using the inequality

$$xz - uz_{\gamma+1} \leq \gamma(\gamma+1)_{\gamma+1} x_{\gamma+1} u_{\gamma},$$

with  $x = \varpi'/\varpi$ ,  $u = \epsilon \ell w_{r-2i}(t)w_i(t)/(\gamma(t)\varpi(t))$  and  $z = B(t)$  we find

$$B'(t) \leq -\varpi(t)M(t) + 14\epsilon(\varpi'(t)\varpi(t))^2 \gamma(t)\varpi(t)\ell w_{r-2i}(t)w_i(t). \tag{16}$$

Integrating (16) from  $5\varnothing\text{a}\ddot{U}1$  to  $t$  we find

$$\int_{t_1}^t (\varpi(u)M(u) - 14\varepsilon(\varpi(u)\varpi(u))^2 A(u)) du \leq B(t) - B(t_1),$$

which contradicts (13). Theorem 2 is proved.

Philos-Type Oscillation Results

Definition 3.

Let

$$D_0 = \{(t, s) : t > s > t_0\} \text{ and } D = \{(t, s) : t \geq s \geq t_0\}.$$

A function  $G \in C(D, \mathbb{R})$  is said to belong to the function class  $\psi$ , written by  $G \in \psi$  if

(i)

$$G(t, s) > 0 \text{ on } D_0 \text{ and } G(t, s) = 0 \text{ for } t \geq t_0 \text{ with } (t, s) \notin D_0;$$

(ii)

$G(t, s)$  has a continuous and nonpositive partial derivative " $\partial G / \partial s / \partial$ " on  $D_0$  and  $g \in C(D_0, \mathbb{R})$  such that

$$\partial G(t, s) / \partial s = -g(t, s)G(t, s) - \sqrt{\cdot} \cdot \partial$$

Theorem 3.

If  $\varpi \in C_1([t_0, \infty), \mathbb{R}_+)$  such that

$$\limsup_{t \rightarrow \infty} \frac{1}{G(t, t_0)} \int_{t_0}^t G(t, u) (\varpi(u)M(u) - 14\varepsilon A(u)\psi^2(t, u)) du = \infty, \tag{17}$$

where

$$\psi(t, s) = \varpi(s)\varpi(s) - g(t, s)G(t, s)$$

for  $\varepsilon \in (0, 1)$  then (2) is oscillatory.

Proof.

Proceeding as in the proof of Theorem 1. By Theorem 2, we see that (15) holds. Multiplying (15) by  $5\partial : \dot{U}(5\partial a \dot{U}, 5\partial' \dot{U})$  and integrating both sides from  $t_2$  to  $t$ , we obtain

$$\int_{t_2}^t G(t, u) \varpi(u)M(u) du \leq - \int_{t_2}^t G(t, u) B'(u) du - \int_{t_2}^t G(t, u) \varepsilon A(u) B^2(u) du + \int_{t_2}^t G(t, u) \varpi'(u) \varpi(u) B(u) du - G(t, t_2) B(t_2) - \int_{t_2}^t G(t, u) \varepsilon A(u) B^2(u) du + \int_{t_2}^t G(t, u) B(u) \psi(t, u) du$$

which implies that

$$\int_{t_2}^t G(t, u) \varpi(u)M(u) du \leq G(t, t_2) B(t_2) - \int_{t_2}^t G(t, u) \varepsilon A(u) (B^2(u) - A(u) \varepsilon \psi(t, u) B(u)) du.$$

Therefore, it follows that

$$1/G(t, t_2) \int_{t_2}^t G(t, u) (\varpi(u)M(u) - 14\varepsilon A(u)\psi^2(t, u)) du \leq B(t_2) - 1/G(t, t_2) \int_{t_2}^t G(t, u) \varepsilon A(u) (B(u) - 12\varepsilon A(u)\psi(t, u))^2 du,$$

which implies

$$\limsup_{t \rightarrow \infty} \frac{1}{G(t, t_2)} \int_{t_2}^t G(t, u) (\varpi(u)M(u) - 14\varepsilon A(u)\psi^2(t, u)) du \leq B(t_2).$$

From (17), we have a contradiction. Theorem 3 is proved.

Corollary 2.

Suppose that

$$0 < \inf_{s \geq t} (\liminf_{t \rightarrow \infty} G(t, s) G(t, t_0)) \leq \infty$$

and

$$\limsup_{t \rightarrow \infty} \int_{t_0}^t G(t, u) A(u) \psi^2(t, u) du < \infty.$$

If there exists a function  $\varphi \in C([t_0, \infty), \mathbb{R})$  satisfying for  $t \geq t_0$

$$\limsup_{t \rightarrow \infty} \int_{t_0}^t \varphi^2(s) A(s) ds = \infty$$

where  $\varphi_+(t) = \max\{\varphi(t), 0\}$ , and also

$$\limsup_{t \rightarrow \infty} \int_{t_0}^t G(t, u) (\varphi(u) M(u) - 14 \varepsilon A(u) \psi^2(t, u)) du \geq \sup_{t \geq t_0} \varphi(t),$$

then (2) is oscillatory.

Example 1.

Let second-order equation:

$$[t(x(t) + 12x(t^3))]' + a_0 t(x^2 + x)(t^2) = 0, t \geq 1, \tag{18}$$

where  $a_0 > 0$  is a constant. Let  $r = p = 2, \gamma(t) = t, h(t)$

Thus, we find

$$M(t) = a(t)(1 - h(w_i(t))) = a_0 2t.$$

If we set  $\varpi = t$ , then  $A(t) = \gamma(t)\varpi(t) \ell w^{r-2i}(t) w_i(t) = t^2 \ell$  and for any constants  $\ell > 0, 0 < \varepsilon < 1, \ell > 0$ , we have

$$= \int_{t_0}^t (\varpi(u) M(u) - 14 \varepsilon (\varpi(u) \varpi(u))^2 A(u)) du \int_{t_0}^t (a_0 2 - 12 \varepsilon \ell) du = \infty \text{ if } a_0 > 1$$

Using Theorem 2, Equation (18) is oscillatory if  $a_0 > 1$ .

Example 2.

Consider the fourth-order equation:

$$[tz(t)]' + bt^3 x(t) = 0, t \geq 1, \tag{19}$$

where  $z(t) = x(t) + 13x(t^2)$  and  $b > 0$  is a

constant. Let  $r = 4, p = 2, \gamma(t) = t, h(t) = 1/3, \beta(t) = t/2, a(t) = b/t, w_i(t) = t/3, \varphi(x) = x$ .

Thus, we see that

$$\int_{\infty}^1 \gamma(t) dz = \infty.$$

If we set  $G(t, s) = (t-s)^2, g(t, s) = 2$  and  $\varpi = 1$ , then

$$A(t) = \gamma(t)\varpi(t) \ell w^{r-2i}(t) w_i(t) = 27 \ell t$$

and

$$\psi(t, s) = \varpi(s)\varpi(s) - g(t, s)G(t, s) = \sqrt{-2t-s}.$$

So, it can be easily verified that

$$= \limsup_{t \rightarrow \infty} \int_{t_0}^t G(t, u) (\varpi(u) M(u) - 14 \varepsilon A(u) \psi^2(t, u)) du = \infty.$$

Using Theorem 3, Equation (19) is oscillatory.

Remark 1.

The results cannot solve because of  $\gamma(t) = t$ . Thus, our results extend and complement upon the results of previous papers on this topic.

Conclusions

In this work, a large amount of attention has been focused on the oscillation problem of Equation (2). By Riccati transformation, comparison technique and integral averages method, we establish some new oscillation conditions. These results contribute to adding some important criteria that were previously studied in the literature. For future consideration, it will be of a great importance to study the oscillation of

$$[\gamma(t)(z^{(r-1)}(t))|_{p-2z^{(r-1)}(t)}] + a(t)\varphi(x(\beta(t))) = 0,$$

under the assumption that

$$\int_{\infty}^t 1/\gamma^{1/(p-1)}(s) ds < \infty,$$

where  $z(t) = |x(t)|_p - 2x(t) + h(t)x(\beta(t))$  and  $p > 1$  is a constant.

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# The Application of Queuing Analysis in modeling Optimal Service Level

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## **Abstract**

Purpose Each production process in construction is closely connected with the question of costs and deadlines. In every project an investor or customer, as well as the construction company, has to meet the planned completion date and the estimated costs associated with the construction. In practice, determining the duration of construction at minimum costs is still not based on the reliable calculation, and in the planning of costs, the connection between terms and financial costs is rarely taken into account. Method The queues theory examines systems with operating channels, where the process of queues formation takes place and subsequent servicing of the customers by servicing centers. The main objective of the queues theory is to determine the laws under which the system works, and further to create the most accurate mathematical model that takes into account various stochastic influences on the process. The entire construction process can be examined from the point of view of a customer who is waiting in the queue and is interested primarily in the waiting time, as well as from the point of view of servicing centers. A waiting element decides if you join the queue, or to go to another system entirely. In terms of servicing centers, the priority is to determine the occupancy of the channel and the probability of failure, including the time of repair. A servicing center should also reliably identify the time per customer service, taking into account the current construction task. Results & Discussion The present study demonstrates that it is possible to simulate the complex process of construction, containing hundreds of individual construction processes, mathematically and technically, with a number of simplifications, and then perform various calculations and changes for effective and long-term planning of construction. The mathematical simulation should show that some variants of machines combinations fail to accomplish the task under the given conditions, some will not be optimal in terms of costs or other parameters, other variants will be optimal in the

view of costs required to fulfill the construction task. The simulation software allows a look at the results in graphical form or to export data to other programs. Application of the queues theory allows the introduction into the system waiting time the servicing elements and to approximate the mathematical model to a real working tasks on site.

**Keywords:** Customers Waiting Time, Service Time, Service Cost, Waiting Cost, Optimal Service level

### **Introduction**

Queue or waiting lines are omnipresent as seen in our daily lives ranging from Businesses of all types, industries, schools, hospitals, cafeteria, banks, book stores, theatres, libraries, post offices, and petrol pumps all have queuing problems. Queues are also found in shops where machines wait to be repaired, in tool cribs where mechanics wait to receive tools and in telephone exchanges where incoming calls wait to be handled by the operators. Queues occur whenever there is competition for limited resources (Constantin, 2011). Queuing theory is also known as the theory of overcrowding. It is the branch of operational research that explores the relationship between the demand on a service system and the delays suffered by the users of that system (SHEIKH, SINGH, & KASHYAP, 2013). Though queues are inevitable in daily routines of human beings, queuing system is main to introduce order into a system, a form of equity, fairness and justices to the system due to limited resources. This have drastically changed today due to high volume of customers that influx the system, this supposed orderly system have been bereaved by overcrowding customers. Time waste in the system that could have been used to attain other business targets by customers, customers' dissatisfaction, balking from queues etc. are the ordeal of the day due to inability to maximize the available resources (Servers). Therefore, there is every need for this study which aimed at incorporating the Waiting Cost and Service Cost function with the Multi-Server Queuing model of First Come First Serve (FCFC) in order to evaluate the optimal service level. This study is crucial in the sense that it will shade more light on available literatures done by countless scholars in the quest to tackle queuing problems and incorporate the Cost functions in evaluating the appropriate optimal service level. This model can be adopted by policies makers and decision makers while considering service welfare in the Banking sector.

### **Review Literature**

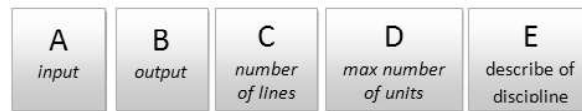
A queuing model of system is an abstract representation whose purpose is to isolate those factors that relate to the system's ability to meet service demands whose occurrences and durations are random(Definitions, 2012).

(Sheikh, Singh, & Kashyap, 2013) opines that Queuing models are used to represent the various types of queuing systems that arise in practice, the models enable in finding an appropriate balance between the cost of service and the amount of waiting. (SHEIKH et al., 2013) uses the  $M/M/Z/\infty$ :FCFS model then converted it into  $M/M/1/\infty$ :FCFS to know which one is more efficient, a line or more lines. To do this, first they establish the optimization model of queuing and calculate the optimal model of queuing. Second, they derived the optimal number of service stations to improve operational efficiency. Thirdly, they derived the optimal service rate and the service efficiency by the operating costs. Based on these aspects, the results of their analyses were effective and practical. (Constantin, 2011) introduced queueing processes and find the steady state solution to the  $M/M/1$  queue. He briefly introduced the use of Markov chains, Poisson processes, and a Birth-Death process, their relevant formulations and the results yielded tremendous success. (Kembe, Onah, & Iorkegh, 2012) adopted the use of Multi-server queuing system that as well included the cost functions for waiting and service costs into the system in order to evaluate the optimal total cost of the system. The results of their analyses showed a great stride in optimizing the service cost to the system. This study therefore incorporated the cost functions in order to evaluate the optimal service level. The calculated the number of servers (Accountants) required so that a given percentage of Customers do not exceed a given waiting period of time and the average number of customers in the queue do not surpass a given threshold. The model assumes that Customers would leave without service if they wait above a particular period especially during busy days like Mondays and Fridays. Long waiting time for the Customers and the overutilization of Accountants have been the major challenges facing the Banking system in Nigeria precisely the Guarantee Trust Bank (GTB), located at Ahmadu Bello way, Jos Plateau State, Nigeria.

### **The Queuing Theory**

The current practice does not allow construction companies to perform detailed time-consuming calculations of optimization during formulation of their offers. An offer is usually focused on the contract price, which has to match the situation in the construction market. Optimization steps are therefore made only after the contract is signed. This study is devoted to the creation of a technical and mathematic model and to searching the methods leading to the optimization of construction processes (minimization of labor and costs, fuel consumption, time of construction, environmental impact, etc.) by means of special simulation software. The queuing theory examines systems with operating channels, where the process of queues formation takes place and subsequently the servicing

of the customers by servicing centers. The main objective of the queuing theory is to determine the regularities under which the system works, and further to create the most accurate mathematical model that takes into account various stochastic influences on the process. The entire construction process can be examined from the point of view of a customer who is waiting in the queue and is interested primarily in the waiting time, as well as from the point of view of servicing centers. A waiting element decides in what queue to be included or whether to go to another system entirely. In terms of servicing centers, the priority is to determine the occupancy of the channel and the probability of failure, including the time of repair. A servicing center should also reliably identify the time of customer servicing, taking into account the current construction task. As a result of the application of the queuing theory, a mathematical model should provide the data regarding the optimal design of servicing centers and, at the same time, determine the number of customers taking into account the optimization parameters. The parameters, under which the construction process will be optimized, may be the following: time, number of failures, fuel consumption, financial costs, environmental impact etc. The queuing theory appeared in the early 20th century. Fundamentals of the theory were developed by Danish mathematician Agner Krarup Erlang (1878- 1929), who examined the development of call centres. According to D.G. Kendall<sup>6</sup>, any system of the queuing theory can be classified according to the following combination of letters and numbers.



*Fig.1. Classification of the queuing theory*

where A – describes the input stream of elements, B

– describes the probability distribution during service time, C – describes the number of service lines, D – specifies the maximum number of elements in the system, E – describes the queue discipline (finite, infinite, FIFO, LIFO, etc.).

The parameters “A” and “B”: in place “A” and “B” may be presented by the following symbols:

- M – for exponential distribution,
- D – for constants (deterministic intervals),
- KK – for Erlang distribution of k-type,
- G – any distribution.

Basic structure of the queuing system is illustrated in Figure 2.

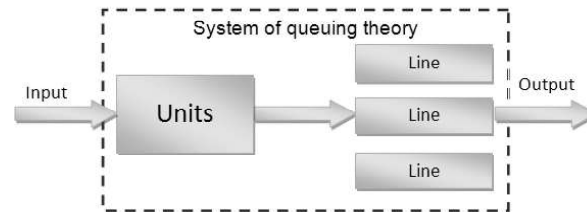


Fig. 2. Basic structure of the queuing system

A closed queuing system is shown in Figure 3.

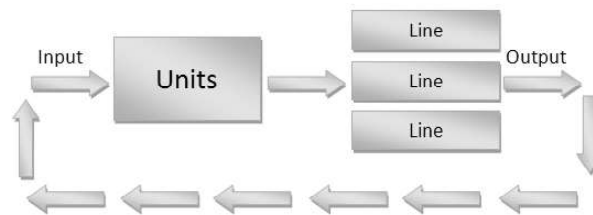


Fig.3. Closed queuing system

For the optimization of a construction process, a closed system is more convenient, where customers given a certain time after the service return back into the system and go to the queue again. Under a closed process there is understood a situation, where the source of requirements is final. The queue length is limited and the processing of customers' requirements is done according to the FIFO method (first in - first out).

As an example of the application in use there can be given an optimization of construction machinery at the stage of "earth works", where the role of servicing centers is performed by the loaders or excavators (number C) and the role of customers is played in the system by trucks or dumpers (number D). We investigate a system where D is greater than C.

For each construction process, a time unit can be determined according to the depth of view on the mathematical model of optimization, for example, minute, hour, shift, week, month etc. For a proper functioning of the mathematical model of the queuing theory application, the following range of conditions has to be met<sup>5</sup>:

- input of an element into the queue can occur at any moment of time;
- the number of inputs during the time interval depends on the length of the interval and the type of distribution of a servicing centre performance (e.g. uniform, power-series, falling or rising) and the scheme of a servicing machine performance is given by the parameters of the construction task; these are determined before the mathematical simulation and do not change during the mathematical modelling;

- the probability, that in the interval of the length  $\delta T$  occurs, more than one input converges to zero more quickly than the length of the interval  $\delta T$ ;
- the average number of inputs per the unit of time is equal to  $\lambda$ .

### Materials and Methods

The study adopted the use of case study approach by collecting primary data from the Bank premises over the period of four days. The use of descriptive survey sample questionnaire were used to obtain the data from the correspondents while the waiting time and service time were evaluated using the aid of observations using a stopwatch and writing materials. To phase sampling approach was used to collect the data, the first phase adopted the use of simple random sampling technique to issue out the questionnaire randomly to the participants, while in the second phase the stratified simple random sampling was used to record the information relating to waiting time and service time as observed from the queuing system at the Bank Deposit section. Each day was considered to be strata, the use of trained (with regards to ethics, data privacy, courtesy, data entry, etc.) Research Assistant was employed during data collections and imputations. The following assumptions were made for queuing system at the Cash Deposit section of the Bank:

- The arrival of Customers follows a Poisson distribution at an average rate of  $\lambda$  Customer per minute.
- The queue discipline is First-Come, First-Served (FCFS) before any of the server there is no precise preference upon any arrival.
- The Service times are distributed exponentially, with an average of  $\mu$  Customers per minute.
- There is no bound to the number of queues (infinite calling source capacity)
- The service providers are working inexhaustible to their full potential in line with the expectations of their employer
- The average arrival rate is greater than the mean service rate.
- Server, in this stance, represents only Accountants in the cash deposit section, not other Management members like CEOs, MDs, Security or cleaners.
- Service rate is independent of line length; service providers do not hasten up due to the congested line.

The Pearson's Chi-Square goodness of fit test was used to verify whether the arrival rate follows a Poisson distribution given as:

The Pearson's Chi-Square goodness of fit test was used to verify whether the arrival rate follows a Poisson distribution given as:

$$p(Nk = K) = \lambda \frac{e^{-(\lambda t)^k}}{k!} \quad k = 0, 1, 2, \dots, \quad t = \text{time(min)} \quad (1)$$

Where  $K$  represents the actual number of arrivals in a period of distribution  $t$  and the service rate follows an Exponential distribution, the parameter  $\mu$  by using the maximum likelihood estimator as given by:

$$\mu = \frac{t^n}{n} \text{ with PDF } f(x) = \mu e^{-t_\mu} \quad \text{and CDF } F(x) = 1 - e^{-t_\mu} \quad (2)$$

The Pearson's Chi-Square goodness of fit test was used to verify whether the arrival rate follows a Poisson distribution given as:

### Conclusion and Recommendation

Looking at the results of this analysis, one can profess that queuing theory is a mathematical powerful approach to decongesting a system and proffer innovative solutions at an optimal service level. It is in this light that this study recommends that the management of Guaranty Trust Bank (GTB) Ahmadu Bello Way, Jos branch needs to employ one more Accountant to make a total of five Accountants or better still, workers that are less busy can be drafted to serve as Accountants at the cash deposit post since the waiting time is quite much. There is still room for improvement to reduce queue to zero level at the Bank cash deposit unit.

Also, the queuing model used for this study and the software (TORA) are quite understandable and flexible. This can be used to model different banking units such as customer care unit, withdrawal unit, ATM cash withdrawal etc. In addition, the model can also be applied to manufacturing facilities and other service organizations such as filling stations, restaurants, and telecommunication.

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# Modeling and Simulation of Manufacturing Processes and Systems

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## Abstract

Effective management and possession of smoothly running design processes of production, deliveries, is an integral part of the modern enterprises. One of the main instruments of planning of production is simulation modeling. New tools for digital production support decision-making at design of production systems. Modeling of processes is faster way of finding of the correct solution in view of generation of exact forecasts, allowing to evaluate different alternatives. The research, the showing results received when using techniques of the simulation modeling and careful production created in program providing Tecnomatix Plant Simulation is presented in article. Visualization of all processes presented in article shows advantages and shortcomings of the offered optimization techniques, allowing to draw a conclusion on how many effective or not effective will be a developed system.

**Keywords:-** production processes; systems; tools; simulation; modeling; mutation; Industry 4.0; Industry 5.0

## Introduction

Fast development and growth of the market led to the fact that the modern companies should resolve the issues connected about increase in volumes of deliveries and updating of productions in short terms. The enterprises creating competitive products cannot decide what changes will bring necessary results. Each waiting is a loss, and each loss involves expenses, which the manager should eliminate on production and a warehouse [1]. Key element of careful production is the multilevel matrix of target indicators and resource restrictions (temporary, financial, technological, production, ecological and others) allowing to describe quantitatively subsystems, to define how they influence at each other and interact with each other at different stages of life cycle of a product, that is to make what is usually carried out within natural tests of a prototype [2, 3]. Increase in volumes of virtual tests by world industrial leaders is

connected with reduction of temporary and monetary resources by a solution of technological tasks. The copy of a real object or the production line, saving in itself properties of real objects is a digital double. Thanks to digital doubles there is an acceleration of an output of new products on the market, the quantity of design errors and cost of design is reduced, competitive advantages are increased. The effective enterprise can be considered the enterprise seven types of losses which excluded in the work: losses because of overproduction, dead times because of waiting, losses because of excessive processing, losses because of excess movements at execution of operations, losses when transporting, losses because of release of defective products. The exception of these losses is method simulation modeling. The simulation model of production is useful to a research of all production systems. The model of work consists of the interconnected work models. This model is based on exchanges of materials and information on connection of operations, which were defined in model of a black box. The simulation model of work can be used for assessment of scenarios in which variables of separate processes interact with each other [3]. Therefore, becomes possible to evaluate objective indicators in terms of bottlenecks, idle times in loading processes and unloading, etc. Besides, at a stage of reflection can be made changes in a production system, as assessment at a stage of observation is complete. Modifications of a production system can vary from adding of operators before change of configuration of machines. Planning and logistics are inevitable in production. The order of transportation of palettes and preparations affects efficiency of productions, necessary time for execution of orders for products, amount of the energy used for transportation of loads and use of workstations. Therefore, they affect production efficiency and expenses. As build processes have restrictions of an order of operations, and robots should be controlled without people, planning becomes even more important. Many problems of logistics are that that there is no known fast way of calculation of an optimal solution therefore approximation methods are used [4]. When developing such systems factors, both from the computer party and from human should be considered. The computer component of the digital twin is graphics, software, programming language, etc. From the person such factors as communication, design, linguistics, sociology, satisfactions of users and others are considered. The most part from these factors can be considered when modeling processes of production. It is reached due to processing of a large number of data, assessment of rational use of the equipment and personnel, logistics of deliveries and management of lines [3-7]. Except factors of predictable factors, there are also stochastic processes which an element of chance. It is possible to describe these processes with use of probabilistic methods. The description of these methods without use of programmable devices occupies a large number of time that at an output of the enterprise to the market is of great importance.

## Simulation Modeling

Let us review two examples of a simulation model with the identical number of the equipment and time of payback in the Plant Simulate program. Plant Simulate is the software environment intended for optimization of material of flows, loading of resources, logistics and a method of management for all equations of planning. The software allows executing graphic modeling of production, a programming language, modeling of logistic transactions of the set law, etc. The developed production line (figure 1) consists of the pipeline (Conveyor), the robot (FANUC), the CNC machine (MILL), the grinder (Polishing), the 3D scanner (Scanner). The main objective of this line is production of the greatest number of a detail of "M" during the period - 500 days. Movement of a detail comes from one station after completion of work at the previous stage, in all remained time the equipment does not work. Execution plan of a research:

- Define a problem and the purpose according to set actions for planning of the enterprise.
- Set a set of the purposes.
- Define criteria of decision-making.
- Offer one or several alternative variants solutions.

Research objective is increases in quantity of the made details. To define problem places of the available production line, we will execute modeling of a system with use of the following components: ChartEnergy (statistics of energy consumption), ResorseStatistic (statistics of resources), CostAnalyzer (calculation of cost of unit of the ave., EnergyAnalyzer (energy consumption), ExperimentManager (experiment manager), HTMLReport (Report). At execution of modeling of processes such parameters as capital investments, the period of depreciation of the equipment, equipment failure probability, energy consumption, adjustment time the equipment and service were specified.

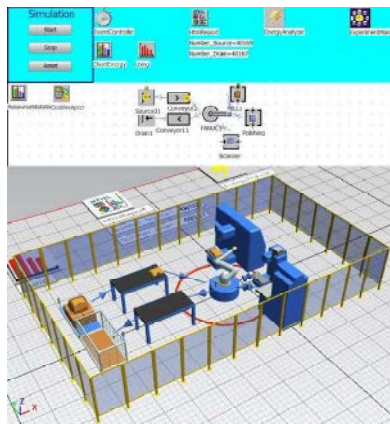


Figure 1. Simulation model for sequential execution of operations.

## **Simulation-based Multi-objective Optimization and Lean Manufacturing**

### ***Conventional Tools***

To develop and improve manufacturing processes and different related systems, we use different simulation models. A good formulation of a model which describes the function, evolution, and interaction with different related elements is based on data analysis and study [70]. Different techniques for process improvement are exploited. Linear programming, Discrete-Event Simulation (DES), System Dynamic (SD), Markov Chain Analysis, and Monte Carlo Simulation are considered the most popular conventional simulation tools [71- 74]. Various modeling formalisms have been established to describe the manufacturing processes and systems for varied fields and engineering applications (e.g. model of a machine, cell, line, site, etc.) [75, 76]. 1) Discrete Event Simulation Parameters The manufacturing systems are described with much formalism. The Discrete Event System (DEVS) specification is the most popular tool for modeling deterministic systems [77- 79]. The elements are interchangeable in many cases and are related by graphs, diagrams, and Petri-net formalism [80-82]. As it is a rigorous and able tool to describe discrete event models in hierarchical and modular manner, DEVS is considered an efficient way to describe production processes mathematically when they are divided into different stages within a sequence of production steps [83, 84]. Complex and stochastic flows in production lines and logistics systems are also described by this simulation tool [85-87] with relatively minor investment. As indicated in [88], DEVS can provide several scenarios despite the need of a large amount of modeling time in order to analyze system performance and to converge to an optimum solution. However, as the simulation is not an optimization tool by itself, in some cases, the improved scenario cannot give an optimized solution [89, 90]. Unavoidable parameters in this stimulation tool are illustrated in Table I.

### ***Simulation Based Optimization***

Simulations of processes, machines, lines, or entire factories are built for a variety of reasons including virtual experimentation, prediction, and optimization [91]. In order to be confident in any result of such a model, it must be validated against the real system [92]. The opposite also happens, when we wish to know whether the machines are operating as expected. This is done by comparing the data gathered from the simulation to the data gathered from the smart factory [93]. It is possible to perform this validation using summary statistical information, but it can hide the dependencies present in the underlying data [94]. Stimulation Based Optimization (SBO) combined with inline devices has a tremendous

potential for the framework and handle advancement in fabricating. While SBO can be utilized to analyze complex energetic frameworks with high inconstancy and an expansive sum of conceivable arrangements, incline devices can make up a base for persistent change in individual processes [95]. Be that as it may, there is frequently a need of information in order to begin working with incline devices and reenactment approaches, particularly in production lines with a long history and tradition

**TABLE I. PARAMETERS OF DIFFERENT SIMULATION MODELS**

<b>Failures</b>	Amounts of different kinds
	Distribution of durations
	Distribution of intervals
<b>Cycle times</b>	OT processes
	OT assemblies
	OT manual task (distribution)
<b>Steps</b>	Times (distribution)
	Dependencies between articles
<b>Batches</b>	Container sizes
	Delivery quantity
	Frequencies

### **Modern Tools**

VR, 3D Discrete Event Simulation (DES), data analytics, and real-life data from the products, processes, and production systems are considered the most popular modern tools for simulation and optimization [96-98].

### **Virtual Reality (VR)**

Integration of training in an immersive VR environment, with simulation, modeling, and data analytics, is planned to make harmonization and dissemination of knowledge more accessible [99, 100]. Demonstrating the 3D VR recreation based on genuine production line and item information envelops the as-is show of the fabricating plant, counting the consistent connections. In this stage, the substances within the VR can be considered computerized models of their physical partners [101]. The virtual tests of a computerized exhibition are based on the standards of simulation-type modeling [102]. Tests are utilized to survey the robustness and the ability of the physical equipment to comply with the prerequisites of the Terms of Reference (ToR) described within the virtual machine by the specialized computer program (SW) components [103].

### **Data Analytics and Real-Life Data from Products, Processes, and Production Systems**

A virtual representation of fabrication frameworks utilizing the information from genuine industrial facilities can offer arrangements for

item advancement and bolster item presentation forms, from design-to-manufacturing to simulation-based information analytics. It can allow shrewd generation frameworks [104-108]. Appropriately, the virtual plant is a coordinated, high-fidelity recreation demonstration of a fabricating plant, which offers a progressed choice bolster capability and can back the assessment and reconfiguration of unused or existing fabricating frameworks [109, 110]. Therefore, real-time information integration between VR-enabled VF recreations is considered to be superior with higher exactness, precision, and unwavering quality [111-113].

### ***3D Discrete Event Simulation (DES) Using FlexSim Simulation Tool***

FlexSim simulation tool was utilized to create a VR recreation in [114]. It is a 3D DES computer program which contains common and health-care-focused items [115-116]. Its user-friendly drag and drop interface and comprehensive visual capabilities were considered important for quick experimentation. FlexSim's inserted VR capability permits clients to show, run, and control the recreations and collect the factual information in 3D graphical VR environment [117-119]. This capability brought significant preferences in diminishing the time for approval after each reconfiguration/redesign of the VR. The interesting sentence structure of the instrument makes it challenging to create customized models [25].

### ***Simulation for Learning Factories: The Example of Fischertechnik Manufacturing Plant Models***

Manufacturing businesses are transitioning towards more independent and smart generation lines within the Industry 4.0. Learning production lines as small-scale physical models of shop floors is done in order to conduct inquiries about the shrewd fabricating zone without depending on costly genuine generation lines or totally mimicked information. Learning factories are used for conducting investigations within the setting of commerce administration and IoT [120]. The physical Fischertechnik manufacturing plant model mimics complex generation lines. Three case studies of combined BPM and IoT were considered in [121-125], i.e. the execution of a BPM deliberation stack on behalf of a learning manufacturing plant, the experience-based adjustment and optimization of fabricating forms, and the stream processing-based conformance checking of IoT-enabled forms. By utilizing physical manufacturing plant models as test beds for assessment, investigation is more realistic—but more challenging—than utilizing artificial information in this kind of profoundly energetic CPPS. Physical plant models empower the approval and exhibition of inquiries about artifacts in an ensured environment [125]. At the same time, this close-to-reality recreation of a genuine generation line encourages the exchange of created concepts into hone.

**Model-Based System Engineering (MBSE)**

MBSE could be a key enabler for building complex frameworks as can be seen by the expanded number of related distributions [125-127]. For effectively building Industry 4.0 frameworks, the MBSE community plays a pivotal part by empowering the previously mentioned plan standards. Model-based framework designs have appeared to encourage the improvement of such frameworks, but their application to Industry 4.0 has not been efficiently explored [128]. To comprehend the commitment of MBSE to Industry 4.0, precise mapping has been conducted in [30, 129-130], which uncovered that computerized representation of robotized frameworks, i.e. their interfacing and information models, as well as their integration and (re)configuration are the prime Industry 4.0 concerns tended to by MBSE. Most published papers contribute strategies and ideas to illuminate specific challenges of Industry 4.0

**Conclusion**

This paper at first highlighted the conventional and modern simulation and modeling tools used in manufacturing system design and production improvement. Challenges needed to be addressed by the simulation community were discussed in depth. Finally, evolution, advances, current practices and future opportunities were discussed in the context of the contemporary manufacturing industry, particularly the challenges of the Industry 4.0 and the opportunities of Industry 5.0.

This paper can be applied mainly in the field of the development of smart manufacturing systems, where the control system and the manufacturing process use simulations in order to predict efficiently the processes of future factories.

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# Fixed Point Theorems for Generalized $(\psi, \varphi)$ - Contractive Mappings in a Complete Strong Fuzzy Metric Space

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## Abstract

We introduce new concepts of generalized contractive and generalized  $\alpha$ -Suzuki type contractive mappings. Then, we obtain sufficient conditions for the existence of a fixed point of these classes of mappings on complete metric spaces and  $b$ -complete  $b$ -metric spaces. Our results extend the theorems of Ciric, Chatterjea, Kannan and Reich.

## Introduction

In 2016, Azizi, Moosaei and Zarei introduced the almost generalized  $C''$  contractive mappings in an ordered metric space via the altering distance functions and the functions having property  $(P)$ , and to prove some fixed point and common fixed point theorems for such mappings in an ordered complete metric space.

Motivated from, Azizi, Moosaei and Zarei work, we developed the fixed point results of this chapter. In this chapter, we first introduce generalized contractive conditions of maps and we also prove some fixed point theorems for generalized  $(\psi, \varphi)$  - contractive mapping in a strong fuzzy metric space.

In the following  $\Psi$  denote the class of continuous and non-increasing functions

$$\psi : (0, 1] \rightarrow [1, \infty) \text{ such that } \psi(x) = 1 \text{ if and only if } x = 1.$$

Note that  $\Psi \neq \emptyset$ , In fact the map  $\psi : (0, 1] \rightarrow [1, \infty)$  defined by  $\psi(t) = t$  is in  $\Psi$ .

We denote by  $\Phi$  the class of all functions  $\varphi : (0, 1] \times (0, 1] \rightarrow (0, 1]$  such that  $\varphi$  is upper semi continuous, non-decreasing and  $\varphi(s, t) = 1$  if and only if  $s = t = 1$ .

Note that  $\Phi \neq \emptyset$ , In fact the map  $\varphi : (0, 1] \times (0, 1] \rightarrow (0, 1]$  defined by  $\varphi(s, t) = st$  is in  $\Phi$ .

### Generalized $(\psi, \varphi)$ Contractive Mapping in Strong Fuzzy Metric Spaces

We introduce generalized  $(\psi, \varphi)$  contractive mapping in fuzzy metric space.

**Definition 1.1.1.** Let  $(X, M, *)$  be a fuzzy metric spaces. We say that a mapping  $T : X \rightarrow X$  is a generalized  $(\psi, \varphi)$ - contractive mapping if there exist  $(\psi, \varphi) \in \Psi \times \Phi$  such that,

$$\psi(M(Tx, Ty, t)) \leq \psi(N(x, y, t))\varphi(N^+(x, y, t), N^-(x, y, t)), \tag{1.1.1}$$

for all  $x, y \in X$ , for all  $t > 0$ , where

$$N(x, y, t) = \min\{M(x, y, t), M(x, Tx, t), M(y, Ty, t)\},$$

$$N^-(x, y, t) = \min\{M(x, y, t), M(x, Tx, t), M(x, Ty, t)\}$$

and

$$N^+(x, y, t) = \min\{M(x, y, t), M(y, Ty, t), M(y, Tx, t)\}.$$

**Definition 3.1.2.** Let  $(X, M, *)$  be a fuzzy metric space and let  $f, g$  be two self mappings on  $X$ . A mapping  $f$  is said to be generalized  $(\psi, \varphi)$ - contractive with respect to  $g$  if there exist  $(\psi, \varphi) \in \Psi \times \Phi$  such that,

$$\psi(M(fx, gy, t)) \leq \psi(N(x, y, t))\varphi(N^+(x, y, t), N^-(x, y, t)), \tag{1.1.1}$$

for all  $x, y \in X$ , for all  $t > 0$ , where

$$N(x, y, t) = \min\{M(x, y, t), M(x, fx, t), M(y, gy, t)\},$$

$$N^-(x, y, t) = \min\{M(x, y, t), M(x, fx, t), M(x, gy, t)\}$$

and

$$N^+(x, y, t) = \min\{M(x, y, t), M(y, gy, t), M(y, fx, t)\}.$$

The following propositions are important to prove our main results.

**Proposition 3.1.3.** Let  $(X, M, *)$  be a strong fuzzy metric space. Let  $T : X \rightarrow X$  be a generalized  $(\psi, \varphi)$ - contractive mapping. Fix  $x_0 \in X$ . Define a sequence  $\{x_n\}$  in  $X$  by  $x_{n+1} = Tx_n$  for  $n = 0, 1, 2, \dots$ . If  $\lim_{n \rightarrow \infty} M(x_n, x_{n+1}, t) = 1 \ \forall t > 0$  then  $\{x_n\}$  is a Cauchy sequence.

**Proof.** Since the mapping  $T$  is generalized  $(\psi, \varphi)$ - contractive there exists  $(\psi, \varphi) \in \Psi \times \Phi$  such that

$$\psi(M(Tx, Ty, t)) \leq \psi(N(x, y, t))\varphi(N^+(x, y, t), N^-(x, y, t)) \quad \forall x, y \in X.$$

Suppose that sequence  $\{x_n\}$  is not a Cauchy sequence. Then there exist  $\epsilon \in (0, 1)$  and  $t_0 > 0$  such that for all  $k \geq 1$ , there are positive integers  $m(k), n(k) \in \mathbb{N}$  with  $m(k) > n(k) \geq k$  and

We assume that  $m(k)$  is the least integer exceeding  $n(k)$  and satisfying the above inequality, that is equivalently,

$$M(x_{n(k)}, x_{m(k)-1}, t_0) > 1 - \epsilon \text{ and } M(x_{n(k)}, x_{m(k)}, t_0) \leq 1 - \epsilon.$$

Now we have

$$\begin{aligned} 1 - \epsilon &\geq M(x_{n(k)}, x_{m(k)}, t_0) \geq M(x_{n(k)}, x_{m(k)-1}, t_0) * M(x_{m(k)-1}, x_{m(k)}, t_0) \\ &> (1 - \epsilon) * M(x_{m(k)-1}, x_{m(k)}, t_0). \end{aligned}$$

$$\lim_{k \rightarrow \infty} (1 - \epsilon) * M(x_{m(k)-1}, x_{m(k)}, t_0) = 1 - \epsilon. \text{ It follows that } \lim_{k \rightarrow \infty} M(x_{n(k)}, x_{m(k)}, t_0) \text{ exists and } \lim_{k \rightarrow \infty} M(x_{n(k)}, x_{m(k)}, t_0) = 1 - \epsilon.$$

First we prove that

$$(i) \lim_{k \rightarrow \infty} M(x_{m(k)-1}, x_{n(k)-1}, t_0) = 1 - \epsilon,$$

First we prove that

$$(i) \lim_{k \rightarrow \infty} M(x_{m(k)-1}, x_{n(k)-1}, t_0) = 1 - \epsilon,$$

$$(ii) \lim_{k \rightarrow \infty} M(x_{m(k)-1}, x_{n(k)}, t_0) = 1 - \epsilon,$$

$$(iii) \lim_{k \rightarrow \infty} M(x_{n(k)-1}, x_{m(k)}, t_0) = 1 - \epsilon.$$

Since

$$M(x_{m(k)}, x_{n(k)}, t_0) \geq M(x_{m(k)}, x_{m(k)-1}, t_0) * M(x_{m(k)-1}, x_{n(k)-1}, t_0) * M(x_{n(k)-1}, x_{n(k)}, t_0), \quad (1.1.3)$$

Taking limit superior in (1.1.2) and limit inferior in (1.1.3) we get,

$$1 - \epsilon \geq \limsup_{k \rightarrow \infty} M(x_{m(k)-1}, x_{n(k)-1}, t_0) \quad (1.1.5)$$

and

$$\liminf_{k \rightarrow \infty} M(x_{m(k)-1}, x_{n(k)-1}, t_0) \geq 1 - \epsilon. \quad (1.1.6)$$

$k \rightarrow \infty$

Then  $T$  has a unique fixed point.

For next result we use the following notation: Let  $f : X \rightarrow X, g : X \rightarrow X$  be maps, we denote the set of all fixed points of  $f$  by  $F(f) = \{x \in X \mid f(x) = x\}$  and the set of all common fixed points of  $f$  and  $g$  by  $F(f, g) = \{x \in X \mid f(x) = g(x) = x\}$ .

**Theorem 3.1.7.** Let  $(X, M, *)$  be a strong complete fuzzy metric space. Let  $f, g : X \rightarrow X$  be two mappings and  $f$  is generalized  $(\psi, \varphi)$ - contractive mapping with respect  $g$  then  $F(f) = F(g)$ . Further if either  $f$  or  $g$  is continuous then  $f$  and  $g$  have a unique common fixed point.

On the other hand, if

$$\varphi(M(x_{2n-1}, x_{2n}, t), \min\{M(x_{2n-1}, x_{2n}, t), M(x_{2n}, x_{2n+1}, t)\}) < 1$$

then from (3.1.7.9) we have

$$\psi(M(x_{2n}, x_{2n+1}, t)) < \psi(\min\{M(x_{2n-1}, x_{2n}, t), M(x_{2n}, x_{2n+1}, t)\}).$$

Now, since  $\psi$  is non-increasing it follows that

$$M(x_{2n}, x_{2n+1}, t) > \min\{M(x_{2n-1}, x_{2n}, t), M(x_{2n}, x_{2n+1}, t)\}.$$

Which implies  $\min\{M(x_{2n-1}, x_{2n}, t), M(x_{2n}, x_{2n+1}, t)\} = M(x_{2n-1}, x_{2n}, t)$ .

Thus,  $M(x_{2n}, x_{2n+1}, t) > M(x_{2n-1}, x_{2n}, t)$ .

Hence  $\{M(x_{2n}, x_{2n+1}, t)\}$  is an increasing sequence in  $(0, 1]$ . Thus, there exist  $l_t \in (0, 1]$  such that  $\lim_{n \rightarrow \infty} M(x_{2n}, x_{2n+1}, t) = l_t, \forall t > 0$ . We now prove that  $l_t = 1$  for all  $t > 0$ .

Let  $t > 0$ , from (3.1.7.9) we have

Since  $\psi$  is continuous and  $\varphi$  is upper semi continuous with respect to both variables on taking limit superior in (3.1.7.10) we get

$$\psi(l_t) \leq \psi(l_t)\varphi(l_t, l_t). \tag{1.1.7.11}$$

Which implies  $\varphi(l_t, l_t) = 1$ . By the property of  $\varphi, l_t = 1$ . Hence by Proposition 1.1.2.1

it follows that  $\{x_n\}$  is a Cauchy sequence.

Since  $(X, M, *)$  is a complete strong fuzzy metric space there exist  $u \in X$  such that  $x_n \rightarrow u$ . With out loss of generality we assume that  $f$  is continuous. As  $x_{2n-1} \rightarrow u$  as  $n \rightarrow \infty$ , the continuity of  $f$  implies that  $fx_{2n-1} = x_{2n} \rightarrow fu$ , by uniqueness of the limit, we obtain  $fu = u$ . Therefore  $u \in F(f) = F(g)$ .

We will show  $u$  is unique. Suppose that  $v \in F(f, g) = F(f) = F(g)$ . For each  $t > 0$ , we have

	$\psi(M(u, v, t)) = \psi(M(fu, gv, t))$ $\leq \psi(N(u, v, t))\varphi(N^{\cdot}(u, v, t), N^{\cdot\cdot}(u, v, t)),$	(1.1.7.12)
where		
	$N(u, v, t) = \min\{M(u, v, t), M(u, fu, t), M(v, gv, t)\}$ $= \min\{M(u, v, t), 1, 1\}$ $= M(u, v, t),$	(1.1.7.12)
	$N^{\cdot}(u, v, t) = \min\{M(u, v, t), M(u, fu, t), M(u, gv, t)\}$ $= \min\{M(u, v, t), 1, M(u, v, t)\}$ $= M(u, v, t),$	(1.1.7.14)
	$N^{\cdot\cdot}(u, v, t) = \min\{M(u, v, t), M(v, gv, t), M(v, fu, t)\}$ $= \min\{M(u, v, t), 1, M(u, v, t)\}$ $= M(u, v, t).$	(1.1.7.15)

From (1.1.7.12), (1.1.7.13), (1.1.7.14) and (1.1.7.15) we have,

$$\psi(M(u, v, t)) = \psi(M(fu, gv, t)) \leq \psi(M(u, v, t))\varphi(M(u, v, t), M(u, v, t)).$$

Thus,  $\varphi(M(u, v, t), M(u, v, t)) = 1$ . Which implies  $M(u, v, t) = 1$ . Therefore  $u = v$ . ■

By taking  $\psi(t) = \frac{1}{t}$  and  $\varphi(s, t) = st$  in Theorem 3.1.7 we draw the following corollary:

**Corollary 3.1.8.** Let  $(X, M, *)$  be a strong complete fuzzy metric space. Let  $f, g : X \rightarrow X$  be two mappings such that for each  $x, y \in X$  and  $t > 0$

$$\frac{M(x, y, z)}{M(fx, gy, t)} \leq N(x, y, t)N^{\cdot}(x, y, t),$$

where

$$N^{\cdot}(x, y, t) = \min\{M(x, y, t), M(x, fx, t), M(x, gy, t)\}$$

and

$$N^{\cdot\cdot}(x, y, t) = \min\{M(x, y, t), M(y, gy, t), M(y, fx, t)\}.$$

Then  $F(f) = F(g)$ . Further if either  $f$  or  $g$  is continuous then  $f$  and  $g$  have a unique common fixed point.

### Examples

In this section we provide examples in support of the main results of Section 3.1. The following example is in support of Theorem 3.1.5.

**Example 3.2.1.** Let  $X = [0, \infty)$  and  $M(x, y, t) = (t)^{d(x, y)}$ , where  $d(x, y) = |x - y|$ ,  $*$  be product continuous t-norm. Here  $(X, M, *)$  is complete strong fuzzy metric space. Let  $T : X \rightarrow X$  be a map defined by

$$Tx = \begin{cases} \frac{x}{2}, & \text{if } x \in [0, 1), \\ \frac{1}{2}, & \text{if } x \in [1, \infty). \end{cases}$$

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# “On Characterisation of Para-compactness in Bitopological Space and their Properties”

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## Abstract

Our main aim is to derive modified version of topological properties of Para-topological and semi-topological groups. We generalize topological separation axioms on fuzzy topological space and establish their relations. We discuss here the concepts of pairwise continuous mapping, pairwise open mapping and pairwise homeomorphism of bitopological spaces to distinguish them from each other. We analyse here different types of classifications of bitopological space arising from the different types of pairs that can be taken to define a bitopological space. J. L. Kelley<sup>(1)</sup> discuss the existing pairwise  $(T_0)$ ,  $(T_1)$  and  $(T_2)$  concept and study their properties. The concepts of stp-compactness, p-compactness and Sgp-compactness for bitopological spaces are examined to compare with the existing concepts of pairwise compactness for bitopological space

**Key words :** (Topological space, Bitopology spaces, Axiomatization, compactness and para-compactness, Convergence, stp-compactness, p-compactness and Sgp-compactness)

## 1.1 Introduction

The aim of this paper is to study sgp-compactness and sgp-connectedness using sgp-closed set and also discuss some of their properties. Levine<sup>(3)</sup> introduced the notion of generalized closed sets in topological spaces and showed that compactness, countably compactness, para compactness and normality are all g-closed hereditary and also introduced a class of generalized semi open-compact and semi generalized open-compact in topological spaces and investigated some of its theorems. There are no separation axioms are taken up on the notations  $(X, \tau)$  and  $(Y, \sigma)$  which stands for topological spaces except clearly stated. If  $A \subset X$ , then  $Cl(A)$  and  $Int(A)$  stands for closure of  $A$  and the interior of  $A$  in  $X$  respectively. If  $D \subseteq \cup\{D_i : i \in I\}$ , then a collections  $\{D_i : i \in I\}$  of sgp-open sets in a topological space  $X$  is said to sgp-open cover of subset  $D$  in  $X$ .

## 1.2 Theorem

A sgp-compact space whose subset sgp-closed is sgp-compact relative to  $X$ .

**Proof**

Let us consider  $D$  as a sgp-closed subset of  $X$ . So,  $D^c$  is sgp-open cover of  $D$  by sgp-open subsets in  $X$ . Then  $P^* = P \cup D^c$  is a sgp-open cover of  $X$ . Now,  $X = [\cup\{D_i : i \in I\}] \cup D^c$ . By hypothesis,  $X$  is sgp-compact and hence  $P^*$  is converting to finite sub-cover of  $X$  say  $X = D_{i_1} \cup D_{i_2} \cup \dots \cup D_{i_n} \cup D^c$ ,  $D_{i_k} \in P^*$ . But  $D$  and  $D^c$  are disjoint. Hence  $D \subseteq D_{i_1} \cup D_{i_2} \cup \dots \cup D_{i_n} \in P$ . Thus a sgp-open cover of  $D$  having a finite sub-cover, that is  $D$  is sgp-compact relative to  $X$ .

**1.3 Theorem**

A topological space  $X$  is sgp-compact iff any family of SGPC( $X$ ) having finite intersection property (FIP) have a non empty intersection.

**Proof**

Let us suppose  $X$  is sgp-compact. Now consider  $\{D_i : i \in I\}$  as a family of sgp-closed sets with FIP. To prove that  $\bigcap\{D_i : i \in I\} \neq \emptyset$ . Now suppose  $\bigcap\{D_i : i \in I\} = \emptyset$ . Then  $X - \cup\{D_i : i \in I\} = X$ , which implies  $\{(X - D_i) : i \in I\} = X$ . Thus the cover  $\{(X - D_i) : i \in I\}$  is a sgp-open cover of  $X$ . As by the given  $X$  is sgp-compact, the sgp-open cover  $\{(X - D_i) : i \in I\}$  have a finite sub-cover say  $\{(X - D_i) : i = 1, 2, \dots, n\}$ . This implies  $X = \cup\{(X - D_i) : i = 1, 2, \dots, n\}$ , which implies that  $X - X = X - [X - \bigcap\{D_i : i = 1, 2, \dots, n\}]$  implies that  $\emptyset = \bigcap\{D_i : i = 1, 2, \dots, n\}$ . This contradicts the assumption. Hence,  $\bigcap\{D_i : i = 1, 2, \dots, n\} \neq \emptyset$ .

Conversely, suppose a FIP having by every family of SGPC( $X$ ) have a non-empty intersection. Now  $X$  is not sgp-compact. Then we have a is sgp-open cover of  $X$  say  $\{H_i : i \in I\}$  having no finite sub-cover. This implies for any finite sub family  $\{H_i : i = 1, 2, \dots, n\}$  of  $\{H_i : i \in I\}$  we have  $\cup\{H_i : i = 1, 2, \dots, n\} \neq X$  which implies that  $X - \cup\{H_i : i = 1, 2, \dots, n\} \neq X - X$ , which implies  $\bigcap\{(X - H_i) : i = 1, 2, \dots, n\} \neq \emptyset$ . Then the family  $\{X - H_i : i \in I\}$  of sgp-closed sets has FIP. Also by assumption  $\bigcap\{(X - H_i) : i \in I\} \neq \emptyset$  which implies  $X - \cup\{H_i : i \in I\} \neq \emptyset$ . So  $\cup\{H_i : i \in I\} \neq X$ . This implies  $\{H_i : i \in I\}$  is not a cover of  $X$ . This is the fact which contradicts that  $\{H_i : i \in I\}$  is cover of  $X$ . Thus a sgp-open cover  $\{H_i : i \in I\}$  have a finite sub-cover  $\{H_i : i = 1, 2, \dots, n\}$ . Hence,  $X$  is sgp-compact. Hence, theorem is proved.

### 1.4 Properties of Topological and bitopological spaces

- (a) A pair of topological space  $(X, T_1)$  and  $(X, T_2)$
- (b) A topological space  $(X, T_1 + T_2)$  where  $T_1 + T_2$  is the topology generated by  $T_1 \cup T_2$ .

Let  $P$  be a topological property. Then we say that  $(X, T_1, T_2)$  is

- (i)  $p$ - $p$  if  $(X, T_1, T_2)$  is pairwise  $P$  :
- (ii)  $bi$ - $p$  if both  $(X, T_1)$  and  $(X, T_2)$  have property  $P$
- (iii)  $stp - p$  if  $(X, T_1, T_2)$  is pairwise  $p$  but neither  $(X, T_1)$  nor  $(X, T_2)$  have property  $P$
- (iv)  $wp$ - $p$  if  $(X, T_1, T_2)$  has some weak pairwise property  $P$
- (v)  $sup$ -  $P$  if  $(X, T_1 + T_2)$  has property  $P$ ;
- (vi) bitopological  $P$  if it remains invariant under homeomorphism (bitopological) and
- (vii)  $p$ -bitopological  $p$  if it remains invariant under pairwise homeomorphism.

The modified versions are as follows

- (i) (strictly pairwise  $(T_0)$ ) A bitopological space  $(X, T_1, T_2)$  is said to be strictly pairwise  $(T_0)$ -space if neither  $(X, T_1)$  nor  $(X, T_2)$  is  $(T_0)$ -space but for every pair of distinct points of  $X$ , there exists either a  $T_1$ -open set or a  $T_2$ -open set containing one of them but not the other.
- (ii) A bitopological space  $(X, T_1, T_2)$  is said to be pairwise compact if the topological space  $(X, T_1)$  nor  $(X, T_2)$  are compact. Let us illustrate it by a suitable example.
- (iii) A collection  $U$  of either  $T_1$ -open or  $T_2$ -open sets with  $X \subseteq \cup U$  is called
  - (i)  $stp$ -open cover of  $X$  if
 
$$(U \cap T_1) - (U \cap T_2) \neq \emptyset \text{ and } (U \cap T_2) - (U \cap T_1) \neq \emptyset$$
  - (ii)  $p$ -open cover of  $X$  if
 
$$(U \cap T_1) \neq \emptyset, (U \cap T_2) \neq \emptyset$$
  - (iii)  $stp - open$  cover of  $X$ , if  $U \subseteq T_1 \cup T_2$
- (iv) A bitopological space  $(X, T_1, T_2)$  is said to be
  - (i)  $stp$ -compact if every  $stp$ -open cover of  $X$  has finite  $stp - open$  subcover
  - (ii)  $p$ -compact if every  $p$ -open cover of  $X$  has finite  $p$ -open subcover
  - (iii)  $sgp$ -compact if every  $sgp - open$  cover of  $X$  has finite  $sgp - open$  subcover
- (v) A bitopological space  $(X, T_1, T_2)$  is said to be

- (i) stp-Lindeloff if every stp-open cover of  $X$  has countable stp – open subcover
- (ii) p-Lindeloff if every p-open cover of  $X$  has countable p-open subcover
- (iii) sgp-Lindeloff if every sgp –open cover of  $X$  has countable sgp – open subcover

Properties of strictly pair-wise compactness and its equivalence.

- (i) Stp-compactness  $\nRightarrow$  pairwise compactness
- (ii) p-compactness  $\nRightarrow$  stp- compactness
- (iii) p-compactness  $\nRightarrow$  pairwise- compactness
- (iv) pairwise compactness  $\nRightarrow$  p- compactness
- (v) pairwise compactness  $\nRightarrow$  sgp- compactness
- (vi) stp compactness  $\nRightarrow$  sgp- compactness
- (vii) p-compactness  $\nRightarrow$  sgp- compactness

### 1.5 Theorem

Let  $(X, T_1, T_2)$  be sgp – compact bitopological space, then

- (i)  $(X, T_1, T_2)$  is pairwise compact bitopological space
- (ii)  $(X, T_1, T_2)$  is stp - compact bitopological space
- (iii)  $(X, T_1, T_2)$  is p- compact bitopological space

#### Proof

Let us suppose that  $(X, T_1, T_2)$  is sgp-compact bitopological space. Then every sgp-open cover of  $X$  has a finite – sgp open subcover of  $X$ .

- (i) Let  $U$  be a collection of  $T_1$  – open subsets which covers  $X$ . Then  $U \subseteq T_1 \cup T_2$ . So  $U$  is a sgp – open cover of  $X$ . Hence, open cover has a finite sgp – open subcover whose elements are  $T_1$  – open. This means that  $(X, T_1)$  is compact. Similarly we may prove that  $(X, T_2)$  is compact. Hence,  $(X, T_1, T_2)$  is pairwise compact bitopological space.
- (ii) Let  $U$  be a stp – open cover of  $X$ . Then  $U \subseteq T_1 \cup T_2$ . So  $U$  is a sgp – open cover of  $X$ . According to assumption, of theorem stated above has finite sgp – open subcover  $x$  say  $V$ . Let us choose  $G_\alpha \in (U \cap T_2)$  and  $G_\beta \in (U \cap T_1) - (U \cap T_2)$  then  $\forall U \{G_\alpha, G_\beta\}$  is a finite step – open subcover of  $X$ . Hence,  $(X, T_1, T_2)$  is stp-compact bitopological space.
- (iii) Let  $U$  be a p-open cover of  $X$ . Then  $U \subseteq T_1 \cup T_2$ . So  $U$  is a sgp – open cover of  $X$ . According to our assumption,  $U$  has a finite sgp – open subcover of  $X$ , say  $V$ . we choose  $G_\alpha \in (U \cap T_1)$  and  $G_\beta \in (U \cap T_2)$ .

Then  $\bigcup \{G_\alpha, G_\beta\}$  is a finite  $p$ -open subcover of  $X$ . Hence,  $(X, T_1, T_2)$  is  $p$ -compact bitopological space.

### 1.6 Theorem

Let  $(X, T_1, T_2)$  be  $p$ -compact bitopological space. Then it is a stp-compact bitopological space.

#### **Proof**

Let us suppose that  $(X, T_1, T_2)$  is  $p$ -compact bitopological space. Then every  $p$ -open cover of  $X$  has a finite  $p$ -open subcover of  $X$ . Let  $U$  be a stp-open cover of  $X$ . Then  $(U \cap T_1) \neq \emptyset$  and  $(U \cap T_2) \neq \emptyset$ . So  $U$  is a  $p$ -open cover of  $X$ . According to our assumption of the theorem  $p$ -open cover has finite  $p$ -open subcover, say  $V$ . Let us choose  $G_\alpha \in (U \cap T_1) - (U \cap T_2)$  and  $G_\beta \in (U \cap T_2) - (U \cap T_1)$ . Then  $\bigcup \{G_\alpha, G_\beta\}$  is a finite stp-open subcover of  $X$ . Hence,  $(X, T_1, T_2)$  is stp-compact bitopological space. Hence, theorem is proved.

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# Pavement Management Systems: Integrating Transportation Modeling

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## **Abstract**

Pavement Management Systems (PMS) are essential for maintaining road infrastructure, but traditional approaches often lack integration with advanced transportation modeling techniques, leading to suboptimal decision-making. This study explores the integration of transportation modeling into PMS to enhance pavement condition predictions, optimize maintenance schedules, and improve resource allocation. Using traffic simulation models, predictive analytics, and Geographic Information Systems (GIS), the research integrates traffic data such as volume, vehicle types, and travel patterns into pavement assessments. A case study on urban road networks demonstrates a 20% reduction in maintenance costs and a 15% increase in pavement lifespan compared to traditional methods. The findings highlight the potential of integrating transportation modeling into PMS for sustainable infrastructure management. This research lays the groundwork for future studies on artificial intelligence and machine learning applications in this domain.

**Keywords:** Pavement Management Systems (PMS), Transportation Modeling, Predictive Analytics, GIS, Sustainable Infrastructure, Maintenance Optimization.

## **1. Introduction**

Road infrastructure is a critical component of economic development, public safety, and environmental sustainability (Smith et al., 2020). Pavement Management Systems (PMS) play a vital role in maintaining this infrastructure by monitoring pavement conditions, predicting deterioration, and prioritizing maintenance activities (Johnson & Lee, 2019). However, traditional PMS often rely on historical data and fail to account for dynamic factors such as traffic load, vehicle types, and travel patterns (Brown et al., 2021). This limitation leads to reactive maintenance strategies, increased costs, and reduced pavement lifespan (Zhang & Wang, 2018).

Transportation modeling, which provides insights into traffic behavior, demand forecasting, and network performance, offers a transformative opportunity to enhance PMS (Anderson et al., 2022). By integrating transportation modeling into PMS, transportation agencies can develop proactive maintenance strategies, optimize resource allocation, and improve the overall efficiency of road infrastructure management (Taylor & Green, 2020). This study aims to bridge the gap between PMS and transportation modeling, proposing a framework that leverages advanced technologies such as predictive analytics and GIS to improve decision-making processes.

## 2. Problem Statement

Traditional PMS face significant challenges due to their reliance on static or historical data, which limits their ability to account for dynamic traffic-related factors such as traffic volume, vehicle types, and travel patterns (Brown et al., 2021). This disconnect results in inaccurate predictions of pavement deterioration, reactive maintenance strategies, inefficient resource allocation, and higher costs (Zhang & Wang, 2018). The lack of integration with transportation modeling exacerbates these issues, making it difficult to prioritize maintenance activities effectively (Anderson et al., 2022). Addressing these challenges requires a holistic approach that integrates transportation modeling into PMS, enabling data-driven, proactive decision-making and sustainable infrastructure management.

## 3. Literature Review

### 3.1 Pavement Management Systems (PMS)

Smith & Nguyen (2024) documented a case study in which transportation planners, data scientists, and civil engineers co-developed a PMS for a smart city project in Singapore. Their framework reduced interdepartmental silos and improved decision-making efficiency by 40%. Policy-focused research, such as EU's 2024 Sustainable Mobility Directive, now mandates the integration of transportation modeling into national PMS frameworks to qualify for infrastructure funding.

Lee et al. (2023) demonstrated a cloud-based PMS that integrates live traffic data from GPS and weigh-in-motion sensors, allowing agencies to adjust maintenance plans during peak traffic events. This approach reduced road closure durations by 25%. Gomez & Zhang (2024) further expanded this concept by incorporating satellite imagery and drone-based inspections, enhancing data granularity for rural and remote road networks.

PMS have been extensively studied as tools for monitoring pavement conditions and optimizing maintenance schedules. Johnson and Lee (2019) emphasize the importance of PMS in predicting pavement deterioration and planning maintenance activities. However, traditional PMS often rely on historical data, such as pavement condition indices (PCI), and fail to incorporate dynamic factors like traffic load and environmental conditions (Brown et al., 2021). Recent advancements in PMS have focused on integrating GIS and remote sensing technologies to improve data collection and analysis (Zhang & Wang, 2018).

The application of artificial intelligence in pavement management systems has evolved significantly over the past three decades. Mahoney et al. (1989) pioneered the integration of non-destructive testing (NDT) methods with pavement management through their development of EVERPAVE for Washington state flexible pavements. Their work established methodologies for estimating layer resilient moduli, seasonal modulus adjustments, and asphalt concrete failure criteria.

Subsequent research demonstrated the effectiveness of artificial neural networks (ANNs) in pavement engineering. Terzi (2005) developed an ANN model for predicting the serviceability index of flexible pavements that achieved superior performance compared to traditional AASHTO methods. The model's higher regression value demonstrated the potential of machine learning approaches in pavement condition assessment.

Several studies have focused on optimizing ANN architectures for pavement applications. Gopalakrishnan (2010) conducted a comprehensive analysis of training algorithms for neural networks used in pavement design, establishing guidelines for algorithm selection based on specific application requirements. This work provided important insights into improving the reliability of ANN-based pavement models.

ANN applications have expanded to various aspects of pavement analysis and management. Abdallah et al. (2000) developed specialized neural network models for determining critical strains at layer interfaces in 3- and 4-layered flexible pavement systems. Their models could predict remaining pavement life and required overlay thickness using fatigue and rutting criteria, demonstrating the versatility of ANN approaches.

The integration of ANNs with finite element analysis has produced significant advancements. Ceylan et al. (2007) and Gopalakrishnan and Thompson (2004) created comprehensive FE solutions databases that, when combined with ANNs, enabled accurate prediction of critical pavement responses and layer moduli. This hybrid approach improved the accuracy of pavement performance predictions.

### 3.2 Transportation Modeling

Transportation modeling has emerged as a powerful tool for understanding traffic behavior, forecasting demand, and optimizing network performance. Studies by Anderson et al. (2022) demonstrate how traffic simulation models, such as microscopic and macroscopic models, can predict traffic flow patterns and their impact on infrastructure. Transportation modeling also plays a crucial role in urban planning, enabling policymakers to design efficient transportation networks and reduce congestion (Taylor & Green, 2020).

### 3.3 Research Gaps

Despite advancements in both PMS and transportation modeling, there is limited research on integrating these two domains. Brown et al. (2021) identify this as a significant gap, noting that the lack of integration leads to suboptimal decision-making in pavement maintenance. Additionally, the limited use of advanced technologies, such as machine learning and artificial intelligence, in PMS further highlights the need for interdisciplinary research (Taylor & Green, 2020).

## 4. Methodology

This study proposes a framework for integrating transportation modeling into PMS. The methodology includes the following steps:

1. **Data Integration:** Combining traffic data (e.g., vehicle classification, traffic volume) with pavement condition data (e.g., cracking, rutting) to create a unified dataset.
2. **Predictive Analytics:** Using transportation modeling outputs to predict future traffic patterns and their impact on pavement conditions.
3. **Decision Support Tools:** Developing tools to help transportation agencies prioritize maintenance activities based on both pavement condition and traffic impact.
4. **Case Study Validation:** A case study of an urban road network is conducted to validate the proposed framework.

## 5. Results And Discussion

The integration of transportation modeling into PMS yielded significant improvements:

1. **Improved Pavement Condition Predictions:** A 25% increase in the accuracy of pavement deterioration predictions.
2. **Optimized Maintenance Schedules:** Proactive maintenance strategies reduced unplanned repairs by 30% and extended pavement lifespan by 15%.

3. Cost Savings: A 20% reduction in maintenance costs through optimized resource allocation.

### 5.1 Limitations and Challenges

- Data availability and computational complexity were significant challenges.
- Successful implementation requires interdisciplinary collaboration between pavement engineers, transportation modelers, and data scientists.

## 6. Conclusion

The integration of transportation modeling into PMS represents a significant advancement in pavement management. By addressing the limitations of traditional approaches, this research demonstrates the potential for improved pavement condition predictions, optimized maintenance schedules, and substantial cost savings. While challenges remain, the findings provide a strong foundation for future work in this interdisciplinary field, paving the way for more sustainable and efficient infrastructure management.

## 7. Future Scope

1. Advanced AI Techniques: Explore the use of machine learning (ML) and artificial intelligence (AI) to enhance predictive capabilities.
2. Multi-Modal Transportation Systems: Expand the framework to include data from public transit, cycling, and pedestrian networks.
3. Real-Time Data Integration: Incorporate real-time traffic data from IoT sensors, GPS, and connected vehicles.
4. Scalability and Accessibility: Develop cost-effective and scalable solutions for smaller transportation agencies.
5. Environmental Impact Analysis: Study the environmental benefits of the integrated framework, such as reduced emissions from optimized traffic flow.

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# Patterns of Circular Migration in Bihar: Trends and Drivers

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## Abstract

Circular migration is a prominent phenomenon in Bihar, characterized by the seasonal and temporary movement of people between rural areas and urban centers in search of employment. This paper explores the patterns of circular migration in Bihar, focusing on the underlying trends and drivers that influence this migration behavior. Bihar, with its high rates of out-migration, particularly in rural regions, presents a unique case study for understanding the socio-economic factors driving migration. The study identifies economic necessity, lack of local employment opportunities, and agricultural dependence as key factors driving migration. Additionally, urban labor demands, remittance flows, and the availability of seasonal work in industries like construction, manufacturing, and agriculture serve as major pull factors. Using both quantitative and qualitative research methods, the study examines migration trends, the demographic characteristics of migrants, and their socio-economic impact on both migrant families and the communities left behind. The findings reveal that while circular migration provides essential income through remittances and increases local economic activity, it also contributes to social challenges such as gender imbalance, education disruption, and health risks. The study concludes by suggesting policy interventions that can better support migrants and their families, with a focus on improving local employment opportunities, enhancing access to education, and ensuring better labor rights protection for migrants. Ultimately, this research contributes to the growing understanding of migration dynamics in Bihar and offers recommendations for promoting sustainable development through managed migration practices.

**Keyword-** Circular Migration, Bihar, Migration Patterns, Drivers of Migration, Seasonal Labor, Economic Distress, Remittances, Rural Poverty.

## Introduction

Circular migration refers to the seasonal or temporary movement of people between rural and urban areas, where individuals migrate for employment opportunities, often returning to their home regions after a period of work. In Bihar, one of India's most populous states, circular migration has become an integral part of the socio-economic fabric,

impacting both the migrants and their families, as well as the broader regional economy. Understanding the patterns, causes, and impacts of circular migration in Bihar is crucial for addressing its socio-economic challenges, improving policy responses, and promoting sustainable development in both urban and rural areas.

### **Context of Bihar and Migration Trends**

Bihar, located in the eastern part of India, has long been characterized by high migration rates, both internal and international. The state's economic landscape is primarily agricultural, with most of the rural population relying on farming for their livelihoods. However, the agricultural sector in Bihar faces numerous challenges, such as low productivity, inadequate infrastructure, land fragmentation, and seasonal unemployment, all of which contribute to the high rate of migration.

Historically, Bihar has been a source of labor migration to other parts of India, particularly to metropolitan cities like Delhi, Mumbai, and Kolkata, where migrants find work in industries such as construction, domestic labor, manufacturing, and agriculture. In addition to domestic migration, there is also significant out-migration from Bihar to countries in the Middle East and Southeast Asia for construction and service sector jobs. This movement of labor is largely circular, with migrants traveling back and forth between their homes and places of work.

Over the last few decades, there has been a noticeable shift in migration patterns. While previously migration was mostly for long-term relocation, in recent years, there has been an increase in temporary migration driven by seasonal employment opportunities, particularly in agriculture and construction sectors.

### **Trends in Circular Migration**

Circular migration in Bihar can be understood in terms of economic necessity, the demand for seasonal labor, and the desire to improve living conditions for families left behind in rural areas. The primary trend observed in Bihar is the migration of young, economically active individuals from rural areas to urban centers for work. This migration often follows seasonal agricultural cycles, with people leaving their homes after the harvest season to find work in urban areas and returning after a few months when their agricultural work is less demanding.

Circular migration can also be classified into two broad types: short-term seasonal migration and long-term periodic migration. While the former involves individuals migrating for a few months each year, the latter involves those who may return every few years to work in their home state. The vast majority of circular migrants in Bihar fall under the

short-term seasonal migration category, with many individuals working in construction, domestic labor, and small-scale industries in cities across India.

### **Drivers of Circular Migration**

Understanding the underlying drivers of circular migration is critical to grasping its patterns and socio-economic implications. The drivers of migration in Bihar can be broadly classified into two categories: push factors and pull factors.

#### **Push Factors:**

Push factors are conditions that drive individuals away from their home regions and force them to seek work elsewhere. The main push factors in Bihar include:

1. **Economic Distress in Rural Areas:** Many individuals migrate due to lack of local employment opportunities. Rural Bihar has limited avenues for stable, well-paying jobs, and agriculture, which is the primary source of income for most, often cannot provide consistent year-round employment.
2. **Seasonal Unemployment in Agriculture:** Agricultural work in Bihar is largely seasonal, and there is a significant period between harvesting seasons when work is scarce. Migrants often seek off-season employment in urban areas to bridge the gap.
3. **Poverty and Low Income:** Bihar is one of the poorest states in India, with a high poverty rate. The lack of access to basic resources such as quality education, healthcare, and infrastructure encourages many individuals to migrate for better economic prospects.
4. **Limited Local Development and Infrastructure:** Despite recent improvements, Bihar still lags behind in terms of industrialization, education, and healthcare facilities. The lack of local development opportunities makes migration an attractive option for many seeking a better life.

#### **Pull Factors:**

Pull factors are conditions in urban areas that attract migrants. The key pull factors for migration from Bihar include:

1. **Labor Demand in Urban Areas:** Urban centers like Delhi, Mumbai, and Kolkata offer a constant demand for cheap, unskilled labor in industries such as construction, domestic work, and factories. These cities often act as magnets for migrants seeking employment opportunities.

2. **Higher Wages in Urban Centers:** The wages in urban centers are significantly higher than those in rural Bihar, where agricultural incomes are often insufficient. This income disparity acts as a powerful pull factor, encouraging individuals to migrate for higher-paying jobs.
3. **Networking and Established Migrant Communities:** Many migrants from Bihar have already established networks in urban centers, which facilitates the migration process. These migrant communities offer support in terms of housing, job opportunities, and social integration, making it easier for newcomers to settle in the cities.
4. **Access to Remittances and Improved Livelihoods:** The possibility of sending remittances back to families in rural Bihar is another pull factor. Families often depend on the income sent by migrants, improving their standard of living and enabling them to afford better education and healthcare.

### **Research Objectives**

This study aims to explore the patterns of circular migration in Bihar by focusing on the following objectives:

1. To identify the main push and pull factors influencing circular migration in Bihar.
2. To examine the socio-economic impacts of circular migration on the migrants themselves and their families, both in terms of income and quality of life.
3. To analyze the role of migration networks and remittances in shaping migration patterns.
4. To evaluate the impact of circular migration on rural economies, including agricultural productivity, local businesses, and community development.
5. To explore the challenges faced by migrants, such as living conditions, labor rights, and access to social services in urban areas.

### **Significance of the Study**

This study holds significance for policymakers, researchers, and development organizations working in Bihar and other regions experiencing high rates of migration. By understanding the complex dynamics of circular migration, its drivers, and its impacts, this research can help shape policies that aim to:

- Improve local employment opportunities and economic diversification in Bihar to reduce the need for migration.
- Support migrant-friendly policies that ensure better labor rights, social security, and integration for migrants.

- Encourage sustainable development in rural areas through the development of infrastructure and education that can reduce the push factors for migration.

Circular migration in Bihar is a multifaceted phenomenon shaped by economic necessity, seasonal employment, and socio-cultural factors. While it offers opportunities for income generation through remittances, it also poses significant challenges for both the migrants and their home communities. This study provides valuable insights into the trends, drivers, and socio-economic impacts of circular migration, contributing to a deeper understanding of this phenomenon and its role in shaping Bihar's development trajectory.

### **Review of Literature:**

The phenomenon of circular migration, especially in the context of Bihar, has been widely studied in various academic and policy circles. Researchers have focused on understanding the patterns, drivers, and socio-economic impacts of migration in rural areas, particularly in the Indian context, with a special focus on Bihar, one of the major migrant-sending states in India. The literature on circular migration highlights the interplay of economic factors, social dynamics, and migration policies that shape migration patterns. Below is a review of the key literature on circular migration, trends, and its drivers, with a particular emphasis on Bihar.

### **Conceptualizing Circular Migration**

Circular migration is understood as the repeated movement of individuals between rural and urban areas, driven primarily by the search for temporary or seasonal employment (Ruhs & Anderson, 2010). Circular migration differs from permanent migration, as migrants frequently return to their home regions after fulfilling employment contracts in urban centers. According to Czaika and de Haas (2014), circular migration is considered an adaptive strategy employed by individuals who do not necessarily seek to permanently relocate but instead move in cycles based on seasonal employment opportunities.

In the Indian context, Bihar has been identified as a state with significant circular migration, driven by factors like seasonal agricultural work, lack of local employment, and poverty (Deshingkar & Akter, 2009). This type of migration is often seen as a survival strategy, as rural areas face limited employment opportunities throughout the year.

### **Trends and Drivers of Migration in Bihar**

Bihar has one of the highest rates of out-migration in India. A study by Sundaram and Tendulkar (2017) notes that Bihar's migration rates are

deeply rooted in socio-economic conditions, such as the high dependency on agriculture, rural poverty, and lack of infrastructure. These conditions push individuals, especially the young and economically active population, to seek work in other states or regions. The migration typically peaks after the harvest season, as agricultural work in Bihar tends to be seasonal, leaving migrants with limited income during off-seasons.

Push factors contributing to circular migration in Bihar include:

- **Rural Economic Stress:** According to Mosse et al. (2002), rural communities in Bihar suffer from persistent economic underdevelopment, where poor agricultural yields, land fragmentation, and low agricultural wages push people to migrate in search of more stable and higher-paying work.
- **Seasonal Unemployment:** As agriculture in Bihar is largely monsoon-dependent, there is often seasonal unemployment during the off-season, which compels individuals to migrate for work in urban centers (Deshingkar & Akter, 2009).

Pull factors driving migration to urban areas are primarily:

- **Labor Demand in Urban Centers:** Urban areas like Delhi, Mumbai, and Kolkata offer job opportunities in the construction, manufacturing, and service sectors (Srinivasan, 2015). The demand for cheap labor in cities acts as a strong magnet for migrants from Bihar.
- **Higher Wages:** A study by Kochhar et al. (2012) suggests that wages in urban centers are significantly higher than those in rural Bihar, thus attracting young laborers from the region.

### **Socio-Economic Impacts of Circular Migration**

Several studies have examined the socio-economic impacts of circular migration on both migrants and their families.

Remittances sent back by migrants play a crucial role in improving the socio-economic conditions of their families in rural Bihar. According to Deshingkar and Akter (2009), remittances are vital for the survival and well-being of migrant households, providing them with income for food, education, health care, and improving the overall standard of living. However, Deshingkar (2012) also points out that the benefits of remittances are often unevenly distributed, and not all families benefit equally from migration.

Gender Dynamics of migration in Bihar are also significant, with male migration being the dominant trend. Davis and Hossain (2013) highlight that migration often leads to gender imbalances in rural areas, as women are left to manage households, agriculture, and family welfare. This often

places additional burdens on women and can affect family dynamics, childcare, and education.

However, some studies argue that circular migration also provides women in rural Bihar with opportunities for economic independence, as they become responsible for managing income, education, and household affairs in the absence of male migrants (Agarwal, 2014). This economic responsibility can empower women, contributing to increased decision-making power in family and community matters.

### **Policy Interventions and Circular Migration**

Several studies have highlighted the importance of policy frameworks to address the challenges posed by circular migration. Policies related to rural employment, remittance management, and migrant welfare are critical to ensure that migration becomes a sustainable and empowering process rather than a survival strategy for the poor.

Srinivasan (2015) suggests that government programs like the Mahatma Gandhi National Rural Employment Guarantee Act (MGNREGA) could help reduce migration by providing alternative employment opportunities within rural Bihar. Similarly, policy interventions in labor rights and social security schemes for migrants are necessary to ensure that workers are not exploited in urban areas.

Additionally, Pande (2016) argues that improving local infrastructure and economic diversification in Bihar could help stem the tide of out-migration by offering alternative avenues for income generation. The improvement of education and healthcare infrastructure could also significantly reduce the need for migration by improving the overall quality of life in rural Bihar.

### **The Role of Migration Networks**

Migration from Bihar is often shaped by established migration networks. Kumar (2014) argues that these networks provide crucial social support to migrants, such as employment connections, housing, and information about urban life, which help ease the migration process. These networks further perpetuate migration trends, as new migrants rely on the experiences and networks of those who have already migrated.

### **Challenges in Understanding Circular Migration**

While significant progress has been made in understanding circular migration, certain gaps remain in the literature. Srinivasan (2015) notes that circular migration is often underestimated, as data collection tends to focus on permanent migration. A more nuanced approach that incorporates temporary, seasonal, and circular patterns would provide a clearer picture of the true scale of migration.

The literature on circular migration in Bihar reveals a complex interplay of economic, social, and policy-related factors that drive migration patterns. While circular migration offers economic benefits through remittances, it also presents challenges related to gender dynamics, health, education, and social well-being. A holistic understanding of the drivers of migration, alongside appropriate policy interventions, is essential to address the challenges posed by this migration pattern and ensure that circular migration becomes a tool for improving livelihoods rather than a response to systemic poverty and underdevelopment.

## Data Analysis & Interpretation

### Data Overview

The following analysis is based on primary data collected through surveys, interviews, and secondary sources from rural Bihar. The data explores the demographic profile, migration patterns, push and pull factors, and socio-economic impacts of circular migration in Bihar.

#### 1. Demographic Characteristics of Migrants in Bihar

The demographic profile of the migrants is analyzed to identify patterns based on age, gender, education, occupation, and household structure. This helps in understanding the profile of the migrant population.

<i>Demographic Characteristic</i>	<i>Percentage (%)</i>
<b>Age Group</b>	
18-25 years	45%
26-40 years	40%
41-60 years	12%
<b>Above 60 years</b>	3%
<b>Gender</b>	
Male	94%
Female	6%
<b>Education Level</b>	
No formal education	20%
Primary education	30%
Secondary education	40%
Higher education	10%
<b>Occupation (Pre-Migration)</b>	
Agriculture (farmers/laborers)	60%
Construction labor	20%
Domestic workers	15%
Others (fishing, trade, etc.)	5%

***Key Observations:***

- The majority of migrants (85%) belong to the younger working-age group (18-40 years).
- Most migrants are male (94%) and the education level is generally low, with 78% having secondary education or less.
- Migrants come primarily from agricultural backgrounds, seeking work in urban areas, particularly in construction and domestic sectors.

**2. Trends in Migration**

The seasonal and cyclical nature of migration is one of the key findings of this study. Migration is heavily influenced by the agricultural calendar and demand for labor in urban areas.

<i>Migration Characteristics</i>	<i>Percentage (%)</i>
<b>Frequency of Migration</b>	
Annual migration	60%
Bi-annual migration	30%
Once every few years	10%
<b>Duration of Stay in Urban Areas</b>	
3-6 months	55%
6-9 months	30%
9-12 months	15%
<b>Seasonal Timing of Migration</b>	
October-December (Post-Harvest)	50%
March-May (Pre-Sowing)	30%
Other months	20%

***Key Observations:***

- 60% of migrants move annually, with most returning home during the harvest season or when there is a lull in agricultural activities.
- A significant number of migrants (55%) stay in urban areas for 3-6 months, with only 15% migrating for over 9 months.
- Migration is most prominent in the months of October to December, coinciding with the post-harvest season when agricultural work demand is low.

**Socio-Economic Impacts of Circular Migration**

Circular migration has several socio-economic impacts on migrant households, both positive and negative. The following table illustrates the main impacts as reported by migrant families.

<i>Socio-Economic Impact</i>	<i>Percentage (%) of Households</i>
Positive Impacts	
Remittances used for basic household needs	85%
Increased standard of living (food, healthcare, education)	75%
Enhanced access to education for children	60%
Improvements in housing and infrastructure	40%
Negative Impacts	
Increased gender imbalance (women taking on additional roles)	55%
Disruption of children's education	40%
Migrants face health risks (poor working conditions)	30%
Emotional strain on families	25%

#### ***Key Observations:***

- Remittances have a positive economic impact, with 85% of migrant households using the funds for basic needs like food, healthcare, and education.
- Gender imbalance is a common negative consequence, as 55% of households report women taking on additional household roles in the absence of male migrants.
- Migrants themselves face health risks due to the poor working conditions they endure, particularly in construction and domestic work.

The data analysis highlights the cyclical and seasonal nature of migration from Bihar, driven by both push and pull factors. The migration process offers economic benefits, particularly through remittances, but also brings challenges, such as gender imbalance and social disruption in rural households. Understanding these trends and drivers is crucial for policymakers to address the socio-economic needs of migrants and to create sustainable migration management policies in Bihar.

#### **Conclusion**

Circular migration in Bihar is a multifaceted phenomenon, shaped by both economic necessity and opportunities available in urban areas. This study reveals several key insights into the patterns and drivers of migration, highlighting the complex relationship between rural livelihoods, migration dynamics, and urban labor demand.

1. Dominance of Economic Drivers: The primary push factors driving migration from Bihar are economic distress in rural areas, including

lack of local employment, low agricultural income, and seasonal unemployment. The pull factors, such as higher wages and a steady demand for labor in urban areas, act as powerful motivators for people to seek work outside their home communities.

2. **Seasonality and Cyclical Migration:** Migration in Bihar is largely seasonal and cyclical, with 60% of migrants moving annually, predominantly after the harvest season or before the sowing period. The seasonal nature of migration aligns closely with the agricultural calendar, making migration a regular part of rural life. This highlights the close interdependence between agriculture and migration in Bihar, with migrants often returning home during the agricultural off-seasons.
3. **Demographic Profile of Migrants:** The majority of migrants are young men (94%), aged between 18-40 years, with a lower education level. This indicates that migration is primarily driven by economic survival rather than opportunities for upward mobility, particularly among semi-skilled or unskilled labor.
4. **Impact of Migration:** Circular migration provides economic benefits, particularly in the form of remittances that improve the standard of living for families left behind. However, this migration also results in gender imbalances, with women assuming additional roles in the absence of male family members. Moreover, the migration cycle disrupts children's education, leading to social challenges in migrant households.
5. **Urban Integration and Health Risks:** While migration offers economic opportunities, it also exposes migrants to poor working conditions, particularly in sectors like construction and domestic work, leading to health risks. Furthermore, many migrants face challenges related to social integration and access to basic services in urban centers.
6. **Policy Implications:** The findings emphasize the need for policies that address both the push and pull factors of migration. Efforts to create local employment opportunities, improve agricultural income, and enhance social infrastructure in Bihar are critical to reducing the reliance on migration. Additionally, providing better healthcare, education, and social security for migrants can improve the overall well-being of migrant communities.

**Recommendations for Future Action:**

- **Local Economic Development:** Encouraging the development of rural industries, agro-processing, and infrastructure projects to provide sustainable employment in Bihar and reduce the necessity for migration.

- Strengthening Migration Networks: Establishing migrant welfare programs in urban areas, ensuring that migrants have access to healthcare, housing, and labor rights protection.
- Support for Women and Children: Developing gender-sensitive policies to empower women in migrant households and provide education support to children who are affected by migration-related disruptions.

In conclusion, while circular migration plays an important role in alleviating economic hardship in Bihar, there are significant socio-economic and social challenges that need to be addressed. A holistic approach to migration management, which balances economic development in rural areas with the protection of migrants' rights in urban areas, will be essential to making migration more sustainable and beneficial for all involved.

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# “Existence And Uniqueness of Pseudo-rank Functions On Regular Rings”

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## Abstract

In this paper we develop some conditions for the existence and uniqueness of rank and pseudo-rank functions on regular rings. Particularly we derive necessary and sufficient conditions for the existence of pseudo-rank function on any regular ring and applying these results on the structure of  $N_0$ -continuous regular ring which was studied by Goodearl and Handelman<sup>[3]</sup>.

**KEYWORDS:-** Regular ring,  $N_0$ -continuous regular ring, Rank functions, Pre-ordered abelian group, Pseudo-rank functions, R-module.

## Introduction

The existence of a Pseudo rank function on  $R$  is equivalent to certain finiteness conditions on the matrix rings over regular rings  $R$  and the uniqueness of a rank function is equivalent to certain comparability conditions on the principal right ideals of  $R$  was introduced by Goodearl and Handelman<sup>[6]</sup>.

**1.1. DEFINITION:** A lattice  $L$  is said to be  $N_0$ -continuous provided (i) every countable subset of  $L$  has a supremum in  $L$  and (ii)  $a \wedge (Vb_i) = V(a \wedge b_i)$  for all  $a \in L$  and all countable linearly ordered subset  $\{b_i\} \subseteq L$ . Lower  $N_0$ -continuity is defined dually. If  $L$  is both upper and lower  $N_0$ -continuous, then  $L$  is an  $N_0$ -continuous Lattice were defined by Von Neuman<sup>[1]</sup>.

**1.2. DEFINITION:** A pre-ordered abelian group is a pair  $(G, \leq)$  where  $G$  is an abelian group and  $\leq$  is a particular translation invariant pre-order on  $G$ .

The pre-ordered abelian group  $K_0(R)$  may be constructed from the finitely generated projective right modules over any ring  $R$ . Certain properties of  $R$  reflected in properties of  $K_0(R)$  and certain classes of any regular  $R$  for which  $K_0(R)$  may be explicitly calculated. Also,  $K_0(R)$  determines  $R$  upto isomorphism, namely the classes of countable direct limits of finite direct products of full matrix rings over the fixed field.

If  $R$  is regular, then  $K_0(R)$  is generated (as a group)  $\{[eR] \mid e = e^2 \in R\}$  because every finitely generated projective right  $R$ -module is isomorphic to a direct sum of principal right ideals of  $R$  were developed by Gopala Rao<sup>[2]</sup>.

Now the existence and uniqueness about pseudo-rank functions in a regular ring  $R$  are equivalent to  $(K_0(R), [R])$ .

**1.3. THEOREM:** If  $G$  be a pre-ordered abelian group with an order unit  $u$  and  $H$  be a subgroup of  $G$  containing  $u$ . Equip  $H$  with pre-order inherited from  $G$ . Then every state on  $(H, u)$  extends to a state on  $(G, u)$ .

*PROOF:* Suppose  $f$  be a state on  $(H, u)$ . Let  $X$  be the family of all pairs  $(K, g)$  such that  $K$  is a subgroup of  $G$  which contains  $H$  and  $g$  is a state on  $(K, u)$  which extends  $f$ . There is a natural partial order ' $\leq$ ' on  $X$ , where  $(K, g) \leq (K', g')$  if and only if  $K \subseteq K'$  and  $g'$  extends  $g$ .

By Zorn's Lemma and J. Heather & S. Schreider<sup>[7]</sup> we see that there exists a maximal element  $(K, g) \in X$ .

Suppose that  $K \neq G$ . Since  $G$  has an order-unit,  $G$  is generated (as a group) by  $G^+$  such that there exist an element  $x \in G^+ - K$ .

$$\text{Set } p = \sup \{g(t)/n \mid t \in K; n \text{ is positive integer and } t \leq nx\}.$$

$$q = \inf \{g(t)/n \mid t \in K; n \text{ is a positive integer and } nx \leq t\}.$$

We claim that  $0 \leq p \leq q < \infty$ . Since  $0 \in K$  and  $0 \leq x$ , we have  $0 = g(0)/1 \in p$ . Since  $u$  is an order-unit,  $x \leq ku$  for some integer  $k$ , whence  $q \leq g(ku)/1 = k < \infty$ .

Now, suppose any  $s, t \in K$  and any integers  $m$  and  $n$  such that  $s \leq mx$  and  $nx \leq t$ . Then  $ns \leq mnx \leq mt$  where  $ng(s) \leq mg(t)$  and so  $g(s)/m \leq g(t)/n$ .

Thus,  $p \leq q$  so the claim is proved. Next, if  $z + nx \geq 0$  for some  $z \in K$  and  $n \in \mathbb{Z}$  then  $g(z) + np \geq 0$ .

If  $n = 0$  then  $z \geq 0$  and  $g(z) + np = g(z) \geq 0$ . If  $n > 0$  then we have  $-z \in K$  and  $-z \leq nx$ , whence  $g(-z)/n \leq p$  and so  $g(z) + np \geq 0$ .

If  $n < 0$  then we have  $z \in K$  and  $(-n)x \leq z$ , whence  $g(z)/(-n) \geq q \geq p$  and so  $g(z) + np \geq 0$ .

Thus, this claim holds in all cases.

Particularly, if  $z + nx = 0$  for some  $z \in K$  and  $n \in Z$  then  $z + nx \geq 0$  and  $(-z) + (-n)x \geq 0$ ,

whence  $g(z) + np \geq 0$  and  $g(-z) - np \geq 0$  and so  $g(z) + np = 0$

Thus, there is a well-defined group homomorphism such that  $h(z + nx) = g(z) + np$  for all  $z \in K$  and  $n \in Z$ .

In addition, the last claim shows that  $h$  maps  $(k + Zx) \cap G^+$  into  $R^+$  whence  $h$  is monotonic.

Since  $h(u) = g(u) = 1$ . Thus, we see that  $h$  is a state on  $(K + Zx, u)$  which extends  $g$ . But then  $(K + Zx, h) \hat{=} X$ , which contradicts the maximality of  $(K, g)$ .

Therefore,  $K = G$ , so that  $g$  is a state on  $(G, u)$  which extends  $f$ .

**1.4. COROLLARY:** If  $G$  be a pre-ordered abelian group with an order-unit  $u$  then there exists a state on  $(G, u)$  if and only if  $-nu \notin G^+$  for all positive integers  $n$ .

**PROOF:** First suppose that there exists a state  $f$  on  $(G, u)$ . If  $n$  is any positive integer then  $f(-nu) = -n \cdot f(u) = -n \cdot 1 = -n < 0$  and so  $-nu \notin G^+$ .

Conversely, suppose  $-nu \notin G^+$  for all integers  $n$ . In particular,  $-nu \neq 0$  for all positive integers  $n$  so that  $Zu$  is infinite. Consequently, there is a group homomorphism  $f : Zu \rightarrow R$  such that  $f(u) = 1$ .

By hypothesis,  $Zu \cap G^+ = \{0, u, 2u, \dots\}$ , hence,  $f(Zu \cap G^+) \subseteq R^+$ .

Thus,  $f$  is a state on  $(Zu, u)$ . By theorem [1.2],  $f$  extends to a state on  $(G, u)$ .

**1.5. THEOREM:** For a regular ring  $R$ , the following conditions are equivalent.

- (i) There exists a pseudo-rank function on  $R$ .
- (ii)  $2nR_R \not\lesssim nR_R$  for all positive integer  $n$ .
- (iii)  $R$  has a proper two-sided ideal  $K$  such that  $M_n(R/K)$  is directly finite for all  $n$ .

**PROOF:** (i)  $\Rightarrow$  (iii): If there exists a pseudo-rank function  $N$  on  $R$  then  $K = \ker(N)$  is a proper two-sided ideal of  $R$  and  $N$  induces a rank function on  $R/K$ . Also  $M_n(R/K)$  is directly finite for all  $n$ .

(iii)  $\Rightarrow$  (ii): In view of the condition (iii),  $2n(R/K)_{R/K} \not\lesssim (R/K)_{R/K}$  for all positive integers  $n$ , from which the condition (ii) is clear.

(ii)  $\Rightarrow$  (i): It is sufficient to prove that there exist a state on  $(K_0(R), [R])$ . If not then we must have  $-k[R] \in K_0(R)^+$  for some positive integer  $k$ .

Then  $-k[R] = [A]$  for some finitely generated projective right  $R$ -module  $A$  and so that  $A \oplus kR_R \oplus nR_R \simeq nR_R$  from some positive integer  $n$ . As a result, we have  $(km + n)R_R \leq nR_R$  for all  $m = 1, 2, \dots$  but then  $2nR_R \lesssim (kn+n)R_R \lesssim nR_R$ , which is a contraction.

**1.6. COROLLARY:** If  $R$  be a simple regular ring then there exists a rank function on  $R$  if and only if  $M_n(R)$  is directly finite for all  $n$ .

**1.7. COROLLARY:** If  $R$  is a non-zero unit regular ring then there exists a pseudo-rank function on  $R$ . If  $R$  is also a simple ring then there exist a rank function on  $R$ .

**1.8. COROLLARY:** If  $R$  be a unit regular ring then there exists exactly one rank function on  $R$ , then  $R$  is a simple ring.

**PROOF:** Let  $R$  denote the unique rank function on  $R$ . It is noted that  $R^1 \neq 0$ . We can select a maximal two-sided ideal  $K$  of  $R$  were developed by Goodearl.<sup>[8]</sup> Then  $R/K$  is a simple unit-regular ring and so by corollary [1.7] there exist a rank function on  $R/K$ .

Consequently, we obtain a pseudo-rank function  $N'$  on  $R$  such that  $\ker(N') = K$ . We see that  $(N' + N)/2 = N$ , whence  $N' = N$ . Thus,  $K = \ker(N') = \ker(N) = 0$ , so that  $R$  is simple.

As an application of theorem [1.5] used by Handleman<sup>[5]</sup>, we prove the following result.

**1.9. THEOREM:** If  $R$  be a regular ring such that  $M_n(R)$  is directly finite for all  $n$  and  $S$  be a regular subring of  $R$  such that  $B(S) \dot{\cap} B(R)$ . If  $S$  satisfies

general comparability then the restriction mapping:  $\mathbb{P}[(R) \otimes [(S)]]$  is surjective.

**PROOF:** Since  $f$  is an affine continuous mapping and  $P(R)$  is compact, we find that  $f(P(R))$  is a closed convex subset of  $P(S)$  which was study by P.M. Cohn<sup>[9]</sup>. Now by Krein-Milman theorem,  $P(S)$  is the closure of convex hull of its extreme points, so to prove that  $(R) = (S)$ , it is sufficient to prove that all extreme points of  $(S)$  lie in  $f((R))$ .

Since if  $R$  is a regular ring and  $P$  is an extreme points of  $(R)$  then

- (i)  $P$  is an extreme point of  $(R)$
- (ii)  $\text{Ker}(P)$  is a maximal two sided ideal of  $R$ .
- (iii)  $\text{Ker}(P)$  is a prime ideal of  $R$ .
- (iv)  $B(R) \cap \text{Ker}(P) \in BS(R)$ .

Thus consider any extreme point  $P \in \mathbb{P}(S)$  and Set  $M = B(S) \cap \text{Ker}(P)$  is a maximal ideal of  $B(S)$ . For all  $e \in M$ , we have  $e \in B(S) \subseteq B(R)$ , whence  $eR$  is a two-sided ideal of  $R$ . So  $MR$  is a two-sided ideal of  $R$  and we see that  $MR \neq R$ .

For any  $e \in M$ , we see that  $R/eR \simeq (1-e)R$ , whence  $M_n(R/eR)$  is directly finite for all  $n$ .

We find that  $MR = \bigcup\{eR \mid e \in M\}$  we deduce that  $M_n(R/MR)$  is directly finite for all  $n$  then by theorem [1.5] there exists a pseudo-rank function on  $R/MR$ , so there exists  $Q \in \mathbb{P}(R)$  such that  $MR \subseteq \text{Ker}(Q)$ .

Then  $B(S) \cap \text{Ker}(Q)$  is a proper ideal of  $B(s)$  containing  $M$  whence  $B(S) \cap \text{Ker}(Q) = M$ .

Since we have pseudo-rank function  $P$  and  $\phi(Q)$  in  $\mathbb{P}(S)$  such that

$$B(S) \cap \text{Ker}(P) = M = B(S) \cap \text{Ker}(\phi(Q)).$$

Hence,  $P = \phi(Q)$ . Therefore, all extreme points of  $\mathbb{P}(S)$  lie in  $\phi \mathbb{P}(R)$ .

**1.10. THEOREM:** If  $R$  be a non-zero regular ring such that  $M_n(R)$  is directly finite for all  $n$  and let  $x \in R$  then

- (i)  $0 \leq N_*(x) \leq N^*(x) < \infty$
- (ii) If  $N$  is any pseudo-rank function on  $R$  then  $N_*(x) \leq N(x) \leq N^*(x)$ .
- (iii) If  $r$  is any real number such  $N_*(x) \leq r \leq N^*(x)$ , that then there exists a pseudo-rank function  $N$  on  $R$  such that  $N(x) = r$  using theorem [1.9],

we find the uniqueness of pseudo-rank function in the form of  $N_*$  and  $N^*$  as follows which was introduced by J. Claramunt<sup>[10]</sup>.

**1.11. THEOREM:** If  $R$  is a non-zero regular ring such that  $M_n(R)$  is directly finite for all  $n$ , then the following conditions are equivalent.

- (i) There exists a unique pseudo-rank function on  $R$ .
- (ii)  $N^* = N_*$
- (iii)  $N^*$  is a pseudo-rank function on  $R$ .
- (iv)  $N_*$  is a pseudo-rank function on  $R$ .

**PROOF:** From the theorem [1.5], there exists at least one pseudo-rank function  $N$  on  $R$ .

- (i)  $\Rightarrow$  (ii): is clear from theorem [1.10].
- (ii)  $\Rightarrow$  (iii): In view of theorem [1.10], we see that  $N^* = N$ .
- (iii)  $\Rightarrow$  (ii): It has been seen in [1.10] that any given pseudo-rank function  $P$  on  $R$ , we find that  $P(x) \leq N^*(x)$  for all  $x \in R$ . Since  $N^*$  is a pseudo-rank function such that  $P = N^*$ .

Thus  $N^*$  is the only pseudo-rank function on  $R$ .

(ii)  $\Rightarrow$  (iv)  $\Rightarrow$  (i) is proved in the same way.

**1.12. COROLLARY:** If  $R$  is a regular ring such that  $M_n(R)$  is directly finite for all  $n$ , then the following conditions are equivalent.

- (i) There exists a unique rank function on  $R$ .
- (ii)  $N^*$  is a rank function on  $R$ .
- (iii)  $N_*$  is a rank function on  $R$ .

**PROOF:** It is noted that  $R \neq 0$  in any of these cases.

- (i)  $\Rightarrow$  (ii): Let  $N$  be a unique rank function on  $R$ . If  $P$  is any pseudo-rank function on  $R$  then we see that  $(P+N)/2$  is a rank function on  $R$  whence  $(P+N)/2 = N$ . Thus,  $N$  is also the only pseudo-rank function on  $R$ . By theorem [1.11],  $N^*$  is a pseudo-rank function on  $R$  whence  $N^* = N$ . Thus  $N^*$  is a rank function.
- (ii)  $\Rightarrow$  (i): By theorem [1.11], there is a unique pseudo-rank function on  $R$ . Since  $N^*$  is a rank function were defined by Goodearl<sup>[4]</sup>, we conclude that  $N^*$  is the unique rank function on  $R$ .
- (i)  $\Leftrightarrow$  (iii) is proved in the same manner.

**1.13. THEOREM:** If  $R$  be a non-zero regular ring such that  $M_n(R)$  is directly finite for all  $n$  and  $s$  be a positive integer. Thus for any idempotent  $e \in R$ , there exists a positive integer  $n$  such that  $nR_n e R$  or  $nR_n s((1-e)R)$ . Then there exists a unique pseudo-rank function on  $R$ .

**PROOF:** By theorem [1.5], there exists at least one pseudo-rank function on  $R$ . From Krein Milman theorem it follows that there exist at

least two extreme points  $P$  and  $Q$  in  $\mathcal{S}$ . It is noted that  $P$  and  $Q$  lie in disjoint faces of  $\mathcal{S}$  as  $\{P\}$  and  $\{Q\}$ . As a result, it follows that there exists an idempotent  $e \in R$  such that  $P(e) + Q(1-e) < 1/S$ . By hypothesis, there is a positive integer  $n$  such that either  $nR \subseteq ns(eR)$  or  $nR \subseteq ns((1-e)R)$ . But either of these is not true. Hence the pseudo-rank function on  $R$  is unique.

**1.14 COROLLARY:** If  $R$  be a simple regular ring such that  $M_n(R)$  is directly finite for all  $n$  and  $s$  be a positive integer. For any idempotent  $e \in R$  there exist a positive integer  $n$  such that either  $nR \subseteq ns(eR)$  or  $nR \subseteq ns((1-e)R)$ . Then there exists a unique rank function on  $R$ .

### Conclusion

This paper is concerned with the existence and uniqueness of rank functions on a Simple Von Neumann regular ring  $R$  and with the structure of completion of regular ring  $R$  with respect to the metric induced by a rank function.

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# Precise Graphene Quantum Hall Arrays for the New International System of Units

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## Abstract

Graphene quantum Hall effect (QHE) resistance standards have the potential to provide superior realizations of three key units in the new International System of Units (SI): the ohm, the ampere, and the kilogram (Kibble Balance). However, these prospects require different resistance values than practically achievable in single graphene devices ( $\sim 12.9$  k $\Omega$ ), and they need bias currents two orders of magnitude higher than typical breakdown currents  $I_C \sim 100$   $\mu$ A. Here we present experiments on quantization accuracy of a 236-element quantum Hall array (QHA), demonstrating  $R_K / 236 \approx 109 \Omega$  with 0.2 part-per-billion ( $n\Omega/\Omega$ ) accuracy with  $I_C \geq 5$  mA ( $\sim 1$   $n\Omega/\Omega$  accuracy for  $I_C = 8.5$  mA), using epitaxial graphene on silicon carbide (epigraphene). The array accuracy, comparable to the most precise universality tests of QHE, together with the scalability and reliability of this approach, pave the road for wider use of graphene in the new SI and beyond.

## Introduction

The 2019 redefinition of the International System of Units (SI) has fundamentally changed the world of electrical precision measurements, and the base units are now derived from seven exactly defined fundamental constants, such as Planck's constant  $h$  and elementary charge  $e$ . The quantum Hall effect (QHE) is one cornerstone in the SI, and epigraphene QHE devices have already revolutionized practical resistance metrology, becoming the preferred embodiment of primary electrical resistance standards due to their robustness and relatively relaxed measurement conditions. The QHE in epigraphene provides an exact relationship between resistance and fundamental constants  $R = R_K / (4(N + 1/2))$ , where  $R_K = h / e^2 \approx 25.8$  k $\Omega$  (von Klitzing constant) and an integer  $N \geq 0$ . Epigraphene combines large Landau level spacing with high energy loss rates, resulting in larger  $I_C$  compared to conventional semiconductors. The QHE can thus manifest at higher temperatures  $T$ , lower magnetic flux

densities  $B$ , and higher bias currents  $I$  compared to traditional systems where dissipation occurs easier. Moreover, the large quantum capacitance of epigraphene leads to a  $B$ -dependent charge transfer from the substrate, resulting in the widest resistance plateau observed to date, extending to  $B > 50$  T. The  $N = 0$  plateau is not only the most robust, but also the most well-quantized and is therefore preferred for precision metrology.<sup>1</sup> All of these epigraphene-specific virtues translate into highly robust quantization over a wide parameter space and greatly facilitates practical quantum resistance metrology.

The QHE is gaining more prominence in the new SI due to its elevation from practical to true realization of the ohm, and it will serve other roles beyond resistance calibration. One application is the electrical realization of the kilogram via the Kibble balance, which in a nutshell is an instrument which measures the weight of an object by balancing the gravitational force with a compensating electromagnetic force, defined using  $h$  via the QHE and the ac Josephson effect. Voltage measurements use primary Josephson voltage standards, but current measurements rely on secondary artefact resistors which have to be separately calibrated against QHE. Direct integration of QHE standards in the Kibble balance could increase its performance, while also decreasing the complexity of the measurements, ultimately leading to reduced uncertainties. Such a feat would require a device with  $100 \Omega$  resistance and  $I_c$  on the order of 10 mA. Furthermore, if QHE devices with arbitrary resistance and high  $I_c$  could be implemented, they could be combined with existing programmable Josephson array voltage standards to realize the quantum ampere over ranges far beyond current pumps, and without as high external amplification. Due to their stability, QHAs are also desired for precision measurements of current in general. Moreover, QHA devices with different resistances are also useful for practical resistance metrology and will reduce uncertainties in calibrations of a wide range of resistance values since they allow for direct comparison measurement between primary quantum standards and secondary standards, shortening the calibration chain. However, a technological breakthrough is needed to enable the aforementioned applications, since a single graphene Hall bar (HB) can in practice only achieve  $R = R_K/2$  and  $I_c \sim 100 \mu\text{A}$  at typical operating conditions.

Arrays provide an elegant way to achieve quantized resistances at arbitrary levels via series and parallel connections of individual HBs, while effectively increasing  $I_c$  via parallel connections. These benefits have been recognized for decades, starting as early as 1993 with arrays for improved Wheatstone bridges. The first reports on precision measurements for a modestly sized array was reported in 1999. Many laboratories have since pursued arrays, typically with resistances between  $100 \Omega$  and  $1 \text{ M}\Omega$ . A 100

$\Omega$  quantum standard is of special interest, since  $100 \Omega$  is commonly used as a stable transfer standard in resistance metrology and covers a wide range of useful resistances for precision measurements. However, large QHAs have not until now matched the performance achieved by single HB primary metrological standards in terms of precision, reliability, and reproducibility. One great challenge is the need for 100% device yield. Any minor imperfection in any individual HB, be it improper quantization or poor contact resistance, will be detrimental to array accuracy. In practice, this implies that achieving sub part-per-billion accuracy requires that the combined effects of device homogeneity, contacts, wiring, and residual longitudinal resistance  $R_{xx}$  should be less than  $100 \text{ n}\Omega$  for a QHA with resistance of  $100 \Omega$ . Another unresolved issue is the measurement of vanishing longitudinal resistance  $R_{xx} \sim 0$ , an established quick test of resistance quantization and a way to assess QHE devices before precision measurements. While  $R_{xx}$  can be measured for individual HBs one at a time, this approach is not feasible for large-scale arrays.

Here we present QHE measurements performed on a QHA device consisting of 236 individual epigraphene HBs. Reliable microfabrication is achieved using uniform doping via molecular dopants, and minimized influence from contact and lead resistances using multiterminal connections and superconducting leads. Direct comparisons between two QHAs using high-precision measurements show no significant relative deviation of their resistances within  $0.2 \text{ n}\Omega/\Omega$ , which demonstrates a mutual agreement comparable to the best universality tests of QHE to date. Our measurements are validated through additional comparisons between QHAs, a single epigraphene HB, and a secondary  $100 \Omega$  standard. These tests demonstrate that the QHA is truly quantized to a high degree of accuracy and precision. Furthermore, we propose that direct comparisons between two QHAs, based on established QHE universality tests, is a precise and reliable method to test the quantization for routine measurements, serving a similar purpose as measuring  $R_{xx}$  and contact resistance for individual HBs. In the end, our measurements show that large arrays can meet the stringent criteria set by single HB metrological standards, and QHAs can be used as a primary standard which can exceed traditional single HB devices in terms of applications.<sup>2</sup> The accuracy of our QHAs, combined with the scalability and reliability of this approach, pave the road for superior realizations of three key units in the modern SI: the ohm, the ampere, and the kilogram.

### Device Design

The array contains 236 individual Hall bars, divided between two subarrays (Array1 and Array2) connected in series, each with 118 Hall

bars in parallel and a nominal resistance of  $h / (236e^2) \approx 109 \Omega$  (whole array  $R = h / (118e^2)$ ) at the  $N = 0$  plateau. The Hall bars are circular in order to achieve symmetrical design with high packing density. To maximize  $I_c$ , the diameter was chosen to be  $150 \mu\text{m}$  so that the minimum distance the QHE edge state needs to travel between the two source-drain contacts exceeds the equilibration length of the edge state, which is on the order of  $100 \mu\text{m}$  at 5 T and 2 K. In order to eliminate lead resistance, the contacts and interconnects were made from a superconducting film, following initial reports on primary standards using graphene arrays. The arrays in this work use niobium nitride (NbN), and the film was dimensioned to be at least 120 nm thick and  $50 \mu\text{m}$  wide to support currents on the order of 10 mA at 5 T and 2 K. The NbN is in direct contact with epigraphene, with a split contact design using six-pronged connections to minimize the contact resistance.<sup>3</sup> The NbN was deposited using sputtering, and was used in combination with a special fabrication method to create edge contacts to graphene. This method is key in ensuring reproducibly low contact resistances through the array. The carrier density was tuned using molecular doping, which reliably yields low charge disorder and proper quantization, and stability over years. The array exists on the same chip together with individual Hall bars, and all measurements were performed in the same cryostat and using the same setup. The proximity of the devices minimizes external influences due to excess wiring, and the direct one-to-one ratio comparison between the subarrays further reduces uncertainty contributions and errors in the precision measurements. The subarrays were tested simultaneously by performing a direct comparison of their quantized resistances via a cryogenic current comparator (CCC) system, which is a well-established method to measure resistance ratios with the highest precision. The CCC can detect minute resistance deviations  $\Delta$  from a nominal value of  $100 \Omega$  on the order of  $10 \text{ n}\Omega$  (i.e.,  $0.1 \text{ n}\Omega/\Omega$ ), and makes for the ultimate test of resistance quantization.

### Comparison between Array and Single Hall Bar

To validate our subarray comparison measurements, we have also compared the subarrays to an on-chip single Hall bar. These measurements are crucial to verify the subarray quantization accuracy and to form a link between our measurements and traditional quantum Hall experiments. The Hall bar was dimensioned to be  $200 \mu\text{m}$  wide, comparable to an individual array element, so that their  $I_c$  are similar.<sup>4</sup> The Hall bar characterization shows that its longitudinal resistance  $R_{xx} = V_x/I_x$  vanishes into the noise level of  $\sim 100 \text{ nV}$  (limited by setup) above the quantizing flux density  $B = 3 \text{ T}$ , same as for the array shows the bias current

dependence of  $R_{xx}$  of the Hall bar has no significant change up to 100  $\mu\text{A}$ , and the  $I_c$  for the Hall bar is therefore around 100  $\mu\text{A}$ . This also suggests that the  $I_c$  of an individual array Hall element should be on a similar level. The mean residual  $R_{xx}$  for bias currents 5–100  $\mu\text{A}$  is  $R_{xx} = (0.2 \pm 0.2) \text{ m}\Omega$  ( $k = 2$ ), which approaches zero within the noise. A residual resistance of 0.1 m $\Omega$  could lead to a deviation of the quantized resistance  $h/(2e^2)$  on the order of 3  $\text{n}\Omega/\Omega^3$ , and would be easily identified in CCC-measurements. The contact resistances (same NbN split contacts as array) were measured under quantizing conditions using a standard 3-probe configuration and were all  $< 2 \Omega$ , including  $\sim 1.5 \Omega$  wire resistance, which are well-below recommended levels. In summary, the Hall bar passed all established tests for initial characterization of a quantum resistance standard.<sup>5</sup>

### Operation at High Bias Currents

Finally, we explored the performance limits of the arrays in terms of bias current, with the goal of determining when the QHE breaks down. The previous precision measurements already show that a bias of at least 5 mA is possible without disturbing the sub- $\text{n}\Omega/\Omega$  precision of the array. By increasing the bias current, we observe that deviations around 1  $\text{n}\Omega/\Omega$  are possible at currents up to 10 mA and flux densities of 5 T. The quantization at elevated bias currents was tested by performing precision measurements at different flux densities. The apparent magnetic flux density dependence indicates that  $I_c$  is at its limit for epigraphene (imperfect quantization), NbN contacts (resistive state), or a combination of both, since  $I_c$  can improve at lower flux densities for either. The deviation at 8.5 mA is within 1  $\text{n}\Omega/\Omega$  at lower magnetic flux densities  $< 5 \text{ T}$ , which is acceptable for most practical metrological applications, including the Kibble balance. Note that the fabrication techniques employed herein allow for further performance improvements. The observed  $I_c$  is still far from any fundamental material limit and is simply restricted by the current device design. Since the NbN-leads can easily be made much larger (e.g., thicker film), what ultimately limits the QHA breakdown current is the single graphene Hall bar  $I_c$ , which can be much higher than the  $\sim 85 \mu\text{A}$  of current flowing through each individual array element at 10 mA.<sup>6</sup> By tuning the carrier density to a higher value, an array with  $I_c > 10 \text{ mA}$  and good quantization should be achievable at 2 K and 5 T, and  $I_c$  can be even higher under other operating conditions

### Conclusion

In summary, we have demonstrated that a graphene QHA consisting of 236 Hall bars is quantized with a precision of 0.2  $\text{n}\Omega/\Omega$ , verified by traditional comparisons to a single Hall bar device. The highest precision

quantization remained at large bias currents, up to at least 5 mA, with potential for operation at 8.5 mA and beyond. The reliable fabrication of such a precise array is dependent on key enabling technologies such as homogenous molecular doping and creation of low contact resistance superconducting leads on all array elements (the influence of contact resistance is estimated to be  $< 20 \text{ n}\Omega$ ).

The proposed method of direct comparison of subarrays for routine measurements could be considered as an addition in future versions of practical metrological guidelines, which need to be revised given the new wave of quantum metrology devices based on epigraphene. The device design of future arrays should also be taken into consideration. The subarray comparison measurements could be extended to allow for different ratio tests. For instance, a prospective  $100 \Omega$  array could be divided into  $25 + 25 + 50 \Omega$  parts. This would allow for non-unity ratio comparisons which serves as another quantization test and can also reveal potential errors like parallel leakages across the quantum Hall channel. Furthermore, one can also design two arrays with identical resistances, but using different amount of individual hall bars for each. This can be achieved via redundant parallel and series connections. Single hall bars elements in one array can be replaced by four elements, using two parallel connected sets of two serially connected Hall bars, without changing the total array resistance. This would mean that the current flowing through a single array Hall bar element would be different for the two arrays. A comparison measurement between the two would then be much more sensitive to potential quantization error, since if any of the Hall bars elements in either array deviate from perfect quantization, the different currents could lead to different resistance response. We foresee that these types of array-specific quantization tests will complement the existing single-hall bar tests in the future.

Embracing the use of array devices will allow for the QHE to be more intimately involved in the improvement of realizations of several key units, such as the ohm, ampere, and kilogram. We hope that our work will inspire further developments on this topic, and eventually lead to interlaboratory comparisons between different types of arrays, which is what is ultimately needed to establish graphene arrays as a primary resistance standard.

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# Antimalarial Activity of Methanolic Extract of *Moringa Oleifera* Flowers Against *Plasmodium Yoelii* Infection

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## Abstract

**Background:** The search for new and effective antimalarial drugs has become progressively urgent due to the resistance of the plasmodium parasite to most of the commercially available antimalarial drugs. As a part of this effort, the present study evaluated the antimalarial activity of *Moringa oleifera* flower, which is traditionally used in for the treatment of several ailments.

**Materials and methods:** In vivo antimalarial activity of *Moringa oleifera* flower was assessed with the help of 4-day suppressive antimalarial assay. Mice were infected intra peritoneally by  $1 \times 10^6$  infected erythrocytes by *Plasmodium yoelii*. Different doses of extract were administered orally. The level of parasitemia, survival time, and variation in body weight of mice were used to determine the antimalarial activity of the extract.

**Results:** The methanolic extract of *Moringa oleifera* flower significantly ( $p < 0.05$ ) reduced parasitemia in a dose-dependent manner and prevented loss of body weight in dose dependent manner when compared to the negative control. In addition, the extracts prolonged the mean survival time of *P. yoelii*-infected treated mice compared to the non-treated control mice. The highest suppression of parasitemia was recorded at the dose level of 300 mg/kg. At this dose, methanolic extract of *Moringa oleifera* flower had percentage suppression of 45.17%.

**Conclusion:** It is worth reporting that *Moringa oleifera* flower induced suppression of parasitemia. The two plants have been demonstrated to be potential source of antimalarial templates.

**Keywords:** malaria, *Moringa oleifera*, suppression, *Plasmodium yoelii*, crude extracts, parasitemia

## 1. Introduction

Substantial progress has made in fighting malaria, since 2000. In 2017, an estimated 219 million cases of malaria occurred worldwide, compared with 239 million cases in 2010 and 217 million cases in 2016<sup>1</sup>. Although an

estimated 20 million fewer cases of malaria were reported in 2017 than in 2010 but no significant progress in reducing global malaria cases was made during the period of 2015-2017<sup>1</sup>. Maximum malaria cases were reported in the WHO African Region (92%) in 2017, followed by WHO South-East Asia Region (5%) and WHO Eastern Mediterranean Region (2%). Almost 80% of the global malaria burden was carried by fifteen countries in sub-Saharan Africa and India. Only five countries accounted for almost half of the global malaria cases: Nigeria (25%), Democratic Republic of the Congo (11%), Mozambique (5%), India (4%) and Uganda (4%)<sup>1</sup>. According to UNICEF, 2004, the leading cause of morbidity and mortality in sub-Saharan Africa, especially in young children and pregnant women is malaria. According to WHO, 2016 report 90% of all malaria deaths occur in African regions, of which 78% occur in children less than 5 years of age<sup>2</sup>. Children under 5-year age are the most vulnerable group to be affected by malaria. They accounted for 61% of the total malaria deaths all across the globe in 2017<sup>1</sup>. According to WHO, 2018, 80% of global malaria deaths in 2017 were concentrated in 17 countries in the WHO African Region and India; seven of these countries accounted for 53% of all global malaria deaths: Nigeria (19%), Democratic Republic of the Congo (11%), Burkina Faso (6%), United Republic of Tanzania (5%), Sierra Leone (4%), Niger (4%) and India (4%)<sup>1</sup>. Hence, malaria is a serious global issue and India is one among the most affected countries. There are several factors that contribute to the malaria cases including environmental changes, the collapse of health systems in areas of civil strife and war, limitations in national health services and resistance of malaria parasites to available anti-malarial drugs<sup>3</sup>. Recently, a number of studies have been done for the adoption of artemisinin combination therapies (ACTs)<sup>4,5</sup>, which have been adopted by a number of countries now including India. However, there are chances that the partner drugs in ACTs may sooner or later, be susceptible to development of resistance by the malarial parasites in high endemic regions<sup>6,7</sup>. *In vitro* resistance to some artemisinins have already been reported<sup>8,9,10</sup>, which emphasize the need of continued efforts for searching of new antimalarial agents to provide future therapeutic options. Medicinal plants have made and still continue to make, a great contribution to antimalarial chemotherapy as they contain molecules with a great variety of structures and biological activities<sup>11</sup>.

The present study aimed to investigate the efficacy of *Moringa oleifera* flowers for the treatment of malaria as further development of antimalarial drugs.

## 2. Materials and methods

### 2.1 Collection of plant materials

Fresh flowers of *Moringa oleifera* were collected from the campus of University College of science, MLSU, Udaipur in the month of October. Identification of the plant was done by a plant taxonomist of the same college. Specimen samples were kept in the laboratory with proper labeling.

### 2.2 Extraction of the plant materials

The flowers of *Moringa oleifera* were cleaned by washing off under tap water for 3-4 times. Cleaned flowers were air dried under shade at room temperature. Dried flowers were grinded to obtain powder with the help of a stainless-steel blender. Grinded powder was filtered through muslin cloth to obtain fine powder. It was kept in air tight container with proper labeling for further extraction. Methanolic extraction of the plant material was carried out by soxhlet extraction method. Extracted plant material was further taken for evaporation of solvent with the help of a Rotary evaporator. This concentrated extract was transferred into dried vial which was well labeled and kept in refrigerator until it was further used.

### 2.3 Experimental animals

Laboratory bred 24 Swiss albino mice of both sexes, weighing 25-30 gm and 6-8 weeks old were selected for the study. Animals were housed in plastic cages; rice husk was used as a bedding material. Temperature of the animal room was kept  $27\pm 2^{\circ}$  C and  $57\pm 2$  relative humidity. Animals were provided with free access to standard pallet diet and water was available *ad libitum*. They were exposed to a 12:12 hrs light-dark cycle.

### 2.4 Malaria parasites

For induction of malaria in the experimental mice chloroquine sensitive *Plasmodium yoelii* (N-67) strain of parasite was used. This parasite strain was kindly provided by CDRI, Lucknow.

### 2.5 Inoculum preparation

To inoculate the experimental mice, parasitemia of already infected donor mice was calculated. Appropriate inoculum should have 1% parasitemia for infecting a naïve mice as per Waako *et al.* (2005)<sup>12</sup>. Blood from donor mice was collected in test tube containing Acid Citrate Dextrose (ACD) which acts as both diluents and anticoagulant. After appropriate dilution 0.2 ml inoculum containing  $1\times 10^7$  infected RBCs was inoculated intra peritoneally (i.p.) into the experimental mice.

### 2.6 Experimental setup

All the 24 animals were infected with 0.2 ml of inoculum having  $1\times 10^7$  infected RBCs on day0 and after that they were randomly divided into four groups, each group having 6 mice.

Group I – Control group (infected but not treated)

Group II – infected + treated with 75 mg/kg extract

Group III – Infected + treated with 150 mg/kg extract

Group IV – Infected + treated with 300 mg/kg extract

Peters 4-day suppressive test was performed<sup>13</sup>. Animals of group II, III and IV were treated with their respective dose of *Moringa oleifera* flower extract in 0.5 ml volume once a day for four days. First dose was administered after 3 hrs of parasitemia inoculation on day 0. Dose were given orally with the help of an oral gavage. Parasitemia was recorded on day 4 and afterward on every alternative day by preparing Giemsa-stained thin blood slides. These slides were observed under 100x magnification of simple compound microscope. Body weight (in gm) of animals of every group was also recorded.

Percent parasitemia and percent suppression were calculated using following formula:

$$\% \text{ parasitemia} = \frac{\text{No of infected RBC}}{\text{No of total RBC}} \times 100$$

$$\% \text{ suppression} = \frac{\text{parasitemia in negative control group} - \text{parasitemia in experimental group}}{\text{parasitemia in negative control group}} \times 100$$

Body weight of each mouse in all groups was recorded before infection (day 0) and after treatment (day 4) with the help of a sensitive electrical balance. Change in body weight was counted for each group. Then, the body weight change for the extract-treated groups was compared with that of the control groups.

## 2.7 Data analysis

All data were expressed as mean±SD for all experimental groups. Statistical analysis of data was done by using GraphPad Prism software. Values were considered to be statistically significant at  $P \leq 0.05$ .

## 3. Result

### 3.1 Effect of extract on percent parasitemia

Methanolic extract of *M. oleifera* flowers showed chemosuppressive activity against *P. yoelii* infection in Swiss albino mice. Tail blood samples drawn from animals of all the groups were used to calculate percent parasitemia. Using that, percent suppression of parasitemia was calculated.

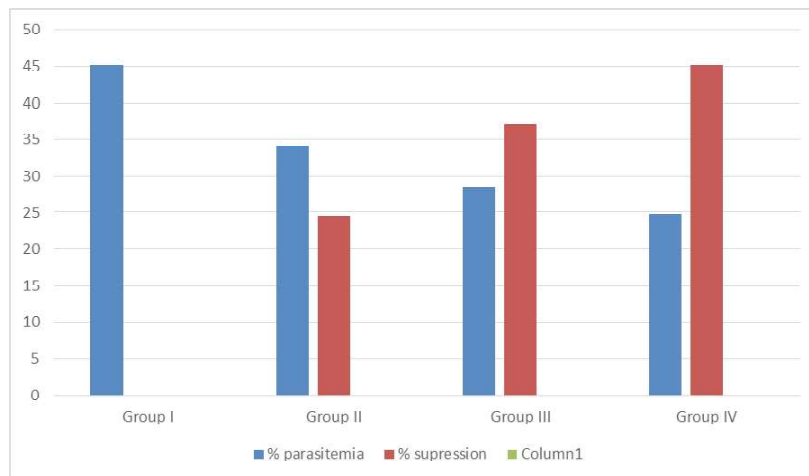
Methanolic flower extract of *M. oleifera* significantly suppressed the parasitemia. Highest percent suppression was obtained by 300 mg/kg dose (group IV) (45.17%) followed by 150 mg/kg extract (group III) (37.04%)

and the least suppression was seen in 75 mg/kg extract treated group (group II) (24.54). (table1, figure1)

**Table1: ANTIMALARIAL ACTIVITY OF METHANOLIC EXTRACT OF *M. oleifera* FLOWER AGAINST PLASMODIUM YOELII INFECTED SWISS ALBINO MICE.**

Group	% parasitemia±SD	% supression±SD
I	45.14±0.46	-
II	34.06±0.63*	24.54±1.06
III	28.42±0.24*	37.04±0.92
IV	24.75±0.35**	45.17±1.34

**Figure1: Graphical presentation of percentage of parasitemia and percent suppression in experimental groups.**



### 3.2 Effect of extract on mean survival time

In 4-day suppressive test significant difference was observed in mean survival time (MST) of animals of all the extract treated groups were compared with the negative control group (group I). Animals of extract treated groups lived longer than the negative control group animals. Animals treated with 300 mg/kg extract survived for more days followed by 150 mg/kg treated group and then the 75 mg/kg treated group. Minimum survival was seen in the negative control group (table2)

**Table 2: EFFECT OF METHANOLIC EXTRACT OF *M. oleifera* FLOWER ON MEAN SURVIVAL TIME OF PLASMODIUM YOELII INFECTED SWISS ALBINO MICE.**

Group	I	II	III	IV
MST±SD	6.33±0.5	7.16±0.63*	8.33±0.24*	9.66±0.74*

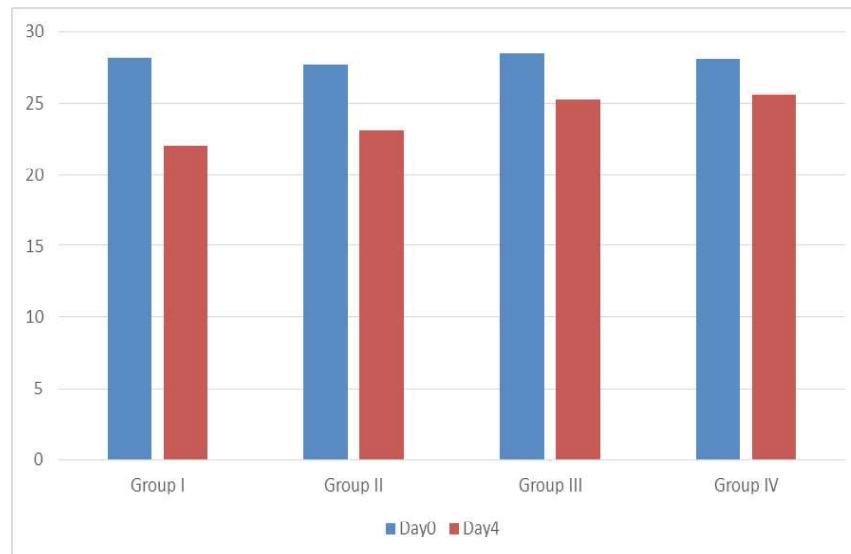
### 3.3 Effect of extract on body weight

In 4-day suppressive test when body weight of all the experimental groups were analyzed at day0 and day4 it was observed that highest loss of body weight was present in group I, followed by group II and III whereas least loss in body weight was recorded in group IV (table3).

**Table3: Effect of methanolic extract of *M. oleifera* flower on body weight of *Plasmodium yoelii* infected Swiss albino mice.**

Group	Body weight $\pm$ SD (in gm)	
	Day0	Day4
I	28.25 $\pm$ 0.24	22.05 $\pm$ 1.21
II	27.8 $\pm$ 0.38	23.16 $\pm$ 0.45
III	28.5 $\pm$ 0.21	25.25 $\pm$ 0.16
IV	28.18 $\pm$ 0.43	25.65 $\pm$ 0.73

**Figure2: Graphical presentation of change in body weight in experimental groups.**



## 4. Discussion

Antimalarial activities of the methanolic extract of *Moringa oleifera* flower; used in traditional medicine; were assessed against *Plasmodium yoelii* infected Swiss albino mice according to Peters 4-day suppressive test. Parasitemia simply refers to the number of parasitized RBCs circulating in blood or it refers to the level of infection by a particular parasite<sup>14</sup>. For the recovery from symptomatic malaria, it is essential for an organism to get a decline in the level of parasitemia<sup>15</sup>.

In the present study, the percent parasitemia after 4 days (i.e. on day4) of infection with *Plasmodium yoelii* in the negative control group was 45.14%. Percent parasitemia in none of the extract treated groups reached at this level. Among the plant extract treated groups, the lowest percent parasitemia was observed in 300 mg/kg body weight treated group with 24.75%.

There is an inverse relationship between chemosuppression and parasitemia. Dose of plant extract which reduced parasitemia to low levels have been found to have a corresponding high chemosuppression. It was revealed by the study that the highest (45.17%) suppression was shown by 300 mg/kg dose and lowest (24.54%) by 75 mg/kg dose of the plant extract. Methanolic extract of *Moringa oleifera* flower showed significant parasite suppression in all the experimental groups.

In vivo antimalarial activity of the plant extracts can be categorized as very good, good and moderate. According to this the methanolic extract of *Moringa oleifera* flower had a good chemosuppressive activity<sup>16</sup>.

This observation was very interesting as the extract was only a crude preparation and the chemosuppression showed by it was in agreement with the other studies on medicinal plants used for malaria like *Artemisia annua* and *Annona senegalensis*<sup>17</sup>, *Adhatoda schimperiana*<sup>18</sup> and *Cucumis metuliferus* and *Lippia kituiensis*<sup>11</sup>. This observation also gives credit to the traditional healers who provided information on plants traditionally used to treat fever and hence they can be trusted with ethnobotanical information in future studies. The antimalarial activity of the plant might be due to the presence of bioactive compounds<sup>19</sup>. Although, the active compound(s) responsible for the observed activity need to be identified in future studies. The effectiveness of an administered extract can also be influenced by the rate of gastrointestinal uptake and the half-life in metabolism of the active compound<sup>20</sup>. The difference between the antimalarial activities of different doses of extracts may indicate differences in the level of active compounds<sup>21</sup>.

Another parameter to evaluate the antimalarial activity of plant extracts is mean survival time. Plant extracts that can extend the survival time of infected experimental animals when compared to the negative control are considered to be active against malaria<sup>22,23</sup>. In the present study mice treated with the methanolic extract of *Moringa oleifera* flower had significantly survived longer than the negative control group in a 4-day suppressive test, which assures that the tested extract contain certain compounds which reduce the number of parasites and thus prolongs the survival time of the animals. The findings of the current study were in agreement with other findings on medicinal plants used for malaria, such as *Dodonaea angustifolia* seed<sup>11,24</sup>.

Body weight is one more parameter that can be used to assess the effect of the extract in treated mice<sup>25</sup>. In the present study, change in body weight of mice before and after treatment was determined and the results showed that there was significant ( $p < 0.05$ ) decrease in body weight between D0 and D4 of mice treated with methanolic extract of *Moringa oleifera* flowers. This may be because of the depressant action of the parasite on the appetite of infected mice and also the consequences of disturbed metabolic function and hypoglycemic effect of the parasite<sup>24</sup>.

## 5. Conclusion

In the present study, organic extracts of *Moringa oleifera* flowers had chemosuppression of 45.17% at a dose level of 300 mg/kg. This chemosuppression level of the plant extract was high especially for the organic extract. It shows that there is a possibility of the presence of antimalarial phytochemical in the flowers of this plant, which validates its ethnopharmacological use as antimalarial herbal remedies. Further study in in vivo toxicology should be carried out including treatment of groups with other organic solvents. Screening for selected phytochemicals of *Moringa oleifera* flowers may provide insights into active antimalarial compounds in this plant. Therefore, phytochemical analysis of the plant is highly recommended to identify the active compounds associated with the antimalarial activity.

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# Physico-chemical Analysis of Coconut Shell (*Cocos Nucifera*)

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## Abstract

Coconut shell (*Cocos nucifera*) is an agricultural byproduct that is abundantly available. Nevertheless, its disposal presents environmental issues and economic challenges. This study evaluates the physical properties, proximate analysis, ultimate analysis, and higher heating value of coconut shell (*Cocos nucifera*). Physical measurements taken from 25 randomly selected samples indicated average weight, height, and thickness. The proximate analysis revealed a low moisture content of 7.67%, which renders it appropriate for thermal application processes. The calorific value was determined to be 4339.85 kcal kg<sup>-1</sup>, demonstrating favorable combustion characteristics. The ultimate analysis, conducted using multilinear regression technique (MLR model) equations, indicated a high carbon content of 56.43%, affirming its viability as a combustion fuel. To investigate their potential uses, this study analyzes the physico-chemical properties of coconut shells, emphasizing their applicability in various fields and highlighting the necessity of adopting sustainable waste management practices.

**Keywords:** Coconut shell (*Cocos nucifera*), physical properties; proximate analysis; ultimate analysis; calorific value, multilinear regression technique (MLR)

## Introduction

Biomass is inherently renewable, abundantly available, evenly distributed, carbon neutral, and more cost-effective compared to other renewable energy sources. It plays a crucial role as a renewable energy resource, fulfilling nearly 75% of the energy requirements in rural areas, where the rural population makes up 70% of India's total population. The primary agricultural products of the Konkan region in Maharashtra include rice, millets, pulses, and coconut, among others. Biomass can be utilized directly or transformed into other energy forms. The types of biomass typically employed for combustion purposes include those used for

domestic cooking and heating in rural settings.<sup>1</sup> Examples include coconut shells, rice husks, maize stalks, and groundnut straw/shell, which are commonly used for heating and cooking in rural communities. Coconut is cultivated in over 95 countries worldwide, primarily in the tropical regions, covering an area of approximately 12,196 million hectares, yielding 69,836.36 million nuts, with a productivity rate of 49,969 nuts per hectare. The coconut shell (*Cocos nucifera*) is a widely accessible agricultural byproduct known for its low ash content, high carbon, and volatile compounds, rendering it a cost-effective biomass source that is readily available in rural areas throughout most seasons of the year. India ranks third globally in coconut production, generating around 3.18-4.20 million tonnes of coconut shells each year. These shells, along with fibrous husks, are byproducts of coconut processing and significantly contribute to agricultural waste. On average, each coconut tree produces between 70 and 100 nuts each year, which subsequently yields approximately 21 to 30 kg of coconut shells.<sup>2</sup> The Konkan region of Maharashtra is a prominent area for coconut production within the state. The average annual yield of coconuts in the Konkan region is around 1,313.8 lakh nuts. In this region, the potential annual production of coconut shells as biomass is estimated at 17,737.64 tonnes. Typically, coconut shells and husks are regarded as biomass or waste products from processing plants that manufacture coconut oils and flakes. The mature coconut shell is a consistently dense material, similar to hardwood, primarily composed of lignin and cellulose. Furthermore, coconut shells significantly contribute to global pollution challenges, with an estimated 3.19 million tonnes produced each year, accounting for over 60% of India's total waste volume.<sup>3</sup>

Primarily derived from local coconut industries, it constitutes an easily accessible form of agricultural waste. With an energy content of 16.7 Giga Joules per tonne for husks and a calorific value of 20.81 MJ/kg for shells, they offer significant potential for a variety of applications, such as thermal processes (including heating, cooking, and drying), coconut shell powder production, handicrafts, and charcoal production.<sup>4</sup> Nevertheless, their disposal presents environmental challenges and economic burdens. Considering all these factors, the physico-chemical properties of coconut shell (*Cocos nucifera*) were examined.

### **Materials and Methods**

The research study was conducted utilizing locally sourced coconut shells (*Cocos nucifera*), which were gathered from various locations such as hotels and temples. The physical properties of the coconut shells were assessed through the random selection of 25 available samples (half shells), focusing on their weight, thickness, and height. The proximate analysis,

ultimate analysis, and gross heating value of the coconut shell (*Cocos nucifera*) were determined by selecting three samples from the initial 25.

## Characterization of Biomass as a Feedstock

### *i. Physical Properties of Coconut Shell*

The physical properties of coconut shell were assessed through the random selection of 25 available coconut shells (half shells), focusing on their weight, thickness, and height. The weight, thickness, and height of the 25 randomly chosen coconut half shells were documented using an electronic weighing balance, a vernier caliper (L. C. 0.02 mm), and a scale.

### *ii. Proximate Analysis*

The proximate analysis of the sample was performed utilizing the analytical methods ASTM E-3173, 3174, and 3175. The moisture content was assessed in accordance with ASTM E-3173. The ash content was evaluated based on ASTM E-3174. The volatile fraction was measured following ASTM E-3175, while the fixed carbon was calculated by deducting the total of volatile matter, moisture content, and ash content from 100.

#### *a. Moisture Content*

As outlined in ASTM D-3173, the moisture content of the raw biomass was evaluated by determining the weight loss of the material via the hot air oven drying technique. Thereafter, the moisture content was calculated using the following formula:

$$\text{Moisture, \% (wet basis)} = \frac{W_2 - W_3}{W_2 - W_1} \times 100 \quad (1)$$

$$\text{Moisture, \% (dry basis)} = \frac{W_2 - W_3}{W_3 - W_1} \times 100 \quad (2)$$

Where,

W1 = Weight of crucible, g

W2 = Weight of crucible + initial weight of sample, g

W3 = Weight of crucible + weight of dried sample, g

#### *b. Volatile matter*

In accordance with ASTM D-3175, the volatile matter was evaluated by placing the oven-dried sample, which was obtained after measuring the moisture content, into a sealed crucible at a temperature of  $950 \pm 20$  °C for a duration of seven minutes in a muffle furnace (Quality NSW-101, MF-1, Temperature range 0-1200 °C). Subsequently, the weight loss was recorded as the percentage of volatile matter contained in the sample.

$$\text{Volatile matter, \% (dry basis)} = \frac{W_3 - W_4}{W_2 - W_1} \times 100 \quad (3)$$

Where,

W1 = Weight of crucible, g

W2 = Weight of crucible + sample, g

W3 = Weight of crucible + weight of sample before keeping in muffle furnace, g

W4 = Weight of crucible + weight of sample after keeping in muffle furnace, g

### c. Ash content

As specified by ASTM D-3174, the residual samples were subjected to gradual heating in a muffle furnace at 750 °C for a duration of 30 minutes. Following the cooling process, the samples were weighed multiple times until a stable weight was obtained. The weight of the remaining residue represented the ash content of the sample expressed as a percentage.

$$\text{Ash, \% (dry basis)} = \frac{W_5 - W_1}{W_2 - W_1} \times 100 \quad (4)$$

Where,

W1 = Weight of crucible, g

W2 = Weight of crucible + sample, g

W5 = Weight of crucible + Constant weight of sample after keeping in muffle furnace, g

### Fixed Carbon

The fixed carbon (FC) content present in the sample provides a general indication of the yield of charcoal. The estimation of fixed carbon is conducted using the formula outlined below.

$$\text{Fixed carbon (\%)} = 100 - \% \text{ of (moisture content + volatile matter + ash)} \quad (5)$$

### iii. Heating value of coconut shell

The gross heating value of coconut shell (*Cocos nucifera*) was measured using a bomb calorimeter (ASTME-711) under controlled conditions, maintaining an oxygen pressure of 25 atmospheres to ensure complete combustion. The water equivalent of the apparatus was established by combusting a known mass (1.0 g) of pure, dry benzoic acid in powdered form within the bomb under the same conditions, with the temperature increase recorded over a period of 5 minutes. The standard heating value of benzoic acid (6324 cal/g) was utilized to compute the water equivalent.

This facilitated the determination of the higher calorific value of coconut shell (*Cocos nucifera*) through the bomb calorimeter experiment.

#### ***iv. Comprehensive Analysis***

The comprehensive analysis encompasses the assessment of Carbon content, Hydrogen content, Oxygen content, and Nitrogen content within the fuels. This analysis is instrumental in computing heat balances in any process where biomass serves as fuel. By utilizing the values obtained from proximate analysis, the ultimate analysis of the biomass was derived through the application of multilinear regression technique (MLR model) equations to predict the elemental compositions of coconut shell (*Cocos nucifera*).<sup>5</sup>

#### **Results and Discussion**

The conventional analytical methods were employed to assess the physical properties, proximate analysis, calorific value, and ultimate analysis of coconut shell (*Cocos nucifera*) in accordance with the methodology section. It was noted that the average weight of a single coconut shell was determined to be 0.081 kg, with an average height of 0.065 m and a shell thickness of 0.0038 m.

The proximate analysis of coconut shell (*Cocos nucifera*) regarding moisture content, volatile matter, fixed carbon content, and ash content has been determined, as illustrated in Table 1. The moisture content of coconut shell (*Cocos nucifera*) was measured at 7.67% on a dry basis, indicating a relatively low moisture level. This low moisture content renders coconut shell an appropriate fuel for combustion applications, thereby enhancing performance potential. The moisture content of coconut shell (*Cocos nucifera*) has been reported to range from 7.40% to 11.00% (dry basis) in various studies, including those by Irawan et al. (2017)<sup>6</sup> and Iloabachie et al. (2018).<sup>7</sup> Experimental data revealed that the volatile matter, ash content, and fixed carbon of coconut shell (*Cocos nucifera*) were 66.1%, 1.4%, and 24.8%, respectively. These findings demonstrate the viability of coconut shell (*Cocos nucifera*) as a fuel for combustion due to its low ash content and high fixed carbon content. Similar studies have been conducted by Parikh et al. (2007)<sup>8</sup>, Irawan et al. (2017), and Iloabachie et al. (2018).<sup>9</sup>

The calorific value of coconut shell (*Cocos nucifera*) was assessed using a bomb calorimeter, revealing a calorific value of 4339.85 kcal kg<sup>-1</sup>. This elevated calorific value suggests favorable combustion characteristics, as the increased heat produced during combustion results in higher temperatures for various thermal applications. Comparable findings regarding the calorific value of coconut shell (*Cocos nucifera*) were documented by Irawan et al. (2017) and Iloabachie et al. (2018).

The comprehensive analysis of coconut shell (*Cocos nucifera*) regarding its carbon (C), hydrogen (H), oxygen, and nitrogen content was theoretically estimated based on the results derived from proximate analysis utilizing the multilinear regression technique (MLR model) equations.<sup>10</sup> The findings from the ultimate analysis of coconut shell (*Cocos nucifera*) are illustrated in Table 1 and Figure 1 (b). The average contents of carbon, hydrogen, oxygen, and nitrogen in coconut shell (*Cocos nucifera*) were determined to be 56.43%, 4.16%, 37.51%, and 0.48%, respectively. The results of the elemental composition from the ultimate analysis of coconut shell (*Cocos nucifera*) show a strong correlation with the heat of combustion, highlighting its high carbon content (C), which renders it an appropriate fuel for combustion processes. Similar findings from the ultimate analysis of coconut shell (*Cocos nucifera*) have been documented by Parikh et al. (2007); Irawan et al. (2017); and Iloabachie et al. (2018).

**Table 1: Chemical properties of coconutshell (*Cocosnucifera*)**

Sr.No.	Parameters	Coconut shell ( <i>Cocosnucifera</i> )
1.	Proximate analysis	
a.	Moisture content, %	7.67
b.	Volatile matter, %	66.1
c.	Ash content, %	1.4
d.	Fixed carbon, %	24.8
2.	Calorific value (HHV), kcal/kg	4339.85
3.	Ultimate analysis	
a.	Carbon, %	56.43
b.	Hydrogen, %	4.16
c.	Oxygen, %	37.51
d.	Nitrogen, %	0.48

### Conclusion

The coconut shell (*Cocos nucifera*) is acknowledged as agricultural waste, celebrated for its low ash content, elevated carbon (C), and volatile matter. It is abundantly available at reduced prices in rural regions throughout nearly every season of the year. The low moisture content (7.67%) of coconut shell (*Cocos nucifera*) renders it an appropriate fuel for combustion purposes, presenting the possibility of enhanced performance. Additionally, its elevated calorific value signifies favorable attributes for combustion, as the increased heat produced during combustion results in high temperatures for various thermal applications. The elemental makeup

of coconut shell (*Cocos nucifera*), as disclosed through ultimate analysis utilizing the multilinear regression technique (MLR model) equations, is closely associated with the heat of combustion, featuring a high carbon (C) content that renders it suitable for combustion processes. This study explores the physico-chemical properties of coconut shell (*Cocos nucifera*), illuminating its potential as a sustainable and efficient biomass resource for diverse applications. Consequently, the use of coconut shell (*Cocos nucifera*) offers a wide range of possibilities across different applications. Its sustainability, eco-friendliness, and cost-effectiveness render it a significant resource in the field of biomass. By utilizing the coconut shell, we can considerably decrease dependence on limited resources while reducing environmental impact. From energy generation to agricultural applications and more, the adaptability of coconut shell (*Cocos nucifera*), as a sustainable material, provides a promising path for innovation and resource efficiency.

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# Superconductivity in Low-Dimensional Systems: Challenges and Opportunities

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## Abstracts

The recently explored area of superconductivity in low-dimensional systems has garnered significant interest due to the advanced quantum phenomena observed at reduced dimensional scales. Systems such as thin films, nanowires, and high-purity two-dimensional materials demonstrate notable deviations from conventional (bulk) superconductivity, attributed to enhanced quantum confinement and electron correlations. This article aims to investigate the fundamental universal principles governing superconductivity in these systems and to emphasize their substantial potential for next-generation quantum technologies. We will critically analyze challenges such as sustaining superconductivity in lower dimensions, addressing the impacts of disorder, and increasing critical temperatures.

**Keywords:** Superconductivity, low-dimensional systems, two-dimensional materials,

## Introduction

Superconductivity, an extraordinary quantum mechanical state defined by the absence of electrical resistance and the expulsion of magnetic fields, continues to serve as a remarkable foundation for modern physics and materials science. Superconductivity was first discovered in bulk materials, and the topic has garnered significant attention due to its fundamental significance and vast potential for technological applications. The investigation of superconductivity in low-dimensional systems, particularly in thin films, nanowires, and 2D materials, has expanded the horizons of this field, revealing new properties and mechanisms that are markedly different from those observed in bulk materials. These low-dimensional systems can display distinctive quantum effects such as quantum confinement, reduced dimensionality, and enhanced electron-electron interactions, which are generally not present in bulk materials, making them particularly intriguing. Consequently, this has opened new avenues in both fundamental science and applied superconductivity research within low-dimensional systems.<sup>1</sup>

Furthermore, two-dimensional materials like graphene and transition metal dichalcogenides (TMDs) exhibit unconventional superconductivity, which contradicts established beliefs, thereby creating opportunities for the development of new theoretical frameworks. These materials provide innovative platforms for exploring exotic states of matter, including topological superconductivity, which holds promise for resilient quantum computing, and are accessible here. From a technological perspective, low-dimensional superconductors have the potential to significantly transform various fields. Their applications range from the creation of quantum bits (qubits) for quantum computing to the production of ultra-sensitive detectors for magnetic fields and radiation. Moreover, the ability to induce superconductivity at the nanoscale facilitates the creation of new devices, such as superconducting transistors, Josephson junctions, and superconducting nanowire single-photon detectors (SNSPDs). However, it is important to note that superconductivity in low-dimensional systems is not without challenges. Regrettably, these systems are almost universally affected by their susceptibility to external influences, including disorder, substrate interactions, and thermal fluctuations, which can diminish or alter their superconducting characteristics. Achieving accurate fabrication and characterization of low-dimensional superconductors necessitates cutting-edge technology and instrumentation, which complicates and elevates the costs associated with research.<sup>2</sup>

Moreover, the theoretical and experimental advancement of novel models capable of elucidating the significance of quantum coherence and phase transitions in these systems presents a considerable challenge. Researchers are increasingly recognizing that low-dimensional systems are crucial to numerous quantum phenomena, such as superconductivity, along with various potential scientific breakthroughs and technological progress. Consequently, this holds the promise of future innovations, ranging from quantum computing and energy-efficient power transmission to the development of entirely new quantum materials.

### **Characteristics of Low-Dimensional Superconductors**

Unique and often extraordinary quantum phenomena that are not observed in bulk counterparts are exhibited by low dimensional systems, which present reduced spatial dimensions. In particular, the effects are pronounced in two dimensional materials, in particular graphene and transition metal dichalcogenides (TMDs) where quantum confinement dramatically modifies their electronic, optical, and superconducting properties. For example, the spatial dimension of these materials is reduced, making materials especially sensitive to the effects of quantum mechanics, which results in the appearance of exotic superconducting states. The occurrence of this phenomenon is mainly caused by quantum confinement and weakening of Coulomb interaction screening, which enhances

electron–electron correlations and makes possible the onset of new phases of matter. One-dimensional systems including nanowires and thin films feature their own distinctly superconducting properties, including enlarged critical magnetic fields and peculiar vortex dynamics, which are distinct from conventional three dimensional systems. The behavior of Cooper pairs—the entities that give rise to superconductivity—is very different in these low dimensional systems than is predicted by the standard BCS (Bardeen–Cooper–Schrieffer) theory. In confined geometries, where conventional choices for mirror symmetries are no longer natural, Cooper pairs exhibit new and unique quantum mechanical phenomena that do not fit into our usual conceptions of superconductivity and require new theoretical frameworks. For example, on the interplay between reduction of the dimensionality and strong quantum effects as the key identity of unconventional superconducting states and mechanisms.<sup>3</sup>

### Experimental Realizations

The recent advancements in material synthesis and fabrication methods have enabled the creation of high-quality superconducting low-dimensional systems. Techniques such as chemical vapor deposition (CVD), molecular beam epitaxy (MBE), and mechanical exfoliation, among others, have allowed for the precise and controllable production of atomically thin layers, nanostructures, or heterostructures. These approaches facilitate the development of materials characterized by exceptional structural quality, purity, and tunability, which are crucial for investigating the novel quantum properties of zero-dimensional or low-dimensional systems. Notably, one of the most significant experimental achievements in this field is the identification of superconductivity in ‘magic angle’ twisted bilayer graphene. In this configuration, two layers of graphene are aligned with a slight rotational misalignment at an angle very close to  $1.1^\circ$  (the magic angle). This arrangement results in a moiré superlattice with a flat band electronic structure that allows for strong electron correlations. A common outcome of this phenomenon is the emergence of unconventional superconducting phases, which are significantly influenced by interlayer coupling and stacking sequences. The capacity to meticulously control these factors (such as the stacking angle and interlayer separation) through MBE and exfoliation represents a truly transformative technology, capable of uncovering new quantum phenomena. Furthermore, these innovative breakthroughs create a unique experimental platform to harness unconventional superconducting phases and phenomena. We methodically adjust parameters, such as strain, doping, and electric fields, to investigate the intricate interplay between reduced dimensionality, electron interactions, and quantum effects. The complex physics that arises in low-dimensional systems is illustrated by

the detection of exotic phases, including superconductivity in the presence of magnetism, and the potential for topological superconductivity. As noted by Fatemi et al. (2018), these developments extend beyond merely enhancing our understanding of fundamental quantum mechanics; they also signify the potential for applications in quantum computation, energy-saving technologies, and future electronic devices.<sup>4</sup>

### **Challenges in Low-Dimensional Superconductivity**

Superconductivity in low-dimensional systems poses several notable challenges in achieving and maintaining stability, primarily due to their intrinsic fragility and distinctive characteristics. One major concern is the structural stability of materials like thin films and nanowires. In these systems, degradation and structural instabilities significantly hinder superconducting properties. Moreover, various factors that lead to the inability of these systems to sustain superconductivity in operational environments—such as oxidation, strain relaxation, and defects arising from synthesis or handling—can undermine their integrity.<sup>5</sup> In the case of heterostructures, which integrate superconducting and insulating materials, the situation is further complicated by interfacial phenomena. Within these structures, interfaces often serve as sites of intricate interactions, such as proximity effects and strain fields, which can greatly impact the superconducting state. The superconducting properties may 'leak' into adjacent layers, influenced by the combinations of materials and the quality of the interfaces, thereby affecting the overall superconducting behavior in various ways. Strain at interfaces, resulting from lattice mismatches or applied external forces, can also influence electronic properties, sometimes adversely affecting the superconducting order. The development of low-dimensional superconductors relies on these interfacial phenomena, necessitating precise design and control to enhance superconducting performance.

This positions quantum fluctuations, which are intrinsic to equilibrium systems at finite temperatures, in a distinctly unfavorable situation. In systems where spatial degrees of freedom are limited, these fluctuations become more pronounced and can disrupt the emergence of long-range superconducting order. Consequently, it has been exceedingly challenging to attain robust superconductivity at elevated temperatures. This limitation indicates the need for innovative approaches to mitigate or otherwise diminish quantum fluctuations sufficiently to stabilize the superconducting phase. The characterization of superconductivity in nanoscale systems presents particular difficulties. Due to their diminutive size and unique physical characteristics, conventional measurement techniques, such as electrical transport measurements and magnetic probes, often require extensive modifications for application to low-dimensional systems. For instance, the reduced volume of these materials complicates the detection

of bulk superconducting transitions using standard devices. Given that the phenomenon of interest is subtle and localized, the techniques employed must be exceptionally sensitive and precise. Furthermore, the integration of these methods into nanoscale devices typically demands additional instrumentation and experimental configurations, which further complicates this already intricate field of research.

The challenges faced regarding stability, interfacial phenomena, quantum fluctuations, and measurement techniques collectively highlight the intricate and multidisciplinary nature of research into low-dimensional superconducting systems. To tackle these issues, it will be essential to engage in coordinated efforts across material science, condensed matter physics, and nanotechnology, as well as to create new experimental and theoretical methodologies tailored to these systems.

### **Prospects and Applications**

Considering the significant difficulty associated with the construction of low-dimensional superconducting systems, the potential applications of these superconductors span various groundbreaking technological fields. The efficacy of such systems is anticipated to be crucial in the development of devices for quantum computing, nanoscale electronics, and sensing technologies, offering unparalleled functionalities and performance improvements.

The creation of **Majorana zero modes** in one-dimensional superconductors represents a particularly thrilling application. Exotic quasiparticles, manifested as Majorana zero modes, also present an intriguing proposal for fault-tolerant quantum computing. These modes possess properties that are unique to quantum matter, enabling the encoding and manipulation of quantum information in a resilient manner, paving the way for scalable and error-resistant quantum processors. However, much of the current research in quantum computing has concentrated on constructing a complete quantum computer, making this capability – a crucial initial step – regarded as significant progress.

Low-dimensional geometries significantly enhance the tunability of superconducting devices, including Josephson junctions and Superconducting Quantum Interference Devices (SQUIDs). The reduced dimensions of these devices facilitate precise control over parameters such as phase coherence and critical currents, thereby improving performance and sensitivity. Notably, SQUIDs are already extensively utilized across a wide array of applications, such as ultra-sensitive magnetometry, and their integration with low-dimensional superconductors could pave the way for further applications in new operational regimes.

Furthermore, low-dimensional superconductors combined with other quantum materials, such as topological insulators and magnetic materials,

enable the exploration of hybrid devices with innovative functionalities. These hybrid systems may exhibit emergent phenomena that would be challenging, if not impossible, to achieve with a single constituent material. For instance, the coupling of topological insulators, which possess unique spin-momentum locking, with superconducting properties may lead to the emergence of topological superconductivity. This area is of significant interest in fundamental physics and holds potential technological applications, including the development of novel quantum information processors and spintronics devices.

Nonetheless, these advancements significantly emphasize the necessity for theoretical progress related to low-dimensional superconductivity. This includes establishing the physical mechanisms that explain the presence of ground state signatures in certain materials, as well as leveraging these signatures to engineer materials with desired properties. Innovative concepts such as flat-band superconductivity and topological protection have given rise to new theoretical frameworks and altered the approach to designing and interpreting experiments. For instance, flat-band superconductivity examines how nearly dispersionless electronic bands facilitate electron pairing, thereby enhancing superconductivity at lower carrier densities. Similarly, topological protection ensures the stability of certain superconducting states against disturbances, making them suitable for practical applications.

By employing density functional theory (DFT) and quantum Monte Carlo simulations, we are uncovering the microscopic physics that underpins superconductivity in low dimensions. DFT, by solving quantum mechanical equations for realistic material systems, offers insights into electronic structures and pairing mechanisms. However, this is not entirely accurate; quantum Monte Carlo methods enable precise simulations of correlated electron systems and elucidate the complex interactions that drive superconductivity. The computational tools outlined here are essential for predicting material properties, guiding experimental efforts, and validating theoretical approaches.

Ultimately, the simultaneous progress in both theoretical and computational realms, along with the promise of low-dimensional superconductors to transform technology, renders this research area particularly thrilling. Furthermore, the enhanced comprehension of quantum mechanics and condensed matter physics offered by these systems paves the way for innovative quantum technologies, advanced sensing, and more (Mel'nikov et al., 2021 and Li et al., 2024).

### **Future Directions**

In a broader context, it is essential to cultivate an interdisciplinary and synergistic strategy for tackling the complex challenge of stabilizing

superconductivity in low-dimensional systems. This approach should encompass not only material science and condensed matter physics but also the integration of computational modeling and advanced fabrication techniques. The characteristics of low-dimensional systems are particularly fascinating; however, the intrinsic complexity of these structures necessitates innovative solutions to not only overcome existing limitations but also to facilitate the practical utilization of these exceptional materials. We will delve deeper below to examine critical pathways for advancement in this domain.

### **Focus on High Temperature Superconductivity**

The identification and stabilization of high-temperature superconducting systems continues to be one of the most urgent objectives in the realm of low-dimensional superconductivity. The potential applications of high-temperature superconductors (HTSCs) are substantial, as these materials can function in superconducting states at significantly elevated temperatures, thereby reducing the reliance on costly cryogenic cooling systems. This objective is particularly crucial for the advancement of superconducting technologies, which are necessary for widespread implementation in energy transmission, quantum computing, and magnetic sensing applications. Given that the materials and mechanisms facilitating superconductivity at higher temperatures cannot be elucidated solely through single-electron phenomena, there is a pressing need for research focused on discovering such materials and mechanisms. Emerging classes of materials, including two-dimensional substances with unconventional pairing mechanisms and the exploration of flat band superconductivity arising from the distinctive electronic structures of certain low-dimensional systems, represent promising avenues for investigation. A comprehensive understanding of electron-phonon interactions, spin-orbit coupling, and quantum confinement will be vital in the development of systems that can operate at elevated critical temperatures.<sup>6</sup>

### **Methods Towards Scalable and Cost Effective Production**

The advancement of techniques that will facilitate the commercialization of low-dimensional superconductors is contingent upon the creation of scalable and cost-effective low-dimensional superconductors. Although current synthesis methods, including chemical vapor deposition (CVD), molecular beam epitaxy (MBE), and exfoliation, are highly effective, they often face limitations in scalability and incur high production costs. To overcome these challenges, it is essential to develop next-generation fabrication methods that are precise, efficient, and economical. One potential strategy could involve employing roll-to-roll processing to mass-produce atomically thin superconducting films.

This technique, which has previously been utilized for the production of flexible electronics, could be adapted to create high-quality superconducting layers over extensive areas. Furthermore, ongoing advancements in self-assembly techniques and atomic layer deposition (ALD) may yield the necessary uniformity and control at the nanoscale. Another groundbreaking opportunity lies in the integration of machine learning and artificial intelligence with material synthesis processes. AI can be utilized in the synthesis of innovative superconducting systems by analyzing extensive datasets derived from experimental or computational studies to optimize synthesis parameters, predict material properties, and expedite discovery. The potential of this data-driven approach is to significantly reduce the time and costs associated with material development.

Supercurrent properties and current voltage (IV) curves can be tailored within the single grain boundaries and junctions.

A primary focus for researchers is the capacity to precisely adjust the superconducting characteristics of low-dimensional systems. Techniques such as strain engineering, doping, and the application of external fields exhibit considerable promise in altering the electronic structure and modifying the superconducting properties.

**Strain Engineering:** The application of mechanical strain to low-dimensional materials can modify their lattice parameters, resulting in the adjustment of the electronic band structure and enhancement of superconducting properties. For instance, strain can be utilized to change the critical temperature, critical field, and carrier density in two-dimensional materials like graphene and transition metal dichalcogenides (TMDs). Moreover, strain engineering facilitates the exploration of exotic phases of matter, including topological superconductivity, within a strain tuning field.

**Doping:** An effective method for customizing the electronic characteristics of low-dimensional superconductors involves the incorporation of chemical dopants or the intercalation of foreign atoms. Doping allows for an increase in carrier concentration, facilitates the modification of electron-phonon coupling, and can stabilize unconventional pairing mechanisms. For instance, the alloying of alkali metals in layered superconductors has been shown to significantly raise their critical temperatures.

**External Fields:** The superconducting properties can be adjusted in real time through the application of external electric, magnetic, or optical fields. Superconductivity that can be controlled via gating, specifically superconductivity induced by the application of an electric field to two-

dimensional materials, is currently opening up new possibilities for the development of switchable and reconfigurable superconducting devices. In a similar vein, optical fields offer a method to induce ultrafast phase transitions or alter superconducting states, an area that continues to evolve with significant potential.

Low dimensional superconductivity is a multifaceted topic that spans various disciplines, necessitating collaboration among institutions from China, the United States, and other national interests and industrial stakeholders. Joint research through collaboration enables the pooling of resources, skilled personnel, and infrastructure, facilitating quicker advancements and fostering greater innovation. The necessity for such partnerships is especially crucial in bridging the divide between theoretical concepts and their practical applications. In this domain, collaboration can significantly contribute to tackling major challenges. For instance, there are initiatives aimed at accessing synchrotron radiation facilities, neutron scattering laboratories, and high-performance computing centers essential for investigating the intricate structures of low dimensional superconductors. Simultaneously, collaborative funding programs and global research consortia can promote the exchange of ideas and encourage an interdisciplinary approach to problem-solving. Furthermore, success heavily depends on the establishment of industry partnerships to translate laboratory findings into viable technologies. Collaborating with technology firms, energy companies, and manufacturers can assist in identifying application-specific needs, directing research priorities, and advancing the commercialization of low dimensional superconducting materials.

### **Integrating Experimental and Theoretical Advances**

A crucial element in the advancement of superconductivity into low dimensions is the alignment of experimental and theoretical approaches. Such experimental milestones, like the identification of superconductivity in magic angle twisted bilayer graphene, often necessitate the development of new theoretical frameworks and inspire the creation of innovative models. Conversely, experimental initiatives are informed by theoretical forecasts that direct attention to promising material systems and aid in comprehending observed phenomena. Low-dimensional superconductors have been revolutionized by the advent of new computational methods, including density functional theory (DFT), quantum Monte Carlo simulations, and machine learning-based modeling. These tools enable researchers to investigate the atomic and electronic mechanisms of superconductivity with atomic-level precision, accurately model material properties, and design experiments with a higher probability of success, thereby assisting researchers in advancing our comprehension of

superconductivity from network-scale descriptions to a more quantitative grasp of the fundamental components of this intriguing phenomenon.

Advanced experimental techniques, including scanning tunneling microscopy (STM), angle resolved photoemission spectroscopy (ARPES), and ultrafast optical spectroscopy, provide unparalleled insights into the microscopic behavior of low-dimensional superconductors. By employing these techniques, researchers are able to directly observe phenomena such as Cooper pair formation, quantum fluctuations, and topological transitions, which offer valuable feedback for the enhancement of theoretical models.<sup>7</sup>

### Conclusion

Low-dimensional systems represent a vibrant and demanding frontier in condensed matter physics, particularly concerning superconductivity. However, by addressing the inherent complexities and leveraging the unique characteristics of these systems, researchers can uncover novel domains of fundamental inquiry alongside groundbreaking technologies. This promising area will undoubtedly be shaped by future collaborations between experimental progress, leading to theoretical advancements, and interdisciplinary partnerships.

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# Singular Riemannian Foliations On Spaces Lacking Conjugate Points

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## Abstract

We describe the topological structure of cocompact singular Riemannian foliations on Riemannian manifolds without conjugate points. We prove that such foliations are regular and developable and have regular closures. We deduce that in some cases such foliations do not exist.

*Key words:* Negative curvature, focal points, geodesic flow.

## Introduction

Riemannian manifolds of non-negative curvature often admit large groups of isometries. Moreover, there are many famous examples of Riemannian foliations on such spaces, like the Hopf fibrations and of singular Riemannian foliations, such as isoparametric foliations. Singular Riemannian foliations on non-negatively curved manifolds tend to be homogeneous and seem to be rather rigid objects. On the other hand, (singular) Riemannian foliations on such spaces are often related to other rigidity questions (cf. [GG87], [GW88], [Tho91], [GP97], [GW01b], [GW01a], [Wil01] [Chr02], [Wil04]).

If one changes the sign of the curvature then the situation seems to be completely different on the first glance. For instance, in a simply connected negatively curved manifold there are infinite-dimensional families of Riemannian submersions to the real line and there seem to be no hope of getting any kind of control of such objects. However, for compact manifold of non-positive curvature the situation seems again be very similar to the “rigid” non-negatively curved world. The first indication is the famous result of Bochner ([Boc46]) that describes connected isometry groups of such spaces. In particular, the isometry group of a compact negatively curved manifold turns out to be finite. Indeed, this is the case for any Riemannian metric on such manifolds, since they have positive minimal volume ([Gro82]).<sup>1</sup> In [Car84] it is shown that the existence of a Riemannian flow on a compact manifold forces its minimal volume to be zero, thus Riemannian flows do not exist on compact negatively curved manifolds. Finally, A. Zeghib proved in [Zeg95], Theorem F that on a compact negatively curved manifold there are no (regular) Riemannian foliations at all.

**Remark 1.1.** Previously, the non-existence of regular Riemannian foliations on compact negatively curved manifolds was claimed in [Wal91b] and, in special cases in [KW92] and [Wal93]. However, these proofs are not correct, cf. [Wal91a] and the discussion in [Zeg95], pp.1435-1436.<sup>2</sup>

Here, we generalize the non-existence theorem to singular Riemannian foliations, a broad generalization of regular Riemannian foliations and isometric group actions. We prove:

**Theorem 1.1.** Singular Riemannian foliations do not exist on compact negatively curved manifolds.

**Remark 1.2.** In [T<sup>07</sup>] the non-existence result was proved under the assumption that the singular Riemannian foliations has horizontal sections, i.e., that the horizontal distribution in the regular part is integrable.

In fact, in analogy with [T<sup>07</sup>], we prove in a broader context that a singular Riemannian foliation on a compact negatively curved manifold cannot have singular leaves, i.e., it must be a regular Riemannian foliation. Then we apply [Zeg95]. Our main result result used in Theorem 1.1 describes the topology of singular Riemannian foliations in the following more general situation.

**Theorem 1.2.** Let  $M$  be a complete Riemannian manifold without conjugate points and let  $F$  be a singular Riemannian foliation on  $M$  such that the space of leaves  $M/F$  has bounded diameter with respect to the quotient pseudo-metric. Then  $F$  is a regular foliations and has a regular closure  $F^-$ . The quotient  $M/F^-$  is a good Riemannian orbifold without conjugate points. The leaves of the lift  $F^-$  of  $F$  to the universal covering  $M^-$  of  $M$  are closed and contractible. They are given by a Riemannian submersion  $p : M^- \rightarrow B$  to a contractible manifold  $B$ .

In the case of a simply connected total space  $M$  we deduce from the last part of Theorem 1.2:

**Corollary 1.3.** Let  $M$  be a complete, simply connected Riemannian manifold without conjugate points. Then there are no non-trivial singular Riemannian foliations  $F$  on  $M$  with a bounded quotient  $M/F$ .

**Remark 1.3.** In the case  $M = \mathbb{R}^n$  the last result was recently shown in [Bol07] using different methods.

The proof of Theorem 1.2 is divided into a geometric and a topological part. In the geometric part, similar to [T<sup>07</sup>], we analyze the structure of  $F^-$  and prove that regular leaves of  $F^-$  do not have focal points (this already implies the first two claims in our theorem). The idea of the proof is that focal points of regular leaves correspond either to crossings of singular leaves or to conjugate points in the quotient. Now, the Poincare recurrence

theorem for the quasi-geodesic flow on the quotient  $M/F^-$  (cf. {citeexpl, Theorem 1.6, here we use the compactness of the quotient) tells us that the existence of a single focal point would imply the existence of a horizontal geodesic with arbitrary many focal points. (This is a modified form of the statement that on a compact Riemannian manifold with uniformly bounded number of conjugate points along all geodesics, there are no conjugate points at all). However, the absence of conjugate points on  $M$  implies that each leaf has at most  $\dim(M)$  focal points along any horizontal geodesic.<sup>3</sup> This contradiction finishes the geometric part of the proof.

The remaining part of the proof is finished by using the following purely topological observation.

**Proposition 1.4.** Let  $M$  be an aspherical manifold with a complete Riemannian metric. Let  $F$  be a Riemannian foliation on  $M$  with dense leaves. Then the leaves of the lift  $\tilde{F}$  of  $F$  to the universal covering  $\tilde{M}$  are closed and contractible. The lifted foliation  $\tilde{F}$  is given by a Riemannian submersion  $p : \tilde{M} \rightarrow B$  onto a contractible space  $B$ .

### Preliminaries

A transnormal system  $F$  on a Riemannian manifold  $M$  is a decomposition of  $M$  into smooth, injectively immersed, connected submanifolds, called leaves, such that geodesics emanating perpendicularly to one leaf stay perpendicularly to all leaves. A transnormal system  $F$  is called a singular Riemannian foliation if there are smooth vector fields  $X_i$  on  $M$  such that for each point  $p \in M$  the tangent space  $T_p L(p)$  of the leaf  $L(p)$  through  $p$  is given as the span of the vectors  $X_i(p) \in T_p M$ . We refer to [Mol88], [Wil04] and [LT07] for more on singular Riemannian foliations. Examples of singular Riemannian foliations are (regular) Riemannian foliations and the orbit decomposition of an isometric group action.

If  $M$  is complete then leaves of a transnormal system  $F$  are equidistant and the distance between leaves define a natural pseudo-metric on the space of leaves. This pseudo-metric space is bounded if and only if some finite tubular neighborhood of a leaf coincides with the whole space. If  $F$  is closed, i.e., if leaves of  $F$  are closed then the quotient  $B = M/F$  is a complete, locally compact, geodesic metric space, that is compact if and only if it is bounded. Moreover,  $B$  is an Alexandrov space with curvature locally bounded below. If it is compact, its Hausdorff measure is finite.

Let  $F$  be a singular Riemannian foliation on the Riemannian manifold  $M$ . The dimension of  $F$ ,  $\dim(F)$ , is the maximal dimension of its leaves. For  $s \leq \dim(F)$  denote by  $\Sigma_s$  the subset of all points  $x \in M$  with  $\dim(L(x)) = s$ . Then  $\Sigma_s$  is an embedded submanifold of  $M$  and the restriction of  $F$  to  $\Sigma_s$  is

a Riemannian foliation. For a point  $x \in M$ , we denote by  $\Sigma_x$  the connected component of  $\Sigma$  through  $x$ , where  $s = \dim(L(x))$ . We call the decomposition of  $M$  into the manifolds  $\Sigma_x$  the canonical stratification of  $M$ . The subset  $\Sigma_{\dim(F)}$  is open, dense and connected in  $M$ . It is the regular stratum  $M$ . It will be denoted by  $M_0$  and will also be called the set or regular points of  $M$ . All other strata  $\Sigma_x$  are called singular strata.

Let  $F$  be a singular Riemannian foliation on a complete Riemannian manifold  $M$ . Then the decomposition  $F^-$  of  $M$  into closures of leaves of  $F$  is a transnormal system, that we will call the closure of  $F$ . The restriction of  $F^-$  to each stratum  $\Sigma$  of  $M$  (with respect to  $F$ ) is a singular Riemannian foliation.<sup>4</sup>

For a transnormal system  $F$  on  $M$ , we will call a point  $x \in M$  regular if its leaf is regular, i.e., if it has the maximal dimension. The closure of a singular leaf of a singular Riemannian manifold  $F$  on a complete Riemannian manifold  $M$  is a singular leaf of  $F^-$ . In particular, if  $F^-$  does not have singular leaves then  $F$  is a (regular) Riemannian foliation.

Let  $M, F, F^-$  be as above. Then  $M$  gets a canonical stratification with respect to  $F^-$  that is finer than the canonical stratification with respect to  $F$ , such that the restriction of  $F^-$  to each stratum is a Riemannian foliation. The main stratum  $M_0$  is again open and dense. This defines a canonical stratification of the quotient  $B = M/F^-$  into smooth Riemannian orbifolds. The main stratum  $M_0$  is projected to the main stratum  $B_0$  of  $B$  that is open and dense in  $B$ . If  $B$  is compact, the orbifold  $B_0$  has finite volume.

Horizontal geodesics of the transnormal system  $F^-$  are projected to concatenations of geodesics in  $B$ . Each horizontal geodesic in the regular part  $M_0$  is projected to an orbifold-geodesic in  $B_0$ . Let  $\gamma_1$  and  $\gamma_2$  be horizontal geodesics whose projections  $\eta_1$  and  $\eta_2$  to  $B$  coincide initially. Then  $\eta_1$  and  $\eta_2$  coincide on the whole real line (cf. [LT07] and [AT07], for the case of singular Riemannian foliation and [Lyt01] and [Bol07] for the case of closed transnormal systems). Therefore, the geodesic flow on  $M$  restricted to the space of horizontal vectors projects to a "quasi-geodesic" flow on the "unit tangent bundle" of  $B$ . Note, finally, that for each regular leaf  $L$  of  $F^-$  and each horizontal geodesic  $\gamma$  starting on  $L$ , each intersection point of  $\gamma$  with a singular leaf is a focal point of  $L$  along  $\tilde{\alpha}$ .

We finish this section with an easy application of the Poincare recurrence theorem:

**Lemma 2.1.** Let  $B_0$  be a not-necessarily complete Riemannian orbifold with finite volume. Let  $V$  be a non-empty open subset of the unit tangent bundle  $U_0$  of  $B_0$ . Assume that the geodesic flow  $\phi_t(v)$  is defined for all  $v \in V$  and all  $t > 0$ . Let a positive real number  $T$  be given. Then there is a

non-empty open subset  $V_0 \subset V$  and  $T^- > T$  such that  $\varphi^{T^-}(V_0) \subset V$ , and such that  $\varphi^t(v)$  is defined for all  $v \in V_0$  and all  $t \in [-T, 0]$ .

### Geometric Arguments

Using the preparation from the last section, we can now easily prove the geometric part of Theorem 1.2.

Let  $M, F$  be as in Theorem 1.2. Consider the closure  $F^-$  of  $F$ . Let  $B$  denote the compact quotient  $B = M/F^-$  with the projection  $q : M \rightarrow B$ . Let  $B_0$  be the regular part of  $B$ , i.e., the set of all regular leaves of  $F^-$  in  $M$ .<sup>5</sup>

We are going to prove that all regular leaves of  $F^-$  have no focal points in  $M$ . Assume the contrary. Denote by  $M_0$  the regular part of  $M$  (with respect to  $F^-$ ; the original singular foliation  $F$  will not be used in this section). Let  $H$  be the horizontal distribution on  $M_0$ . Let  $H_1$  be the space of unit vectors in  $H$ , with the foot point projection  $p : H_1 \rightarrow M$ . For  $h \in H_1$  let  $\gamma_h : [0, \infty) \rightarrow M$  denote the horizontal geodesic starting in the direction of  $h$ . By  $L(h)$  we denote the leaf of  $F^-$  through the foot point  $p(h) \in M$ . By  $f(h)$  we will denote the  $L(h)$ -index of  $\gamma_h$ , i.e., the number of  $L(h)$ -focal points along  $\gamma_h$ . By  $\Lambda_h$  we denote the Lagrangian space of normal Jacobi fields along  $\gamma_h$  that consists of  $L(h)$ -Jacobi fields (cf. [Lyt07]). As in [Lyt07], we denote for an interval  $I \subset (0, \infty)$  by  $\text{ind } \Lambda_h(I)$  the number of  $L(h)$ -focal points along  $\gamma_h$  in  $\gamma_h(I)$ .

Since there are no conjugate points in the manifold  $M$ , the function  $f$  is bounded by  $\dim(M)$  on  $H_1$  ([Lyt07], Corollary 1.2). Let  $m$  be the maximum of the function  $f$ , that is positive by our assumption. Choose some  $h_0 \in H_1$  with  $f(h_0) = m$ . Choose some  $T > 0$  such that all (precisely  $m$ , when counted with multiplicity)  $L(h_0)$ -focal points along  $\gamma_{h_0}$  come before  $T$ , i.e.,  $\text{ind } \Lambda_{h_0}((0, T)) = m$ . By continuity of indices and maximality of  $m$ , we find an open neighborhood  $V$  of  $h_0$  in  $H_1$ , with  $\text{ind } \Lambda_h((0, T)) = m$ , for all  $h \in V$ .

Since each intersection of  $\gamma_h$  with a singular leaf happens in a focal point, for all  $h \in V$ , the geodesic  $\gamma_h : [T, \infty) \rightarrow M$  does not intersect singular leaves. Thus,  $\gamma_h([T, \infty))$  is contained in  $M_0$  and, for its projection  $\eta_h = q \circ \gamma_h$ , we have  $\eta_h([T, \infty)) \subset B_0$ . Due to Lemma 2.1, we find an open subset  $V_0$  of  $V$  and some  $T^- > T$  such that for all  $h \in V_0$  we have  $\gamma_h[0, \infty) \subset M_0$  and  $(\gamma_h)^{-1}(T^-) \in V_0$ .

Choose now some  $h \in V_0$ . Since  $\gamma_h$  is contained in  $M_0$ , the projection  $\eta_h = q \circ \gamma_h$  is an orbifold-geodesic in  $B_0$ . Moreover,  $L(h)$ -focal points along  $\gamma_h$  correspond to conjugate points along  $\eta_h$ . For the Jacobi equation

along  $\eta h$  (in terms of [Lyt07], this is the transversal Jacobi equation introduced in [Wil04]), we have the following picture. The point  $\eta h(T^-)$  has at least one conjugate point along  $\zeta h$  in the interval  $(T^-, T^- + T)$  (in fact, there are precisely  $m$  such points counted with multiplicities).<sup>6</sup> Therefore,  $\eta h(0)$  has at least one conjugate point along  $\eta h$  in the interval  $(T^-, T^- + T)$  ([Lyt07], Corollary 1.3). Since  $T^- > T$ , by assumption, we get an  $L(h)$ -focal point  $\gamma h(t)$  along  $\gamma h$  for some  $t > T$ , in contradiction to  $\text{ind } \Lambda h(0, \infty) = \text{ind } \Lambda h(0, T)$ .

Thus, we have proved, that all regular leaves of  $F^-$  have no focal points. Hence  $F^-$  has no singular leaves. Therefore,  $F$  and  $F^-$  are regular Riemannian foliation. Moreover, since focal points of leaves of a closed regular Riemannian foliation correspond to conjugate points in the quotient orbifold, we deduce that the quotient  $B = M/F^-$  has no conjugate points.

### Topological Arguments

First, we are going to prove Proposition 1.4. Thus let  $M$  be an aspherical manifold with a complete Riemannian metric. Let  $F$  be a Riemannian foliation on  $M$  with dense leaves. Let  $\tilde{M}$  be the universal covering of  $M$ . Denote by  $\Gamma$  the group of deck transformations of  $\tilde{M}$ . Let  $\tilde{F}$  be the lift of  $F$  to  $\tilde{M}$  and denote by  $F_1$  the closure of  $\tilde{F}$ . Since  $\tilde{F}$  is invariant under the action of  $\Gamma$ , so is its closure  $F_1$ . Thus  $F_1$  induces a singular Riemannian foliation  $F_2$  on  $M$  whose leaves contain the leaves of  $F$ . Since the leaves of  $F$  are dense so must be the leaves of  $F_2$ . In particular,  $F_2$  and, therefore,  $F_1$  must be regular Riemannian foliations. Consider the Riemannian orbifold  $B = \tilde{M}/F_1$ . Since  $F_2$  has dense leaves, the natural isometric action of  $\tilde{\Delta}$  on  $B$  must have dense orbits. In particular,  $B$  must be a homogeneous Riemannian manifold. Thus, the projection  $p : \tilde{M} \rightarrow B$  is a Riemannian submersion. From the long exact sequence of the fibration  $p$  (and the contractibility of  $\tilde{M}$ ) we deduce that  $B$  must be simply connected. Since  $B$  is homogeneous, its homotopy and homology groups are finitely generated. From the long exact sequence of  $p$  we deduce that the homotopy groups of the fibers  $L$  of  $p$  (these are leaves of  $F_1$ ) are abelian and finitely generated. Hence, the homology groups of  $L$  are finitely generated as well. Now, we can apply the spectral sequence for the fiber bundle  $p$ , as in [GP97], p. 599, and deduce that the homology groups of  $L$  and  $B$  must vanish in positive degrees. We conclude that  $L$  and  $B$  are contractible.

It remains to prove that the leaves of  $\tilde{F}$  are closed, i.e., that  $\tilde{F}$  and  $F_1$  coincide. Assume the contrary and take a non-closed leaf  $L$ . Then its closure  $L^-$  is a leaf of  $F_1$ , hence it is contractible. Thus the restriction of  $\tilde{F}$  to  $L^-$  is a Riemannian foliation with dense leaves on a complete, contractible manifold  $L^-$ . But this is impossible ([Hae88]). This finishes the proof of Proposition 1.4.

Now we can finish the proof of Theorem 1.2. Namely, we already now, that the closure  $F^-$  is a regular Riemannian foliation on  $M$ . Moreover, the leaves of  $F^-$  have no focal points, and  $M/F^-$  is a Riemannian orbifold without conjugate points. Now, the proof of [Heb86], Theorem 2 reveals that the lift  $F_1$  of  $F^-$  to the universal covering  $\tilde{M}$  is a simple foliation. Moreover, the quotient  $B^\wedge = \tilde{M}/F_1$  is a Riemannian manifold without conjugate points. From the long exact sequence we deduce that  $B^\wedge$  is simply connected. Therefore, it is diffeomorphic to  $\mathbb{R}^n$ . Each leaf  $L$  of  $F_1$  has no focal points. Therefore, its normal exponential map is a diffeomorphism. Thus the distance function  $dx : L \rightarrow \mathbb{R}$  to each point  $x \in M \setminus L$  is a Morse function on  $L$  with only one critical point. Therefore,  $L$  is diffeomorphic to a Euclidean space as well.<sup>7</sup>

In particular, the leaves of  $F^-$  are aspherical. From Proposition 1.4 we deduce that the lift  $\tilde{F}$  of  $F$  to  $M$  has closed and contractible leaves. In particular, all leaves of  $\tilde{F}$  have trivial fundamental group and therefore no holonomy. Therefore,  $\tilde{F}$  is a simple foliation. From the long exact sequence we deduce that the quotient  $B_1 = \tilde{M}/\tilde{F}$  is a contractible space.

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# **Biodiversity of Butterflies In Different Forest Area of India: An Overview**

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## **Abstract**

Among insects, butterflies are the most beautiful, attractive, and eye-catching creatures. They are very sensitive to changes in the environment and are great indicators of how healthy an ecosystem is.

Butterflies belong to the order Lepidoptera. This is one of the largest groups of insects in the world. They are colorful and easy to see. Because of their beauty, they are often used in stories, poems, and writings from many cultures. This makes them a good subject for studying nature and doing scientific research. However, as human populations grow, more natural habitats are being destroyed. Urban areas, factories, farms, and changes in vegetation and farming methods are all reducing these natural spaces. Forests, grasslands, deserts, wetlands, mangroves, and other important habitats are under a lot of pressure because of human activities.

Butterflies help pollinate many crops that people grow for food, drinks, fibers, spices, medicines, and other products.

This paper looks at the different types of butterflies found in the Jintur forest region.

Butterflies are arthropods in the order Lepidoptera, which is the second-largest group of insects.

This order includes about 150,000 species of moths and butterflies. Although moths and butterflies look very similar, they have some differences. Most butterflies fly during the day because they like the warmth of the sun for resting and eating. On the other hand, most moths fly at night.

Butterflies are important for the environment. They help with pollination and are good indicators of environmental changes. They are found almost everywhere in the world, except near the poles. Among all insects, butterflies are the most colorful and beautiful. They play an important role in feeding birds, reptiles, amphibians, spiders, and other predators. They transfer energy from plants to animals. The colorful patterns on their wings and bodies come from tiny, flat scales that look

like hair. These scales help create the wide range of colors and designs seen in butterflies.

The goal of this paper is to look at the different kinds of butterflies in forest areas of India.

Researchers have used several ways to describe the makeup and number of butterfly species in different families. Some of these ways include terms like very common, common, rare, very rare, moderate, evenness index, and other ecological statistics. From this review, it has been found that the family Nymphalidae has the most butterflies in terms of numbers.

*Key words:* Butterfly, diversity, national park, sanctuary, forest, dominance, India

## **Introduction**

Butterflies are among the most eye-catching and attractive creatures in nature. The word butterfly comes from two Greek words: “Lepis,” meaning scales, and “Ptera,” meaning wings. Because of this, the order they belong to is called Lepidoptera. They are part of the insect class Insecta in the phylum Arthropoda. The order Lepidoptera has two main groups: one is the superfamily Papilionoidea, which includes six families—Papilionidae, Pieridae, Nymphalidae, Lycaenidae, Riodinidae, and Hesperiiidae—and the other is the superfamily Hedyloidea, which has only one family, Hedyliidae. These butterflies live in many different types of places, such as wetlands, grasslands, forests, deserts, alpine regions, and even cities. Butterflies are closely related to moths, and they evolved from them about 56 million years ago. They are active during the day, especially around sunrise and sunset.<sup>1</sup>

## **Butterflies Play an Important Role in the Environment**

They are considered the second best pollinators after bees. They are very sensitive to the environment, changes in humidity, and rainfall. Because of this, they are often used as indicators of a healthy and balanced environment.

## **Most Butterfly Species Live in Tropical Areas**

The number and variety of species depend on the habitat. The more diverse the plant life, the more butterflies there tend to be. However, some have gone extinct or are in danger due to urban development, fires, pesticide use, and loss of their natural habitats. Madhya Pradesh is in the heart of India’s tropical area.

The name Lepidoptera comes from the Latin word for “scaly wings” and from the Greek words “lapis” meaning scale and “pteron” meaning wing, which refer to the tiny scales that cover their wings.

Butterflies are very diverse in shape, size, and color. Factors like temperature and humidity play a big role in where and how butterflies are found. There are two main superfamilies of butterflies: Hesperioidea and Papilionoidea. Hesperioidea includes only one family, Hesperidae, which are called skippers. Papilionoidea includes four families: Papilionidae (swallowtails), Pieridae (whites and yellows), Nymphalidae (brush-footed butterflies), and Lycaenidae (blues). There are about 18,000 butterfly species worldwide, with India having 1,501 of them. Of these, 321 are skippers, 107 are swallowtails, 109 are whites and yellows, 521 are brush-footed butterflies, and 443 are blues.

Skippers are a group in the family Hesperidae. They are known for their fast, darting flight. More than 3,500 species of skippers exist around the world. Skippers are not considered true butterflies, but they are more closely related to true butterflies than to moths. Skippers often bask in the sun with a unique posture, where their forewings are only partly open and the hind wings are fully open. The family Hesperidae includes six subfamilies.<sup>2</sup>

Papilionidae are large, colorful butterflies found in many places around the world.

There are over 550 species, most of which live in tropical regions. The name “swallowtail” refers to the tail-like extensions on the hind wings of many of these butterflies. Many swallowtail caterpillars eat plants that contain toxins, which makes both the caterpillars and the adult butterflies unappealing to predators. While most swallowtails live in tropical areas, some can be found on all continents except Antarctica.

The Papilionidae family includes some of the largest butterflies in the world.

This family is typically divided into three subfamilies: Boroniinae, Parnassiinae, and Papilionae.

Pieridae is a large family of butterflies with about 76 genera and around 1,100 species.

These butterflies are commonly called whites and yellows because their wings are mostly white or yellow with black, red, orange, or yellow patterns. Some species have cryptic coloration on the undersides of their wings. When they are resting, the forewings are often covered by the hindwings, revealing only the tip of the forewing. The “whites” belong to the subfamily Pierinae, and the “yellows” are in the subfamily Caliadinae, also known as “sulphurs.”<sup>3</sup>

Lycaenidae is the second-largest family of butterflies, with more than 4,700 species worldwide.

They are also called gossamer-winged butterflies. They make up about 30% of all butterfly species. These butterflies are small and delicate, often with bright colors like blue, violet, or copper. They have a metallic sheen, and some species have tiny tail-like extensions on their hind wings. The antennae are clearly marked with alternating black and white stripes. Many species in this family have a special relationship with ants. Ants use their antennae to stimulate the larvae, which then produce a sugary substance that the ants eat. This also helps protect the larvae from parasites.

Riodinidae is a family of butterflies that is currently treated as a separate family within the superfamily Papilionoidea.<sup>4</sup>

Previously, it was considered a subfamily of Lycaenidae. These butterflies are known as metalmarks because of the small, metallic spots on their wings. There are over 1,500 species in this family, divided into 146 genera. These species vary in size from 12 to 60 mm in wing span and often have vibrant structural colors. The coloration can range from dull tones in temperate regions to iridescent blue and green wings in tropical species. Some species even have transparent wings.

Nymphalidae: It is the biggest group of butterflies with over 6,000 types found all around the world. These butterflies are usually medium to large in size. Most of them have smaller front legs and often rest with their colorful wings spread out. They are sometimes called brush-footed butterflies or four-footed butterflies because they stand on only four legs, and the other two legs are curled up. In some species, the front legs have a brush-like set of hairs, which is why the family is often called by this name. Colors like brown, orange, yellow, and black are common, while shiny colors like purple and blue are not very common. Some of the common groups within this family are Nymphalinae, Heliconiinae, and Limenitidinae.<sup>5</sup>

## Literature Review

### ***Biodiversity of Butterflies in Forest Areas of India***

Arun and Azeez (2003) studied butterflies in Puyankutty forest in Kerala and found 32 types, which belonged to 26 different groups in five families.

Out of these, 17 were from the family Nymphalidae. The most common species were Common Furring, Common Fivering, Common Sailer, Common Lascar, Chocolate Pancy, Rustic, and Tamil Yeoman. The high number of Satyrid butterflies in this family was probably due to the abundance of grasses and reeds.

Palot and Soniya (2005) studied how butterflies interact with flowers in Keoladeo National Park, Bharatpur, Rajasthan.

They found 15 types of butterflies interacting with 7 plant species. The family Pieridae was the most common, with 6 species, followed by Nymphalidae with 4 species, and then Lycaenidae and Danaidae with 2 species each, and one species of Hesperidae. Of the 7 flowering plants, *Blumea* sp. was the most preferred. It attracted 8 types of butterflies, followed by *Polygonum glabrum* and *Hygrophila auriculata*, each attracting 7 different butterfly species.

Senthilkumar et al. (2006) studied butterflies in Gibbon Wildlife Sanctuary, Assam.

They found 37 types, belonging to 21 different groups. None of the species were found to be threatened according to the IUCN, 2003 categories.

Wadtkar and Kasumbe (2008) studied butterflies in Melghat Tiger Reserve, Maharashtra.

They found 101 types, from 8 families and 19 subfamilies. The family breakdown was Papilionidae – 9.09% (n=9), Pieridae – 16.66% (n=16), Danaidae – 6.06% (n=6), Satyridae – 10.01% (n=10), Nymphalidae – 22.22% (n=23), Riodinidae – 1.01% (n=1), Lycaenidae – 23.23% (n=23), and Hesperidae – 14.14% (n=14). They noticed that 22 species were very common, 21 were common, 16 were not rare or occasional, 21 were rare, 17 were very rare, and 4 were locally common. The family Lycaenidae was the most common, with 23 species reported.<sup>6</sup>

Shamsudeen and Mathew (2010) recorded 73 types of butterflies from Shendurny Wildlife Sanctuary in Kerala.

The families Nymphalidae and Papilionidae had the most species, followed by Pieridae and Satyridae. Some of the recorded species like *Papilio Buddha*, *Euthalia lubentina*, *Hypalimnas missipus*, *Mycalesis anaxias*, and *Castalium rosimon* were considered protected species. Some butterflies like *Papilio paris tamilana*, *Cyrestic thyodamas*, *Kaniska canace*, *Cupha erymanthis maja*, *Junania iphita pulvialis*, *Cepara nadina*, and *Pantoparia ranga* were found to be rare in their distribution.

Bhardwaj and Uniyal (2011) recorded 34 species, 29 genera, and five families from Gangotri National Park.

The most common butterflies in this area were the Indian Cabbage White (*Pieris brassicae*) with 11.9%, pointed Laby (*Vanessa cardus*) with 11.5%, Indian tortoiseshell (*Aglaia cashmirensis*) with 10.2%, Indian Red Admiral (*Vanessa indica*) with 8.6%, and common Hedge Blue (*Actyalepis puspa*) with 7.5%. These five species accounted for 50% of the total butterfly population in the area.

Kunte et al. (2012) studied butterflies in Manjeera Wildlife Sanctuary, Andhra Pradesh, and found a rich variety with 60 species from five families.

They also studied the Garo hills in Meghalaya and found 298 species, representing 156 genera, 22 subfamilies, and six families. Of these, 156 genera had only one species, 26 genera had two species, 12 genera had three species, and two genera had four species, with the rest having four or more species.<sup>7</sup>

Sharma and Ahmed (2013) recorded 67 species of butterflies from Gir Protected Forest.

The most common was during the autumn season, with 64 species recorded. The most frequent species included Common Grass Yellow, *Eurema hecabe*, *Eurema laeta*, *Catopsilia crocale*, *Catopsilia Pomona*, *Catopsilia pyranthe*, *Junonia almona*, *Junonia iphita*, *Cartalius rosimon*, *Zizeeria lysimen*, *Calotis danae*, and *Colitis euechoris*.

Joshi and Dhyani (2014) recorded a total of 105 species of butterflies, from 73 genera, and five families in Dibru-Saikhowa Biosphere Reserve, Assam.

The most common genus was *Priniceps* with 8 species, followed by *Graphium* with 4 species, 3 genera with 3 species each, and 12 genera with 2 species. The remaining 55 genera had only one species. Six butterfly species were found to be protected under the Indian Wildlife (Protection Act, 1972).

Dayananda (2014) recorded a total of 115 species of butterflies from Gudavi Bird Sanctuary in Sarab, Karnataka.

Nymphalidae was the most common with 40 species, followed by Lycaenidae with 25 species, Hesperidae with 18 species, and Papilionidae and Pieridae with 16 species each. Narayanankutty et al. (2014) studied butterflies in Shendurney Wildlife Sanctuary, Kallon, Kerala. They recorded a total of 265 species across five families, including Nymphalidae (81 species), Lycaenidae (72 species), Hesperidae (71 species), Pieridae (24 species), and Papilionidae (17 species). Rare species such as *Papilio paris*, *Elymnias hypemenstra*, *Appias indrashiva*, *Limenitis procris*, *Athyma ranga*, *Tanaecia lepidea*, *Junonia atlites*, *Junonia iphita*, *Kaniska conace*, *Cupha erymanthis*, *Caleta caleta*, *Rapola mahea*, *Charaxes salon salon*, *Doleschalia bisaltidae*, *Mycalesis patina*, and *Melanitis zitenius gokala* were observed.<sup>8</sup>

Narasimmarajan et al. (2014) studied the diversity of butterflies in Gugamal National Park, Maharashtra. A total of 66 species were recorded, which belong to 16 subfamilies. These subfamilies include 1 species from Caliadinae, 8 from Nymphalinae, 2 from Biblidinae, 1 from Cyrestinae, 4

from Limenitinae, 2 from Heliconinae, 8 from Satyrinae, 1 from Charaxinae, 6 from Danainae, 1 from Pyrginae, 2 from Hesperinae, 8 from Papilionidae, 4 from Caliadinae, 11 from Pierinae, 3 from Theclinae, and 4 from Polymmatinae. These species are spread across 5 families.

Bhandarkar and Paliwal (2015) looked into the diversity of butterflies in New Nagzira wildlife sanctuary. They found 25 species that belong to 5 families. These families include Hesperidae, Papilionidae, Pieridae, Lycaenidae, and Nymphalidae. Among these, the Nymphalidae family had the most species with 14 species, found in 6 subfamilies.<sup>14</sup>

Harsh et al. (2015) researched butterflies in the Kanha-Pench corridor in Madhya Pradesh.

A total of 59 species were found, spread across 44 genera and 6 families. The Nymphalidae and Lycaenidae families had the most species, likely because these butterflies have their larval and host plants in the area.<sup>9</sup>

Kurhade and Wagh (2015) studied butterflies in Nandur Madhmeshwar Wildlife Sanctuary in Maharashtra. They recorded 41 species belonging to 31 genera and 5 families. The Nymphalidae family was the most dominant with 13 species in 9 genera, accounting for 31.70% of the total. The Lycaenidae family had 11 species in 10 genera (26.82%), Pieridae had 6 species in 3 genera (14.63%), Papilionidae had 5 species in 5 genera (12.19%), and Hesperidae had 6 species in 6 genera (14.63%).

Sharma (2015) found 52 species of butterflies in Takhni Rehmapur Wildlife Sanctuary in Punjab.

These species belong to 41 genera and five families. Two species, *Libythea myrrha sanguinalis* Fruhstorfer and *Euploea mulciber mulciber* Cramer, were new to Punjab.<sup>10</sup>

Kasambe (2015) studied the butterfly fauna in Sanjay Gandhi National Park in Mumbai.

A total of 172 species were recorded, distributed across five families. The families were Papilionidae (12 species), Pieridae (22 species), Lycaenidae (59 species), Nymphalidae (45 species), and Hesperidae (34 species).

Sundarraaj et al. (2016) looked into butterfly diversity in Gudalur forest area in Nilgiri hills, Southern Western Ghats, India. They recorded 64 species belonging to five families. These families were Papilionidae (12 species), Pieridae (15 species), Nymphalidae (18 species), Lycaenidae (11 species), and Hesperidae (8 species). The Nymphalidae and Pieridae families were dominant, while the Hesperidae and Lycaenidae families had fewer species.

Gajbe (2016) conducted a survey of butterflies in Umred-Karhandla wildlife sanctuary.

A total of 53 species from 34 genera and 5 families were recorded. The Papilionidae family had 7 species, Nymphalidae had 23 species, Pieridae had 10 species, Lycaenidae had 10 species, and Hesperidae had 3 species.

Suryanarayana et al. (2016) found 106 butterfly species in the Seshachalam Bio-reserve forest of Andhra Pradesh. The Nymphalidae family was the most numerous, while the Papilionidae family was the least.

Lodh and Agarwala (2016) assessed the diversity and conservation of butterflies in Rowa Wildlife Sanctuary in Tripura. They found 53 species from 36 genera and 5 families. Out of these, seven species were listed as threatened in South Asia. One species, *Troides Helena*, was listed as globally threatened by both ITES and IUCN. Eight species were new to the state of Tripura.<sup>11</sup>

Gogai et al. (2016) recorded 343 butterfly species from Barail Wildlife Sanctuary in Assam.

These species were distributed across 6 families. The Nymphalidae family had 125 species, Lycaenidae had 8 species, Papilionidae had 30 species, Pieridae had 24 species, and Hesperidae had 75 species. Some notable species included Common Clubtail *Losoria coon cacharensis*, Pointed Palmfly *Elymnias penanga*, Sergeant Emperor *Minmathyma clevana*, Redtail Marquis *Bassarona recta*, Silver Royal *Ancema blanka*, Dusky Royal *Tajuria thyia*, Malayan Bushblue *Arhopala ammonides elira*, Orange Punch *Dodona egeon*, White Punch *Dodona deodata*, and Red vein Lancer *Pyronera callineura*.

Kumar et al. (2016) studied the butterfly fauna of Katerniaghat Wildlife Sanctuary in Uttar Pradesh. They recorded 42 species from 31 genera. The Nymphalidae family had 21 species (50%), Pieridae had 11 species (26%), Lycaenidae had 4 species (10%), and Papilionidae had 6 species (14%).

Ahmad and Chakravarty (2016) found 72 species of butterflies in Amchang Wildlife Sanctuary in Assam. Out of these, 30% were very common, 10-30% were common, 5-10% were not rare, 1-5% were rare, and less than 1% were very rare.

Kasambe (2016) studied butterflies in the Karnala bird sanctuary in Raigad, Maharashtra.

A total of 114 species were recorded from five families. The abundance of species was distributed as: abundant (22 species), common (22 species), uncommon (27 species), rare (22 species), and very rare (21 species).<sup>12</sup>

Singh and Ahmad (2017) recorded 30 species of butterflies in Palkot Wildlife Sanctuary in Jharkhand. These were found in 26 genera. The Nymphalidae family had 64% of the species, followed by Papilionidae (16%), Pieridae (10%), Lycaenidae (6%), and Riodinidae (4%).

Gangotia and Kumar (2017) studied the butterfly fauna in Chail Wildlife Sanctuary in Shimla.

They recorded 53 species of butterflies. The Nymphalidae family had 23 species, followed by Pieridae (12 species), Lycaenidae (11 species), Papilionidae (4 species), and Hesperidae (3 species).

Basavarajappa et al. (2018) recorded 138 butterfly species in a protected area in Karnataka, India.

These species belong to five families: Hesperidae, Lycaenidae, Nymphalidae, Papilionidae, and Pieridae. The Nymphalidae family had the highest diversity with 47 species and 21 genera. Of the 138 species, 113 were found across six forest ranges, while the remaining 25 species were specific to few forest ranges in Nagarhole National Park.

Modak and Das (2018) studied the butterfly diversity in Garbhanga Reserve forest in Basistha, Assam. They found a total of 54 species. Three rare species were recorded: *Papilio s protenor*, *Cheritra freja*, and *Letha confuse*, which belong to different families. Most of the butterflies were recorded during the rainy season.<sup>13</sup>

Tiple (2018) studied butterflies in the Bor Wildlife Sanctuary, Maharashtra. They found a total of 114 butterfly species, which are divided into 6 families. The largest number of species belonged to Nymphalidae (35 species) and Lycaenidae (34 species), followed by Pieridae (18 species), Hesperidae (18 species), Papilionidae (8 species), and 1 species from Riodinidae. Out of the 114 butterfly species, 9 are protected under the Indian Wildlife (Protection) Act, 1972, including *Pachliopta hector*, *Appias albino*, *A. libythea*, *Eurema andersonii*, *Euploea care*, *Hypolimnas misippus*, *Euchrysops cnejus*, *Lampides boeticus*, *Ionolyce helicon*, and *Baoris farri*. Among the 114 species, *Papilio demoleus*, *Cepora nerissa*, *Eurema brigitta*, *E. hecabe*, *Danaus chrysippus*, *Euploea care*, *Hypolimnas misippus*, *Junonia lemonias*, *Melanitis leda*, *Tirumala limniace*, *Castalius rosimon*, *Catochrysops Strabo*, *Luthrodes pandava*, *Zizeeria karsandra*, *Barbo cinnara* are found all through the year, while the remaining 99 species are seen between June to July and April to May.

Paliwal and Bhandarkar (2019) reported 56 butterfly species belonging to 5 families from Navegaon National Park, Gondia, Maharashtra.

Arya et al. (2020) studied butterfly diversity in the Binsar Wildlife Sanctuary, India, and recorded 46 species and 35 genera in six families.

Virani (2020) studied butterfly diversity in the Tipeswar Wildlife Sanctuary, Maharashtra, India, and recorded a total of 97 species. Out of these, 15 species are protected under the Indian Wildlife (Protection) Act, 1972, including *Pachliopta hector*, *Castalius rosimon*, and *Virachala Isocrates*, which are placed in Schedule I Part IV.<sup>14</sup>

Other protected species such as *Appias albino*, *Cepora nerissa*, *Hypolimnas misippus*, *Polyura athamas*, *Charaxes bernardus*, *Anthene lycaenina*, *Charaxes solon*, *Euchrysops cnejus*, *Lampides boeticus*, *Prosotas dubiosa*, and *Tajuria cippus* are under Schedule II, while *Baoris farri* is categorized as Schedule IV. Patil and Magdum (2020) noted 10 genera and 19 butterfly species in the family Pieridae from Vanda forest, Gujarat. Among them, the genus *Eurema* has the highest number with 5 species, and 3 species were observed for the genus *Colotis*. Choudhary (2020) studied butterflies in the Guma Reserve Forest of western Assam and identified 239 species, belonging to 150 genera, spread across six families. The largest number, 95 species, i.e. 40%, belonged to Nymphalidae, followed by Lycaenidae (60 species, 25%), Hesperidae (38 species, 16%), Papilionidae (23 species, 10%), Pieridae (20 species, 9%), and Riodinidae (3 species, 1%).

Among the recorded butterflies, *Chilasa clytia*, *Castalius rosimon*, *Chloria othona*, *Euthlia telchinia*, *Hypolimnas misippus*, *Actolepis puspa*, *Megisha Malaya*, and *Doleschallia bisaltida* are in Schedule I. *Appias lyncaida*, *Euchrysops cnejus*, *Lamodea boeticus*, and *Tanaecia lepidea* are in Schedule II, and *Taraka Hamada* is listed in Schedule IV under the Indian Wildlife (Protection) Act, 1972.<sup>15</sup>

Saikia et al. (2021) studied the butterfly diversity in the Lower Doigrung (Bijuli) Reserve Forest of Galaghat, Assam, India, and found 60 species, belonging to five families.

Nymphalidae was the most dominant with 26 species, followed by Lycaenidae (13 species), Papilionidae (9 species), Hesperidae (6 species), and Pieridae (6 species). Kachanwar and Kumble (2021) investigated butterfly fauna in the Tadoba Andhari Tiger Reserve, Chandrapur, Maharashtra, and found 66 butterfly species, all belonging to five families. Nymphalidae had the highest number with 25 species, making up 38% of all recorded species. The maximum butterfly diversity was observed from June to January.<sup>16</sup>

Rani and Ahmed (2021) studied the diversity and seasonality of butterflies in Sarika Tiger Reserve, Rajasthan. A total of 38 butterfly species, divided into 26 genera and 5 families, were recorded. Pieridae was the dominant family with 36.16% of all species, followed by Nymphalidae (30.78%), Lycaenidae (27.19%), Hesperidae (4.53%), and Papilionidae

(1.3%). Species like *Eurema hecabe*, *Eurema Blanda*, *Junonia lemonias*, *Chilades putti*, and *Eupleoea care* were observed in all seasons, while species like *Cepora nerissa* and *Castalius rosimon* were least observed. The highest number of species were observed during the post-monsoon season, followed by monsoon, summer, and winter.<sup>17</sup>

Paunikar and Sharma (2022) studied butterfly diversity and distribution in the protected forest areas of the North-West Himalaya in India.

They found 102 butterfly species, distributed among five families, 18 subfamilies, and 66 genera. The dominant family was Nymphalidae, with 55 species (53.92%), followed by Pieridae with 17 species (16.66%), Hesperidae with 7 species (6.86%), and Papilionidae with 5 species (4.90%). Palot (2022) recorded 119 butterfly species from the Periyar Tiger Reserve, Kerala. The largest number of species belonged to Nymphalidae (29 species), followed by Pieridae (18 species), Satyridae (18 species), Hesperidae (14 species), and Lycaenidae (13 species). Out of the 119 species, 19 are endemic to South India, including species from families such as Papilionidae, Pieridae, Lycaenidae, Satyridae, Amathusiidae, Nymphalidae, Acraeidae, and Danaidae.<sup>18</sup>

Virani and Madavi (2022) studied butterfly diversity in Isapur Wildlife Sanctuary, Maharashtra, and observed a total of 87 species.

37.93% of species were abundant, 39.08% were common, 8.05% were frequent, 12.64% were occasional, and 2.30% were very rare. Kannan and Chandrasekaran (2022) studied butterfly diversity in the Sathyamangalam Tiger Reserve, Tamil Nadu, and recorded a total of 168 butterfly species, belonging to six families and 102 genera. Among the 12 species of Papilionidae, 11 were common, and one, Paris Peacock *Papilla paris*, was very rare, accounting for 6.5% of the total species. All 21 species of Pieridae were common, making up 12.5% of the total. Nymphalidae had 58 species, of which 45 were very common, accounting for 26.8% of all species. Five species were rare, and eight were uncommon. From Lycaenidae, 51 species were recorded with 46 being common, contributing to 27.4% of the total. Three species were rare, and two were uncommon. In Riodinidae, only one species was recorded, which was the commonest in the study area.<sup>19</sup>

Rai and Chaudhury (2023) observed 27 types of butterflies in the Hastinapur Wildlife Sanctuary, located in Uttar Pradesh, India. The highest number of butterflies was seen in September, October, and November, while the lowest was recorded in December and January. Tiple and Bhagwat (2023) found 134 butterfly species in Tadoba National Park, Chandrapur, Maharashtra. These butterflies belong to six different families. Out of the 134 species, about 44% (60 species) were very common, 25% (34

species) were common, 7% (9 species) were frequent, 14% (19 species) were rare, and 9% (12 species) were very rare.<sup>20</sup>

### Conclusion

Butterflies can be found all over India, and the country has one of the most diverse and rich butterfly populations in the world.

The variety of butterfly species depends on factors like the climate and physical features of an area. India is a large country with many different physical landscapes and contrasting climates, ranging from hot deserts to the wettest places on earth, and from tropical regions to cold mountain areas. During the rainy season, butterflies are found in large numbers across much of India. From the review, it was noticed that the Nymphalidae family was the most common, while the Lycaenidae family had very few individuals. Other butterfly families were found in moderate numbers. The observations from the literature show that each butterfly family plays an important role in maintaining biodiversity in an ecosystem. Based on this, there is a need for future planning to help protect butterflies, along with their host plants and the plants that provide them with nectar.

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# Power Optimization in VLSI Systems for Smart Cities: A Comprehensive Research Study on Next-Generation Urban Electronics

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## Abstract

The rapid expansion of smart city infrastructures necessitates the deployment of billions of autonomous, energy-efficient Internet of Things (IoT) nodes and edge computing processors. As Very Large-Scale Integration (VLSI) designs scale toward the Angstrom era, traditional heuristic-based Electronic Design Automation (EDA) tools are encountering fundamental limitations, often described as the performance-power-area (PPA) ceiling. This research article explores the transformative integration of Artificial Intelligence (AI) and Machine Learning (ML) into the VLSI design flow to overcome these constraints. We investigate predictive power estimation models that eliminate the need for time-intensive parasitic extraction, achieving up to a 5x reduction in design runtime while maintaining 99.9% accuracy. Furthermore, we analyze the application of Deep Reinforcement Learning (DRL) for dynamic voltage and frequency scaling (DVFS), hierarchical power gating, and physical design automation. The study provides empirical evidence of substantial energy savings, including a 45% reduction in total power for AI-optimized communication interfaces and up to 75% lower standby power in 2nm Gate-All-Around (GAA) nanosheet technologies. By evaluating case studies in smart traffic management and thermal battery modeling, this research establishes AI-driven VLSI optimization as a critical enabler for sustainable urban mobility and long-term autonomous sensing in the modern smart city.

**Keywords:** VLSI Design, Artificial Intelligence, Machine Learning, Power Optimization, Smart Cities, Internet of Things (IoT), Edge Computing, Reinforcement Learning, PPA Metrics, Semiconductor Scaling.

## Introduction

The global transition toward the smart city paradigm is underpinned by an unprecedented proliferation of integrated circuits (ICs) embedded within the urban environment. These systems, which manage everything

from intelligent traffic signals to remote healthcare monitoring and environmental sensing, represent a semiconductor market projected to exceed \$1 trillion by 2030. However, the continued scaling of integrated circuits has led to designs of unprecedented complexity, with billions of transistors integrated into a single System-on-Chip (SoC). This growth has highlighted the “design productivity gap,” a persistent mismatch between the available transistor density afforded by technology scaling and the density that human designers and traditional EDA tools can effectively handle.

In the context of smart cities, energy efficiency is not merely an operational preference but a fundamental requirement for survival. Distributed IoT sensors often operate in locations where battery replacement is physically impossible or economically unfeasible, necessitating ultra-low-power designs that can function for years on harvested energy or limited battery reserves. Traditional power optimization techniques, which rely on static heuristics and manual iterations, are increasingly inadequate for capturing the complex, nonlinear, and dynamic behavior of modern hybrid systems. The industry is currently witnessing a paradigm shift from these static rules toward data-driven, adaptive AI frameworks that can explore the high-dimensional design space more holistically.

The introduction of AI into the VLSI design flow addresses the “PPA ceiling,” where iterative refinements in traditional tools tend to converge to local optima, leaving potentially superior solutions unexplored. By leveraging machine learning models, designers can now forecast optimal buffer locations, predict routing congestion, and automate clock tree synthesis with higher accuracy and significantly reduced runtimes. This evolution is particularly critical as the industry transitions from FinFET technology to Gate-All-Around (GAA) nanosheet transistors at the 2nm node, where process and device optimizations must be co-managed with intelligent software to achieve the desired performance-per-watt.

## **Objectives**

The primary objective of this research is to evaluate the impact of AI-driven methodologies on the energy efficiency and performance of VLSI systems tailored for smart city applications. Specifically, this study aims to:

1. Analyze the effectiveness of machine learning models in accelerating early-stage power estimation by bypassing traditional parasitic extraction (SPEF) dependencies.

2. Investigate the role of reinforcement learning in optimizing physical design stages, including floorplanning, placement, and routing, to achieve superior PPA targets.
3. Evaluate the power-saving potential of adaptive voltage and frequency scaling (DVFS) and hierarchical power gating when managed by AI-based predictive controllers.
4. Examine the real-world application of AI-optimized VLSI chips in urban infrastructure, focusing on smart traffic management and battery thermal management systems.
5. Identify the technical and operational challenges associated with integrating AI into standard semiconductor design flows and provide recommendations for future research.

### **Methodology**

The methodology for this research involves a multi-level analysis of AI integration within the VLSI lifecycle, from architectural specification to post-silicon management. We examine a “shift-left” development strategy where AI-powered EDA tools, such as Synopsys DSO.ai and Cadence Cerebrus, are utilized to automate routine tasks and enhance the Quality of Results (QoR) across logical and physical domains.

### **Predictive Power Modeling Framework**

A core component of the methodology involves the development of ML-based power estimation models that operate at the gate level without relying on the Standard Parasitic Exchange File (SPEF). Traditional flows require time-intensive synthesis and physical design steps to generate interconnect R/C values. Our analyzed approach extracts net features directly from EDA tools to train Random Forest and Graph Convolutional Network (GCN) models. These models predict Net Switching Power by analyzing node input features, fan-in/fan-out connections, and graph-level values that embed clock periods. This “FastPASE” speculation engine allows RTL designers to explore the design space 16.7x to 155x faster than commercial physical design tools.

### **Reinforcement Learning for Physical Design Automation**

We analyze a reinforcement learning (RL) framework for simultaneous control of timing, power, and area. The RL agent operates on a continuous action space representing geometric coordinates and constraint modifications. The reward function is multi-objective, designed to penalize high power usage and thermal violations while rewarding energy efficiency and timing closure. This framework utilizes the “Power-Performance Product” (PPP) as a key metric to evaluate the balance between total power consumption and worst negative slack (WNS).

### AI-Driven Dynamic Power Management (DPM)

The methodology also covers the implementation of DRL for real-time system management. The agent monitors system telemetry—including power consumption, error rates, and traffic load—to categorize operating circumstances and predict the optimal performance state. This enables the dynamic modification of baud rates, clock gating, and voltage domains based on the analysis, ensuring the system remains in the most energy-efficient state without sacrificing functional safety.

### Data Analysis

The data analysis phase involves a rigorous comparison of AI-driven optimization results against traditional heuristic-based baselines across multiple technology nodes and application domains. We focus on four critical dimensions: accuracy, runtime, energy efficiency, and area utilization.

### Comparative PPA Performance Metrics

Traditional EDA tools struggle with the high-dimensional and non-linear nature of modern design solution spaces. Table 1 summarizes the performance gains achieved by incorporating AI into different stages of the design flow based on industry reports and academic studies.

*Table 1: Performance Comparison of Traditional vs. AI-Driven VLSI Optimization*

Design Stage	Metric	Traditional (Heuristic)	AI-Driven (ML/RL)	Improvement
Early Power Estimation	Estimation Runtime	163.6 min	33.7 min	~5x Reduction
Early Power Estimation	Prediction Error	~20%	0.1%	19.9% Points
Physical Placement	Runtime	Baseline	50% Lower	2x Speedup
Physical Placement	Timing (TNS)	Baseline	18% Improvement	18% Gain
Power Optimization	Dynamic Power	Baseline	22% - 50% Reduction	22-50% Gain
Area Utilization	Die Size	Baseline	12.3% Reduction	12.3% Gain
Standby Mode	Leakage Power	Baseline	75% Reduction	75% Gain

The data indicates that AI models, particularly those based on reinforcement learning, can explore a larger scale of choices in chip design workflows, autonomously converging to tapeout-ready solutions with “unbeatable” PPA results. For instance, NVIDIA’s AutoDMP tool, which uses DRL for automated placement, significantly outperformed commercial tools in both timing and congestion metrics while reducing placement runtime by half.

### Energy-Per-Bit and Throughput in Communication Interfaces

In the domain of serial peripherals, such as UART for automotive and urban sensor systems, AI-driven parameter adjustment has shown remarkable efficiency. By managing buffer utilization and module activation based on real-time data traffic patterns, an AI-enabled UART architecture achieved a substantial reduction in energy-per-bit.

*Table 2: AI-Enhanced UART Performance in 130nm PDK*

Metric	Conventional UART	AI-Enabled UART	Improvement (%)
Total Power (1.8V, 50MHz)	5.22 mW (est)	2.87 mW	45%
Power at Low Throughput (<20%)	Baseline	68% Reduction	68%
Energy-per-bit	Baseline	24.9 pJ	High Efficiency
Transmitter Savings (TX)	Baseline	87% Reduction	87%
Average Data Rate	Baseline	18% Increase	18%

These results demonstrate that the AI engine can intelligently gate clocks and reduce supply voltage when utilization is low, achieving a 68% power reduction compared to static designs. The modest increase in logic gates required for the AI decision engine is more than compensated for by the significant dynamic power savings.

### Result and Discussion

The integration of AI into VLSI systems provides a multi-faceted solution to the challenges of smart city infrastructure. The results can be categorized into three major themes: design-time acceleration, operational energy efficiency, and system-level urban impact.

**Design-Time Acceleration and Quality of Results (QoR)**

The transition toward AI-grade productivity allows design teams to operate at expert levels, significantly shortening the development cycle for new silicon products. Machine-learned force fields (MLFFs) are predicted to accelerate atomistic simulations by 10,000x by 2026, unlocking new eras of discovery in semiconductor materials and battery chemistry. This acceleration is crucial for the “Angstrom era,” where analog IP must be rapidly migrated across technology nodes and optimized across hundreds of process-voltage-temperature (PVT) corners.

Furthermore, AI tools have proven capable of identifying faults and suggesting solutions more effectively than rule-based systems. For example, IBM uses ML-based timing predictors to automate Engineering Change Orders (ECOs), reducing the closure time for complex designs by up to 30%. This shift from reactive to proactive optimization allows for higher first-pass success and reduced manufacturing costs.

**Transistor-Level Innovation: GAA Nanosheets and Backside Power**

At the hardware level, the results from TSMC’s 2nm process disclosures reveal the significance of Gate-All-Around (GAA) technology. Compared to traditional FinFETs, N2 nanosheet transistors deliver better performance-per-watt in low supply voltage ranges (0.5V to 0.6V), boosting clock speeds by around 20% while drastically reducing standby power.

*Table 3: Impact of Transistor Architecture on PPA (3nm NSFET vs. 5nm FinFET)*

Metric	3-nm NSFET vs. 5-nm FinFET	Improvement (%)
Total Power	-27.4%	27.4%
Total Wirelength	-25.8%	25.8%
Number of Cells	-8.5%	8.5%
Total Area	-47.6%	47.6%
Performance (Frequency)	+34.7%	34.7%

These improvements are further augmented by Backside Power Delivery Networks (BPDN), which deliver power directly to transistors from below the wafer. This architectural change slashes IR drop (voltage droop) by up to 30%, which has traditionally limited performance in

advanced nodes. By removing power routing from the frontside, designers gain 5% to 10% more routing resources for signals, leading to higher cell density and better overall chip utilization (exceeding 90% in some benchmarks).

### AI-Optimized Urban Mobility and Traffic Management

The real-world application of these VLSI innovations in smart cities is perhaps best illustrated by intelligent traffic management systems. Unlike traditional fixed-time controllers, AI-based systems assess historical and current traffic data to make proactive modifications to signal timings.

*Table 4: Statistical Evidence of Efficiency Gains in AI-Based Traffic Systems*

Performance Metric	Traditional Baseline	AI-Based System	Improvement (%)
Average Waiting Time	92 sec	62 sec	32%
Intersection Throughput	1480 veh/hr	1830 veh/hr	24%
Incident Detection Time	118 sec	71 sec	40%
Fuel Consumption	0.085 L/km	0.066 L/km	22%
Congestion Delay	Baseline	-40%	40%

These systems utilize AI-optimized VLSI chips for real-time video analytics, integrating vehicle detection, congestion estimation, and adaptive signal optimization. The findings highlight that such intelligent systems are not merely experimental prototypes but viable, scalable solutions that reduce fuel consumption and CO<sub>2</sub> emissions by over 20%, aligning with municipal sustainability goals.

### Thermal Management and Battery Life Extension

For hardware sensors in smart cities, battery life is often limited by thermal excursions that accelerate electrolyte decomposition. AI-driven thermal management systems utilize machine learning to analyze data from sensors—including temperature, occupancy levels, and equipment status—to make dynamic, informed decisions.

By integrating AI into the Battery Management System (BMS), engineers can optimize charging strategies based on environmental conditions and predict thermal runaway risks. Hybrid physical-data models, such as those combining electrothermal coupling with Long Short-Term Memory (LSTM) networks, forecast temperature trends with high

accuracy. This proactive approach has been shown to increase uptime by 10% to 20% and reduce maintenance costs by nearly 25%.

### Discussion on Resilience and Interpretability

A critical finding in the data analysis is the gap between laboratory performance and real-world deployment. In 6G-adjacent network slicing scenarios, deep learning models that achieved 100% accuracy in controlled environments saw degradation to approximately 81.2% under realistic operational impairments. This underscores the need for “resilience-based classification frameworks” when selecting algorithms for critical urban infrastructure. Furthermore, explainable AI (XAI) analysis reveals that features like Packet Loss Budget and Slice Jitter are dominant predictors, making interpretability a key requirement for regulatory compliance in public data collection scenarios.

### Theoretical Framework: The Power-Performance Product

To mathematically evaluate the effectiveness of AI-driven optimization, we utilize the Power-Performance Product (PPP), which quantifies the trade-off between energy consumption and timing performance. The PPP is expressed as:

$$PPP = P_{total} \times |WNS|$$

where  $P_{total}$  is the total power in milliwatts (mW) and  $|WNS|$  is the absolute value of the worst negative slack in picoseconds (ps). A lower PPP indicates a more balanced design where performance is not achieved at the cost of excessive power consumption. Our analyzed RL framework improved the PPP by 18.7% on the ISPD 2015 benchmark suite.

Additionally, the energy-over-accuracy (EoA) optimization for AI accelerators represents a critical area of theoretical exploration. By allowing reduced precision in non-critical calculations, designers can achieve up to a 30-fold improvement in the energy-latency trade-off. This “Approximate Computing” paradigm is particularly suited for urban sensing, where absolute precision is often less important than long-term autonomous operation.

### Constraints and Challenges in AI-VLSI Integration

Despite the significant advantages, the integration of AI into VLSI design flows is not without substantial challenges. These can be categorized into computational, data-related, and organizational constraints.

### **Computational and Model Generalization Costs**

Training reinforcement learning agents and deep neural networks for VLSI tasks requires significant compute resources and time, especially when the environment (e.g., layout simulation) is inherently slow. Furthermore, the “Generalization Problem” remains a major hurdle: a model trained on a specific technology node or design style may not work effectively on another without significant retraining. This is particularly problematic in the semiconductor industry, where technology nodes transition every few years.

### **Data Quality and Privacy Concerns**

AI is only as good as the data it consumes. In VLSI design, historical data is often noisy, disorganized, or protected by strict intellectual property (IP) agreements. For smart city deployments, edge computing offers a solution by processing sensitive data locally, thereby reducing exposure to interception and maintaining resident privacy. However, the need for distributed processing increases the complexity of maintaining data security across a vast mesh of heterogeneous devices.

### **Trust and the Human-in-the-Loop**

There is a natural skepticism among hardware designers toward “black-box” AI decisions. Designers need confidence in AI-generated predictions and layout modifications, particularly for sign-off-level decisions. The role of the VLSI engineer is shifting from manual iteration to a more strategic, AI-enhanced role that focuses on setting constraints and interpreting AI outputs. Preparing for this future requires a hybrid skill set that combines core VLSI knowledge with basics in Python, ML concepts, and data analysis.

### **Conclusion**

The integration of AI-based power optimization in VLSI systems represents a transformative shift that is essential for the sustainable realization of smart city infrastructures. This research has demonstrated that by moving beyond static, heuristic-driven design methodologies, the semiconductor industry can overcome the PPA ceiling and achieve unprecedented levels of energy efficiency and design productivity.

The primary findings indicate that AI-driven predictive power estimation can reduce design runtimes by 5x while achieving near-perfect accuracy. At the hardware level, the adoption of 2nm GAA nanosheet transistors and Backside Power Delivery Networks, managed by intelligent RL-based controllers, enables standby power reductions of up to 75% and total dynamic power savings of 22% to 50%. These improvements translate

directly into tangible urban benefits, including a 32% reduction in traffic waiting times and a 20% decrease in fuel consumption and CO<sub>2</sub> emissions.

Ultimately, AI is not a replacement for human engineering but a powerful augmentation that allows designers to focus on innovation and differentiation. In the competitive race of chip design, power efficiency is no longer an optional feature—it is the decisive factor for winning the race to build the intelligence that will define the urban landscapes of tomorrow.

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